

The Holographic view on RG Flows

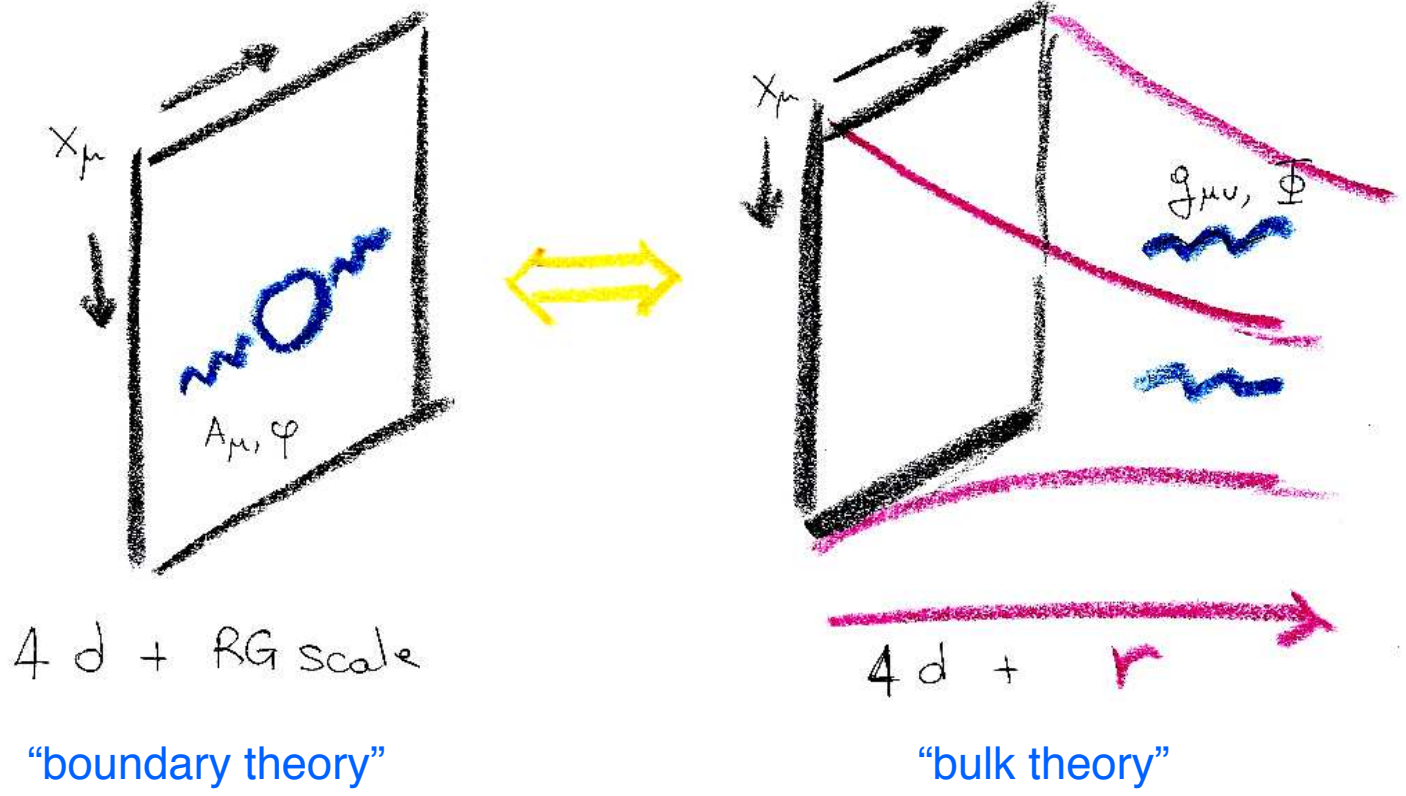
Francesco Nitti

APC, U. Paris VII

ERG 2014, Lefkada, Sep 26th 2014

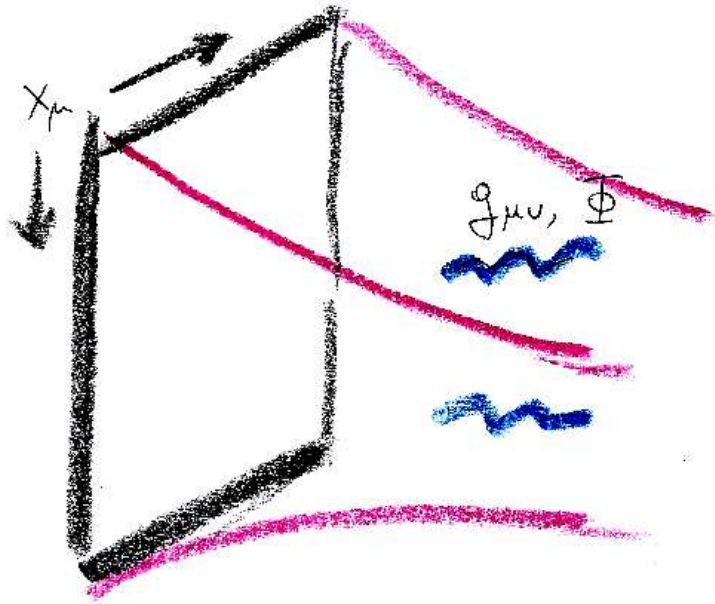
AdS/CFT

The AdS/CFT duality: conjecture that certain quantum field theories are equivalent to theories of gravity in higher dimensions [Maldacena '98](#).



Equivalent means that the two theories contain the same degrees of freedom, but arranged in different ways.

