

# Asymptotic Safety of nonlinear $O(N)$ -Models

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# Asymptotic Safety

- nontrivial renormalization UV-fixed point  
with small number of IR-relevant (UV-attractive) directions
- UV-completion for scalar field theory
- Quantum Gravity could be asymptotically safe  
accumulating evidence in past years, based on FRG

K. Wilson and J. Kogut, 1974

S. Weinberg, 1976

**Conjecture:** renormalizable and asymptotically free in  $d$  dimensions  
 $\Rightarrow$  asymptotic safe in  $d + \epsilon$  dimensions

S. Weinberg



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- gravity in  $2 + \epsilon$  dimensions S. Weinberg, 1979
- large-N Gross-Neveu model in 3 dimensions Gawedzki and A. Kupiainen, 1985
- 4-Fermi models in 3 dimensions (FRG) Janssen, Gies
- linear  $O(N)$  models in  $2 \leq d < 4$  dimensions Wetterich, Canet et al., Litim, Zappala
- gauge theories in  $d > 4$  dimensions? lattice: Raggio, Knechtli
- nonlinear  $O(N)$  models in 3 dimensions (FRG)
  - ▶ background field method, covariant quantisation, . . . Codello, Percacci, Zanusso, Fabbrichesi, Tonero
  - ▶ covariant fourth-order calculations R. Flore, O. Zanusso, aw 2013
- approximations  $\implies$

support from different nonperturbative methods



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# Nonlinear $O(N)$ -Models

- target-space = sphere:  $n \in \mathbb{R}^N$  and  $n \cdot n = 1$
- classical action

$$S = \int d^d x \partial_\mu n(x) \cdot \partial^\mu n(x)$$

- $N = 4$ : effective model for chiral phase transition of (2+1) QCD
- $N = 3$ : Heisenberg model for ferromagnetism
- $N = 2$ : shows Kosterlitz-Thouless PT in  $d = 2$
- $N = 1$ : ubiquitous Ising model
- $d = 2$ : perturbatively renormalizable

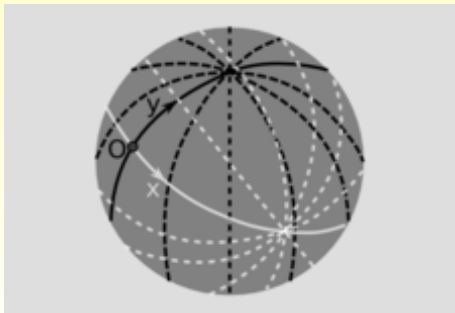
$O(3)$ -model is toy model for QCD: asymptotic freedom, instantons, dynamical mass-generation, ...



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# FRG for nonlinear $O(N)$ models

- geometric formulation: nonlinear curved target space (cp. gravity)  
split  $\phi = \varphi + \xi$  has no *geometric* meaning  
instead:  $\phi(\varphi, \xi)$  with **geometric objects**  $\varphi$  and  $\xi$  (cp. gravity)
- configuration space  $\mathcal{M} \equiv \{\varphi : \mathbb{R}^d \rightarrow S^{N-1}\}$



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# Geometry of target space

- covariant *classical* action

$$S[\varphi] = \frac{\zeta}{2} \int d^d x \, h_{ab}(\varphi) \partial_\mu \varphi^a \partial^\mu \varphi^b$$

- Ricci curvature  $R_{ab} = (N - 2)h_{ab} \rightarrow$  dependence on  $N$
- pull back of **covariant derivative**

$$\nabla_\mu v^a \equiv \partial_\mu v^a + \partial_\mu \varphi^b \Gamma_b^a{}_c v^c \Rightarrow \Delta$$

- $\sim$  *Vilkovisky-connection* in gravity and gauge theories



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# Covariant formulation

- geodesic  $\varphi(s)$  from background-field  $\varphi$  to field  $\phi$
- $\varphi(s=0) = \varphi$  and  $\varphi(s=1) = \phi$
- tangent vectors  $\xi^a(s)$ , set  $\xi^a(0) = \xi^a$
- derivative along geodesic  $\nabla_s = \xi^a(s)\nabla_a$
- functional of field