AdS/CFT

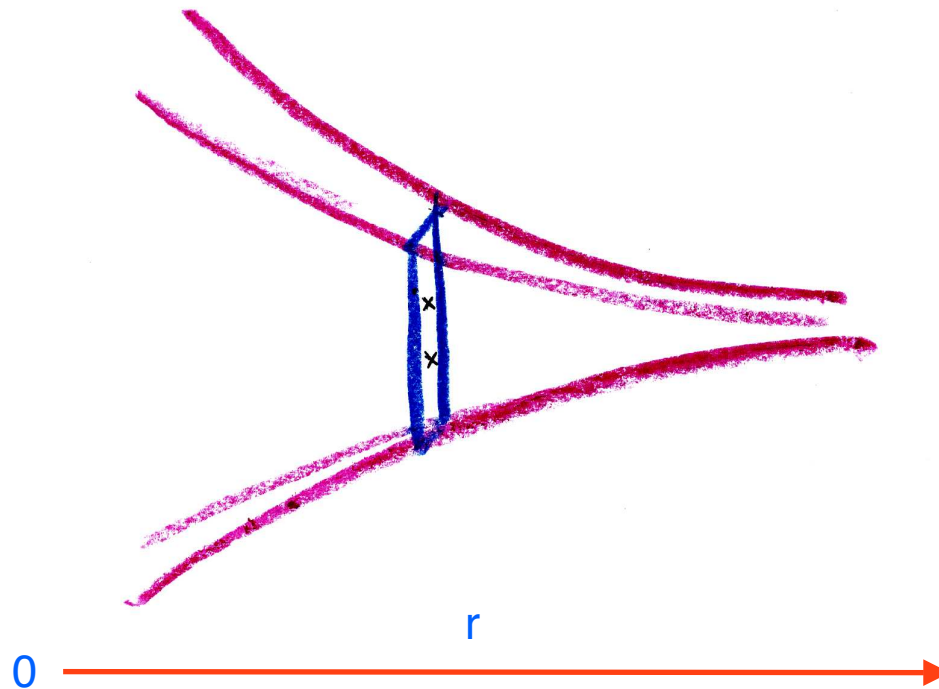


$$ds^2 = \frac{\ell^2}{r^2} (dr^2 + \eta_{\mu\nu} dx^\mu dx^\nu)$$

- A **conformal field theory** in d dimension has a dual geometric description in terms of **Anti de Sitter space** AdS_{d+1}
- x^μ are mapped to the CFT space-time coordinates; r is mapped to the CFT scale.
- Scale invariance is realized as an isometry:

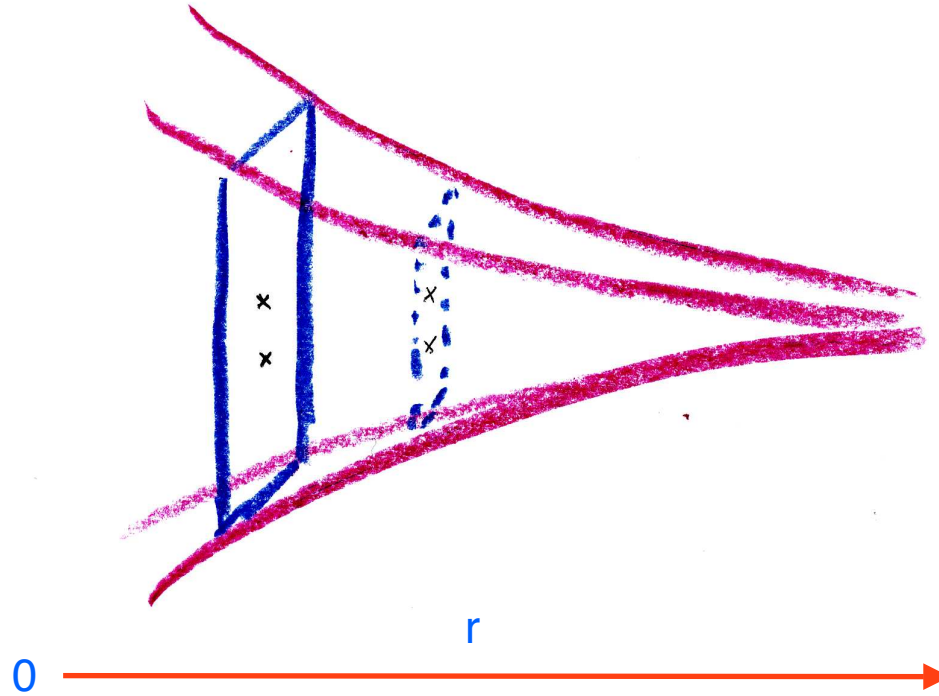
$$r \rightarrow \lambda r \quad x^\mu \rightarrow \lambda x^\mu$$

AdS/CFT



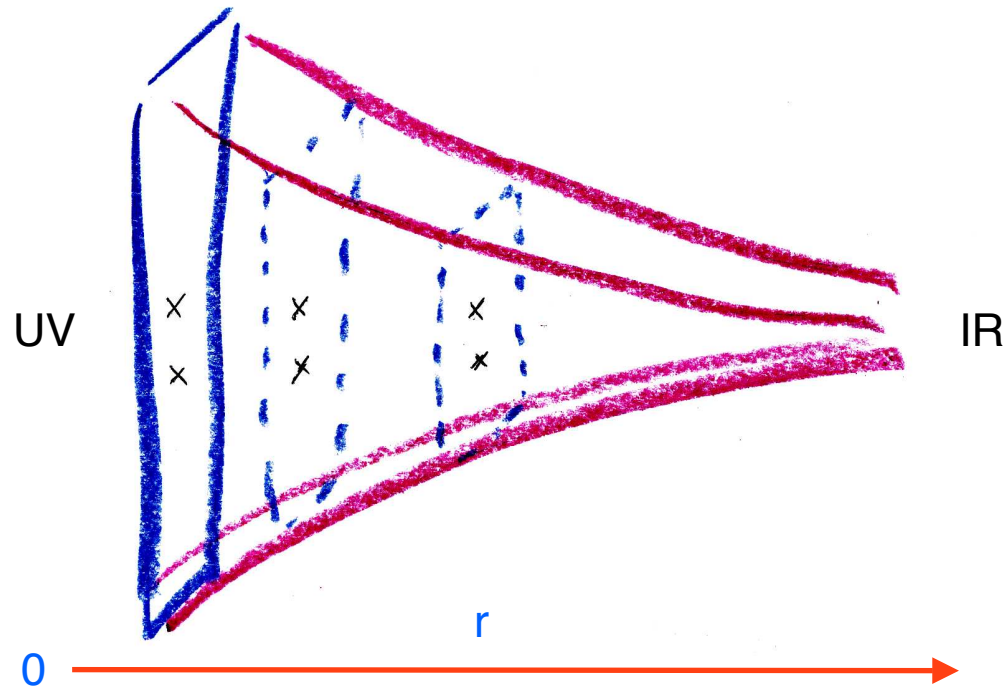
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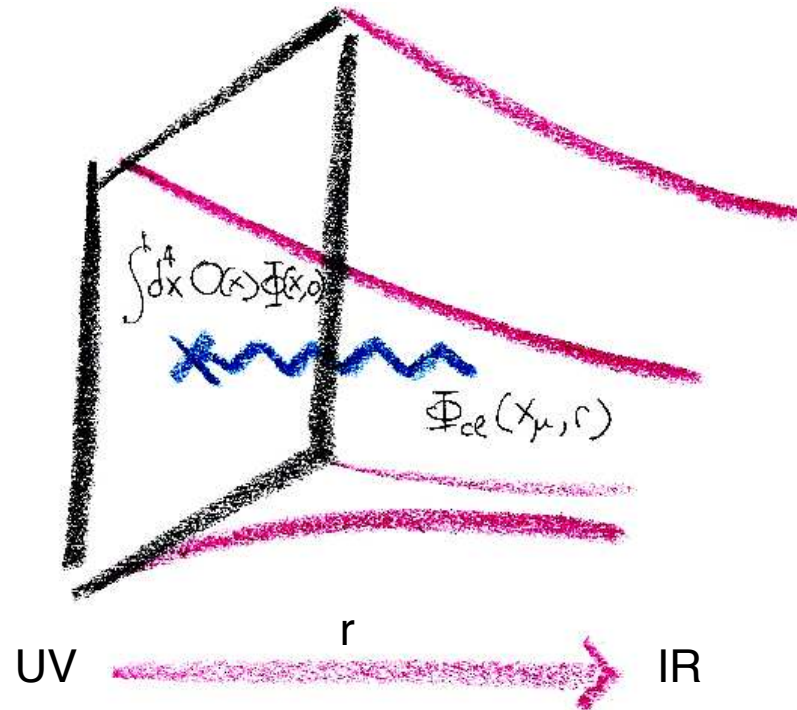
AdS/CFT



Moving towards $r = 0$ (*AdS* boundary) equivalent to reducing the distance in space-time.

Field/Operator correspondence

- An operator $O(x)$ corresponds to a dynamical bulk field $\Phi(x, r)$
- $\Phi(x, 0)$ represents a **source** for O in the CFT.



The QFT sources become dynamical fields in higher dimensional curved spacetime

Example: massive scalar in AdS

$$S_{grav}[\Phi] = \frac{1}{2} \int d^d x dr [g^{ab} \partial_a \Phi \partial_b \Phi - m^2 \Phi^2]$$

$$\partial_r^2 \Phi + \frac{3}{r} \partial_r \Phi + \partial_\mu \partial^\mu \Phi = 0$$

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$$\Phi(x, r) \sim \alpha(x) r^{(d-\Delta)} + \dots \quad r \rightarrow 0$$

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$\Phi(x, r)$ is a scalar under the dilatation isometry

$\Rightarrow \alpha(x)$ has scaling dimension $d - \Delta$

$\Rightarrow O(x)$ has dimension Δ

Stress Tensor

In any CFT there is a symmetric stress tensor $T_{\mu\nu}$. The source is the boundary theory metric $\gamma_{\mu\nu}(x)$. This can be naturally identified as part of the bulk metric:

$$ds^2 = \frac{\ell^2}{r^2} [dr^2 + \gamma_{\mu\nu}(x, r) dx^\mu dx^\nu] \quad \gamma_{\mu\nu}(x) \equiv \gamma_{\mu\nu}(x, 0)$$

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\Rightarrow The bulk theory must have dynamical gravity

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Typically the CFT admits a large- N limit in which $M_p \sim N^2$.

At large- N the map is between a quantum CFT and **classical**

AdS gravity

Generating functional

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- Solve **classical** bulk field equations for $\Phi(x, r)$ with fixed boundary conditions in the UV ($r \rightarrow 0$):

$$\Phi_\alpha(x, r) \rightarrow \alpha(x) r^{d-\Delta}, \quad r \rightarrow 0$$

- Evaluate the bulk action on the solution.

$$S_{grav} [\Phi_\alpha(x, r)] = \text{functional of } \alpha(x)$$

$$\mathcal{Z}_{QFT}[\alpha(x)] = \exp \left[i S_{grav}[\Phi_\alpha(x, r)] \right]$$

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Couplings vs. Fields

$$\Phi(x, r) = \alpha r^{(d-\Delta)} + \dots$$

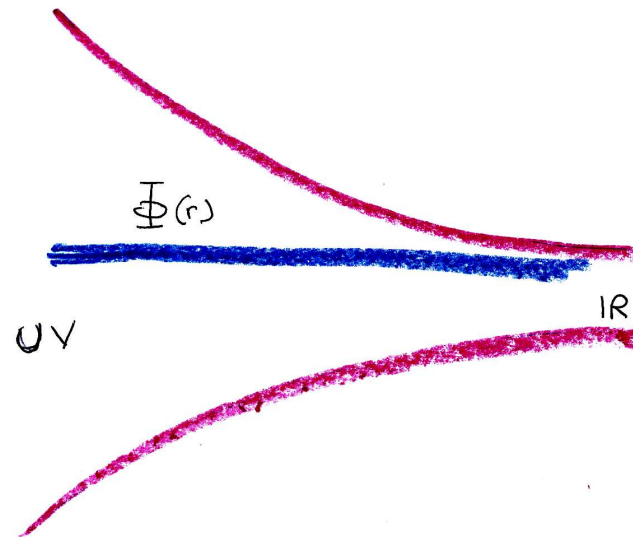
$$\Leftrightarrow S_{CFT} = S_0 + \int d^4x \alpha O(x)$$

Couplings vs. Fields

$$\Phi(x, r) = 0 \quad \Leftrightarrow \quad S_{CFT} = S_0$$

$\alpha = 0$ corresponds to an underformed CFT.

\Rightarrow Bulk scalar is constant, spacetime is *AdS*

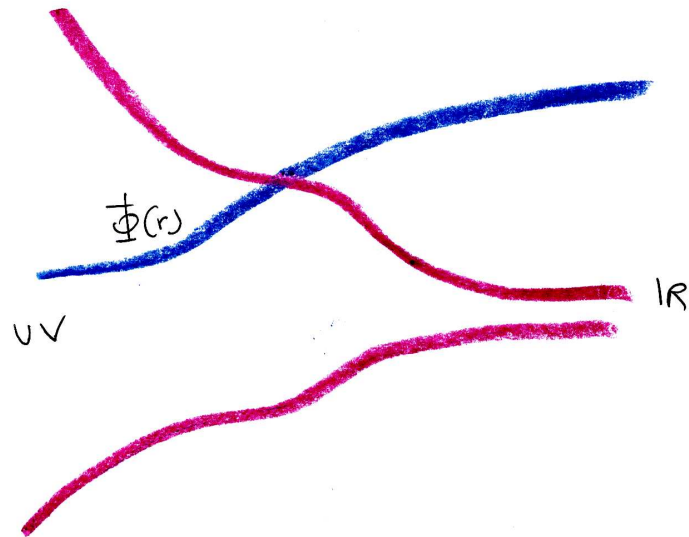


Couplings vs. Fields

$$\Phi(x, r) = \alpha r^{(d-\Delta)} + \dots \Leftrightarrow S_{CFT} = S_0 + \int d^4x \alpha O(x)$$

$\alpha \neq 0$ corresponds to a **relevant coupling** for the CFT.

\Rightarrow a profile $\Phi(r)$ and deformation of AdS geometry in the interior.



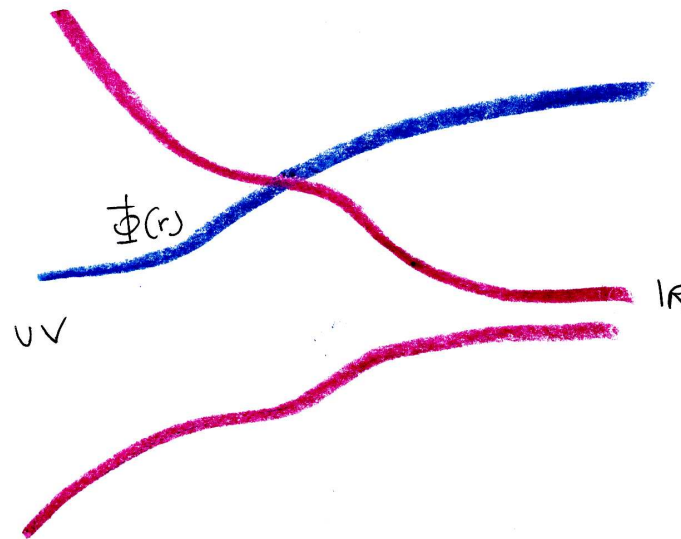
Running away from AdS

- α represents the bare UV coupling
- Around fixed points $\Phi(r)$ represents the **running coupling**:

$$\mu = 1/r, \quad \Phi(\mu) = \alpha \mu^{\Delta-d} + O(\mu^{-\Delta}) \quad \beta(\Phi) = (\Delta - d)\Phi$$

$$\Phi \simeq \alpha \mu^{(\Delta_{UV}-d)}$$

$$\mu \rightarrow \infty$$



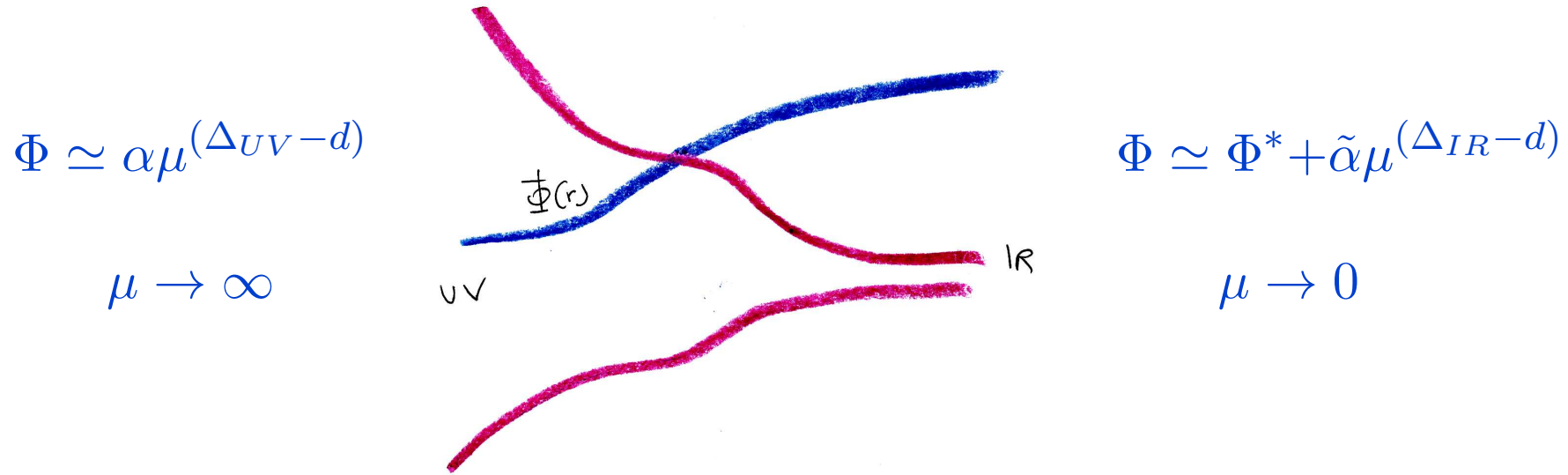
$$\Phi \simeq \Phi^* + \tilde{\alpha} \mu^{(\Delta_{IR}-d)}$$

$$\mu \rightarrow 0$$

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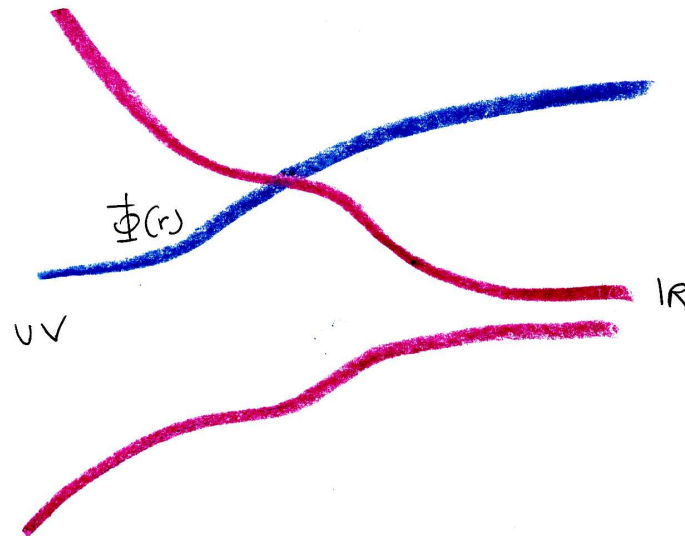
$$\mu = 1/r, \quad \Phi(\mu) = \alpha \mu^{\Delta-d} + O(\mu^{-\Delta}) \quad \beta(\Phi) = (\Delta - d)\Phi$$



- Naturally identify $\Phi(r)$ with running coupling **all along the flow**
- Field theory couplings become dynamical fields. Couplings are naturally *space-time dependent*.

Holographic Renormalization Group

The **holographic renormalization group** is the way to translate between the field theory description of the running of couplings and the geometric radial evolution encoded in the bulk field equations.



$$\frac{d}{d \log \mu} \Phi = \beta(\Phi) \Leftrightarrow \text{Classical bulk evolution equation for } \Phi(r)$$

A geometrization of the RG-flow.

Local RG Equations

Bulk Einstein's equations give rise to geometric flow equations for the local sources on a fixed- r slice (scalars $\Phi_I(x, r)$ and the induced metric $\gamma_{\mu\nu}(x, r)$)

$$\begin{cases} G_{ab} = 8\pi\kappa T_{ab} \\ \nabla^2\Phi + m^2\Phi = 0 \end{cases} \Leftrightarrow \begin{cases} \dot{\gamma}_{\mu\nu}(x, r) = B_{\mu\nu} \\ \dot{\Phi}_I(x, r) = B_I(x, r) \end{cases}$$

The β -functions $B_{\mu\nu}(x)$, $B_I(x)$, are written in terms of local slice-covariant boundary quantities constructed with $\Phi(x)$ and the induced metric $\gamma_{\mu\nu}$.

Not just a metaphor

This may seem like a nice analogy or a made-up set of rules.

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It actually works

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It actually works

In all cases where both sides are known explicitly (as different limits of the same string setup) the spectrum of operators, anomalous dimensions, correlators, anomalies, non-perturbative sectors...
match exactly

This includes (but is not limited to) $\mathcal{N} = 4$ Super Yang-Mills and its various relevant deformations.

Setup

Simple setup: $d + 1$ -dimensional Einstein Gravity plus one scalar field:

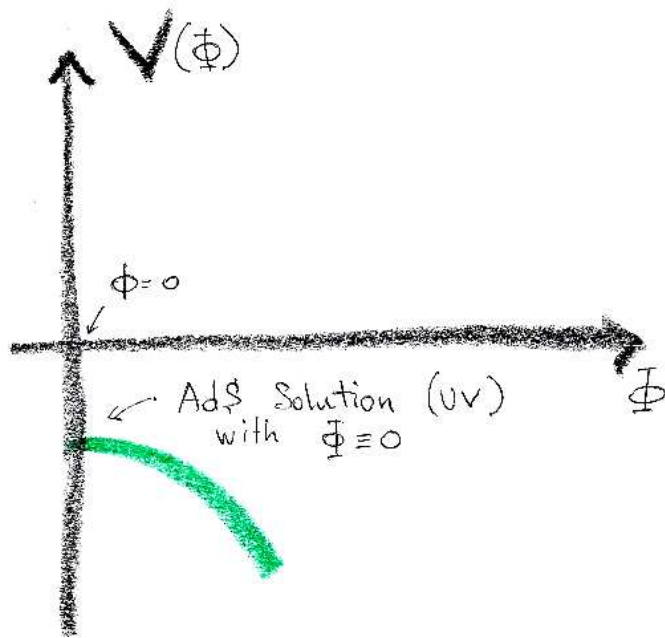
$$S_{grav} = M_p^{d-1} \int d^d x \int du \sqrt{-g} \left[R - \frac{1}{2} (\partial\Phi)^2 - V(\Phi) \right]$$

- Holographic coordinate u ranging from $-\infty$ to u_{IR}
- Only one scalar \leftrightarrow focus on a single operator O in the field theory. $\Phi(u) =$ **running coupling associated to O**
- The potential $V(\phi)$ encodes the dimension of the operator and the way the coupling runs.

AdS solutions

If $V(\Phi)$ has an extremum at Φ_* ($V'(\Phi_*) = 0$ with $V(\Phi_*) = V_* < 0$)

$$ds^2 = du^2 + e^{-u/\ell} dx_d^2, \quad \Phi(u, x_\mu) = \Phi_*, \quad m^2 \ell^2 = \Delta(\Delta - d)$$

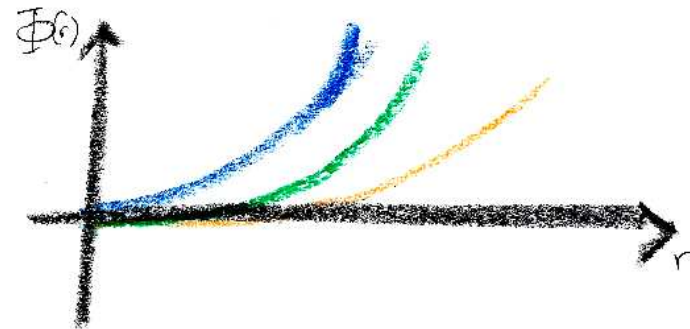
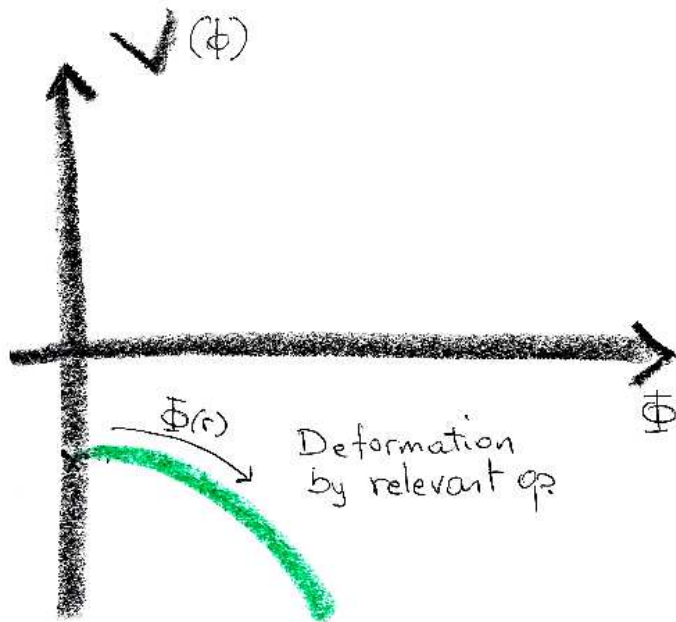


Theory at a **conformal** fixed point. If $m^2 < 0$, we have a **relevant** operator, and we expect IR deformations to exist.

Deformations of AdS

Generic Poincaré-invariant solution:

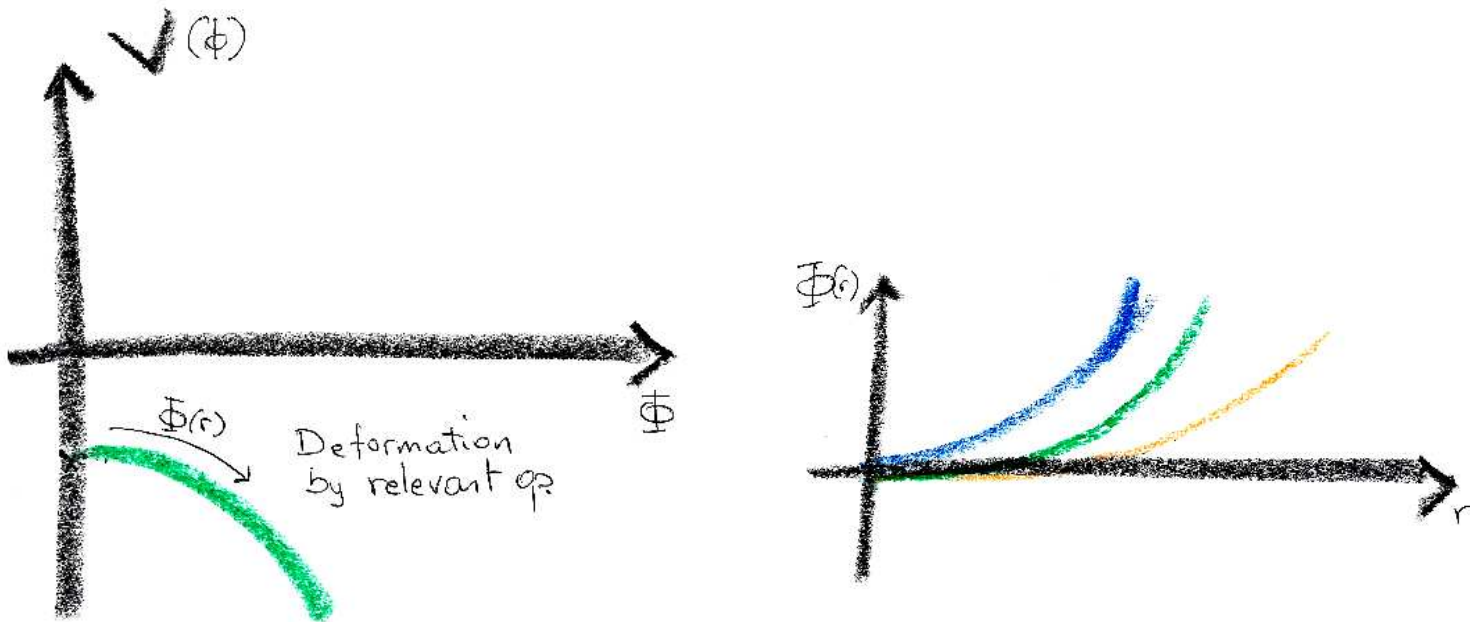
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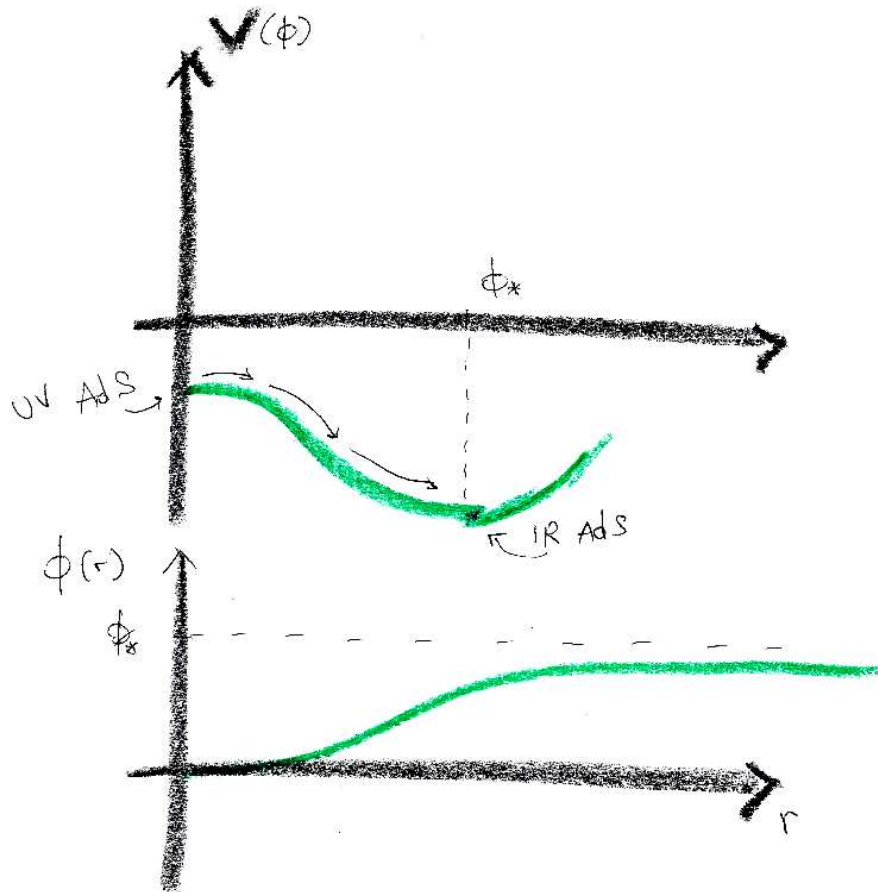
The **UV** (**IR**) is represented by the region where $e^{A(u)} \rightarrow +\infty$ ($\rightarrow 0$). Intuitively, we can think of e^A as the energy scale.

RG-flow solutions

Each extremum for $V(\Phi)$ will correspond to a different AdS solution \Rightarrow a different conformal fixed point.

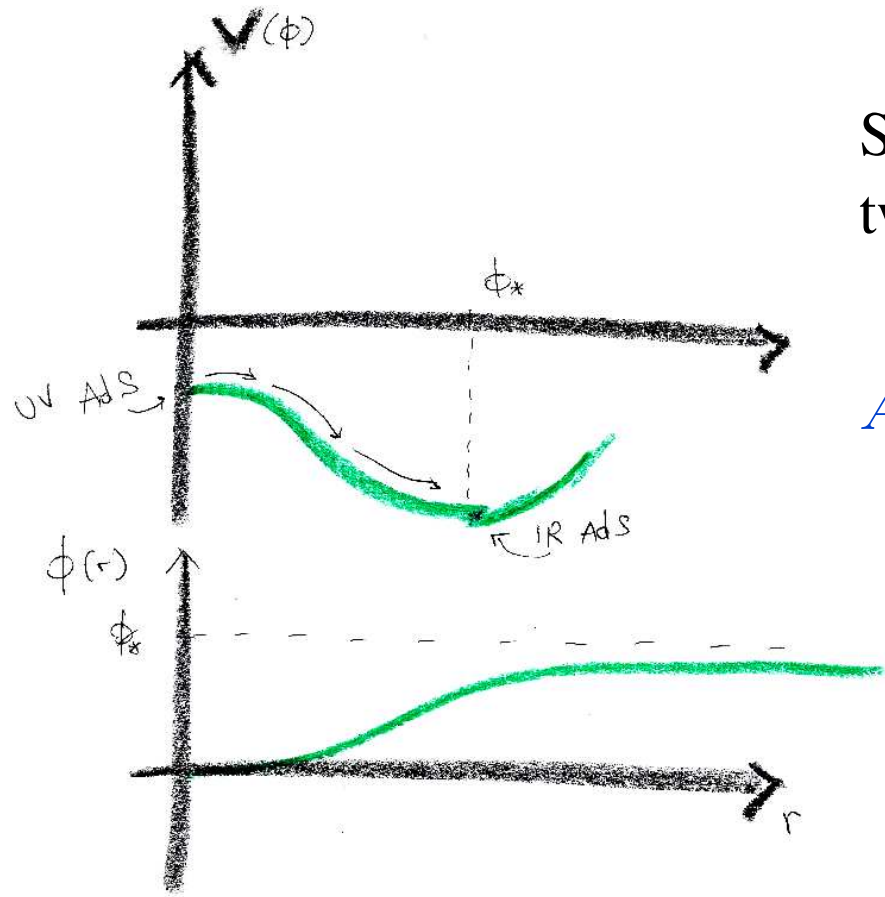
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Solutions that interpolate between the two fixed points:

$$A(u) \sim -u \begin{cases} u \rightarrow -\infty & UV \\ u \rightarrow +\infty & IR \end{cases}$$

$$\phi(u) \rightarrow \begin{cases} 0 & UV \\ \phi_* & IR \end{cases}$$

Superpotential

For a homogeneous ansatz, Einstein's equations can be put in first order form in terms of an auxiliary function $W(\Phi)$:

$$\dot{\Phi} = W'(\Phi), \quad \dot{A} = -\frac{1}{2(d-1)}W(\Phi)$$

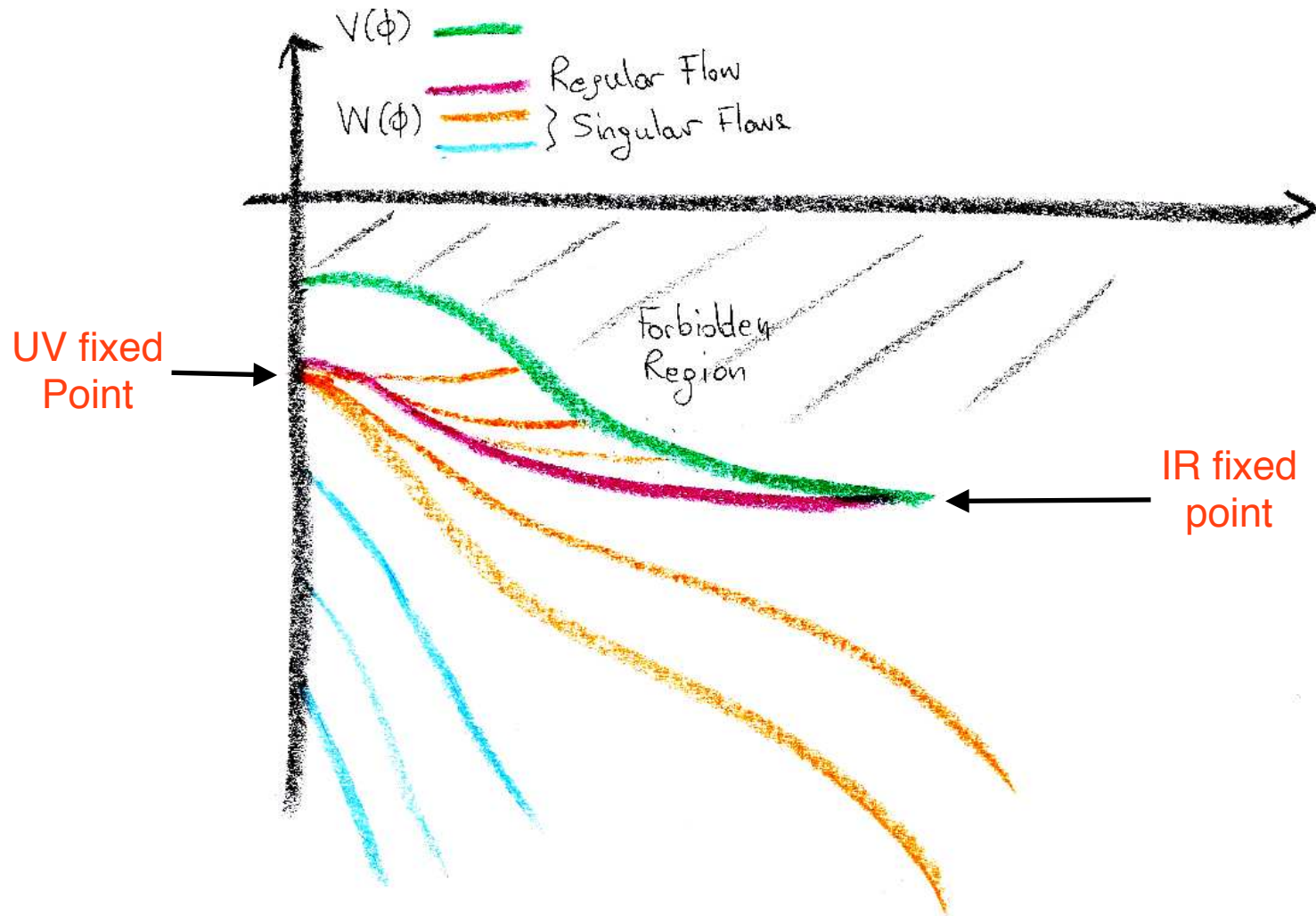
$$-\frac{d}{4(d-1)}W^2 + \frac{1}{2}(W')^2 = V$$

Once $W(\Phi)$ is found the other equations can be integrated trivially: using Φ as a coordinate:

$$A(\Phi) = A_0(\Phi_0) - \frac{1}{2(d-1)} \int_{\Phi_0}^{\Phi} d\phi \frac{W(\phi)}{W'(\phi)},$$

Different solutions with the same $W(\Phi)$ all look the same up to an additive integration constant in A .

Superpotential solutions



The UV AdS is an *attractor* for the superpotential equation. \Leftrightarrow
The UV fixed point is stable under relevant deformations.

RG equation

(Renormalized) generating functional: $S_{grav}^{(ren)}[\alpha]$

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Map $\alpha \leftrightarrow (A(u), \Phi(u))$ allows to rewrite it as a function of the field on any interior slice:

$$S_{grav}^{(ren)}[A, \Phi] = \int d^d x e^{dA} \exp \left[-\frac{d}{2(d-1)} \int^\Phi \frac{W}{W'} \right]$$

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$S_{grav}^{(ren)}[A, \Phi]$ constant along the radial flow:

$$\frac{d}{dA} S_{grav}^{(ren)}[A, \Phi(A)] = \left[\frac{\partial}{\partial A} + \frac{d\Phi}{dA} \frac{\partial}{\partial \Phi} \right] S_{grav}^{(ren)}[A, \Phi(A)] = 0$$

Beyond zeroth order

We want to generalize this approach to the case of spacetime-dependent couplings, by keeping d -dimensional bulk covariance. [work with E. Kiritsis and Wenliang Li](#)

The data will be the d -dimensional metric $\gamma_{\mu\nu}(x, u)$ and scalar field $\Phi(x, u)$ evaluated on a space-time slice in the bulk.

Changing the slice corresponds to changing the RG scale.

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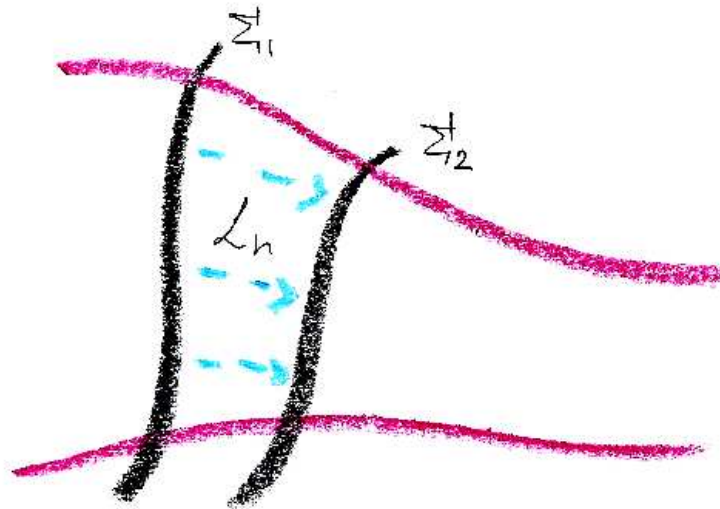
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We take a solution with a general space-time metric $\gamma_{\mu\nu}(x, u)$, in ADM form:

$$ds^2 = N^2 du^2 + \gamma_{\mu\nu}(x) (dx^\mu + N^\mu du) (dx^\nu + N^\nu du), \quad \Phi = \Phi(u, x)$$

Flow equations



The flow equations tell how to go from one hypersurface of the ADM slicing to another one nearby, as a function only on the invariants on the *slice*.

They can be derived using Einstein's constraint equations order by order in a *derivative expansion* on the slice.

Second order flow equations

Imposing the constraints, the 2-derivative order flow equations are governed by only two functions $W(\Phi), f(\Phi)$

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$$\begin{aligned}\mathcal{L}_n \gamma_{\mu\nu} &= -\frac{1}{d-1} \gamma_{\mu\nu} \left(W + fR + \frac{W}{2W'} f' (\gamma^{\rho\sigma} \partial_\rho \Phi \partial_\sigma \Phi) \right) \\ &\quad + 2fR_{\mu\nu} + \left(\frac{W}{W'} f' - 2f'' \right) \partial_\mu \Phi \partial_\nu \Phi - 2f' \nabla_\mu \partial_\nu \Phi \\ \mathcal{L}_n \Phi &= W' - f'R + \frac{1}{2} \left(\frac{W}{W'} f' \right)' (\gamma^{\rho\eta} \partial_\rho \Phi \partial_\eta \Phi) + \frac{W}{W'} f' (\gamma^{\rho\eta} \nabla_\rho \partial_\eta \Phi)\end{aligned}$$

$W(\Phi)$ and $f(\Phi)$ are solutions of:

$$\frac{d}{4(d-1)} W^2 - \frac{1}{2} W'^2 = -V, \quad W' f' - \frac{d-2}{2(d-1)} W f = 1$$

Beta-functions

$$\Delta_\mu \gamma_{\mu\nu} = 2\gamma_{\mu\nu} + \beta_{\mu\nu}, \quad \Delta_\mu \Phi = \beta_\Phi$$

$$\beta_\Phi = -2(d-1)\frac{W'}{W} - \frac{2(d-1)}{W} \left(f' + \frac{W'}{W} f \right) R + \dots$$

$$\beta_{\mu\nu} = f(\Phi) \left[R_{\mu\nu} - \frac{1}{d} \gamma_{\mu\nu} R \right] + \dots$$

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- To zeroth order we recover the results of the homogeneous calculation
- The metric gets an **anomalous change** beyond a Weyl rescaling due to the curvature terms. This resembles the case of Ricci flows.

Two-Derivative Generating Functional

- The renormalized partition function takes has the local covariant form:

$$S^{(ren)} \equiv \log \mathcal{Z}_{QFT}[\gamma, \Phi] = \int d^d x \sqrt{\gamma} [Z_0(\Phi) + Z_1(\Phi)R + Z_2(\Phi)(\partial\Phi)^2] + \dots$$

- $Z_i(\Phi)$ are complicated but **known** functions of Φ , written in terms of W and f . Up to the three scheme-dependent multiplicative quantities D_i , their functional form is **universal**.
- $\log \mathcal{Z}$ obeys the local RG-invariance equation

$$\left(2\gamma^{\mu\nu} \frac{\delta}{\delta\gamma_{\mu\nu}} - \beta_{\mu\nu} \frac{\delta}{\delta\gamma_{\mu\nu}} - \beta_{\Phi} \frac{\delta}{\delta\phi} \right) \log \mathcal{Z} = \text{Anomaly}$$

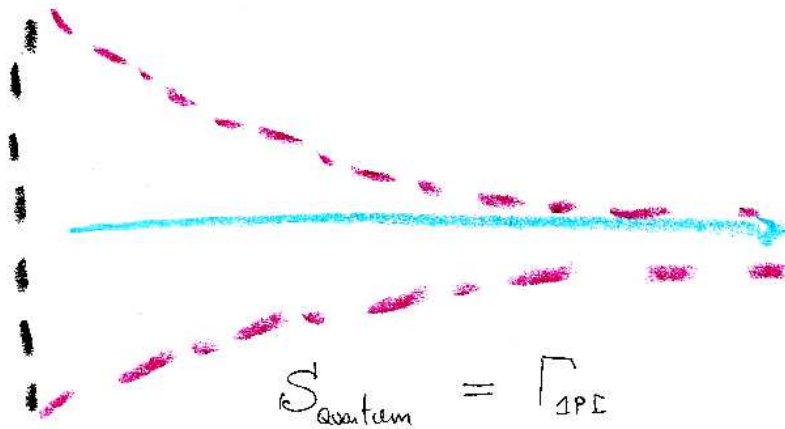
with the holographic β -functions appearing.

Conclusion and Open Questions

- *AdS/CFT*: a dynamical theory for QFT sources
- Local RG flow equations arise from Einstein equations. How exactly does the IR regularity condition select a solution?
- Ongoing work trying to understand how geometry emerges from field theory side (work by S.S. Lee)
- Relation with the Wilsonian framework ? (work by Polchinski and Heemskerk)
- Relation between HRG and ERG ?
- The flow equations are a rewriting of Einstein's equations, and are cast in a form that resembles the conformal conditions in σ -models. What are the fixed points of these generalised flows? what is their physical meaning ?
- The formalism for the derivative expansion is limited to solutions built around a Poincaré invariant vacuum state. Can we generalize to less symmetric cases (e.g. black holes) ?

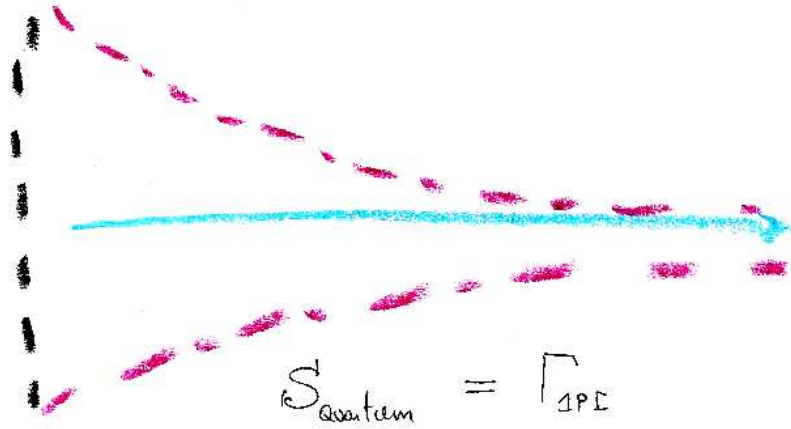
Wilsonian picture

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What about the Wilsonian action?