Mukhi

$$\begin{aligned} F[\phi] &= \sum_{n \geq 0} \frac{1}{n!} \frac{d^n}{ds^n} F[\varphi(s)] \Big|_{s=0} = \sum_{n \geq 0} \frac{1}{n!} \nabla_s^n F[\varphi(s)] \Big|_{s=0} \\ &= \sum_{n \geq 0} F_{(a_1, \dots, a_n)}^n[\varphi] \xi^{a_1} \dots \xi^{a_n} = F[\varphi, \xi] \end{aligned}$$

- $F_{(a_1, \dots, a_n)}^n$  in terms of curvature and its covariant derivatives



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- local action up to fourth order in derivatives

$$\Gamma_k^s[\phi] = \frac{1}{2} \int d^d x \left( \zeta_k h_{ab} \partial_\mu \phi^a \partial^\mu \phi^b + \alpha_k h_{ab} \Delta \phi^a \Delta \phi^b \right. \\ \left. + T_{abcd}(\phi) \partial_\mu \phi^a \partial^\mu \phi^b \partial_\nu \phi^c \partial^\nu \phi^d \right) \Gamma_k[\phi(\varphi, \xi)]$$

$$T_{abcd} = L_{1,k} h_{a(c} h_{d)b} + L_{2,k} h_{ab} h_{cd}$$

- wave function renormalization for vector fluctuation

$$\Gamma_k[\varphi, \xi] = \Gamma_k^s[\phi(\varphi, Z_k^{1/2} \xi)]$$

- bi-field cutoff action

$$\Delta S_k[\varphi, \xi] = \frac{1}{2} \int d^d x \xi^a \mathcal{R}_{ab}^k[\varphi] \xi^b$$



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- cutoff operator  $\mathcal{R}_{ab}^k[\varphi] = Z_k h_{ab} R_k[\Delta]$
- plug into flow equation

$$k\partial_k\Gamma_k[\varphi, \xi] = \frac{1}{2}\text{Tr} \left( \frac{k\partial_k\mathcal{R}_k[\varphi]}{\Gamma_k^{(0,2)}[\varphi, \xi] + \mathcal{R}_k[\varphi]} \right).$$

- $\Gamma_k^{(0,2)}[\varphi, 0]$  is 4<sup>th</sup> order elliptic differential operator
- adapted 4<sup>th</sup> order cutoff-operator

$$R_k(\Delta) = [\zeta_k(k^2 - \Delta) + \alpha_k(k^2 - \Delta^4)] \theta(k^2 - \Delta)$$

⇒ off-diagonal heat kernel method

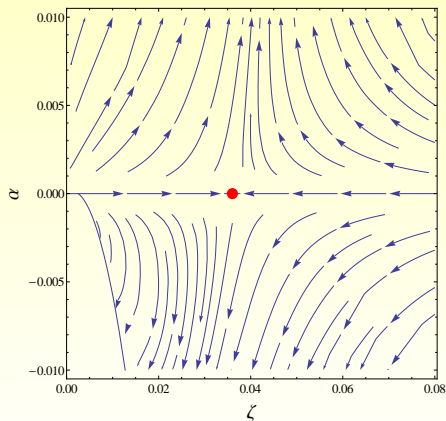
- heat kernel expansion, Laplace/Mellin-transform
- ⇒ flow of couplings,  $\beta$ -functions, anomalous dimension, ...



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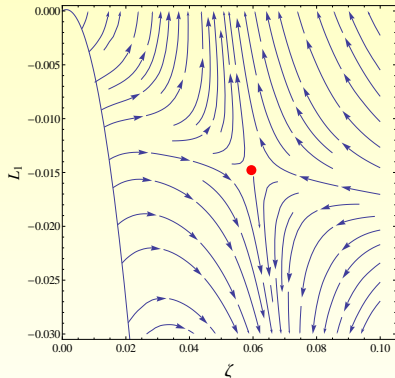
# Some results

- two coupling  $O(3)$ -model has fixed point in  $(\zeta_k, \alpha_k)$ -plane
- arrows point to UV



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- three coupling O(3)-model has **fixed point** in  $(\zeta_k, \alpha_k, L_1)$ -space
- slice  $\alpha = 0$ , one irrelevant direction



R. Flore, O. Zanusso, *aw Phys.Rev. D87 (2013) 065019*

- critical exponent  $\nu(N)$  can be estimated
- 4<sup>th</sup> operator  $\propto L_2$ : *fixed points disappears*  

$$h_{ab}h_{cd}\partial_\mu\phi^a\partial_\mu\phi^b\partial_\nu\phi^c\partial_\nu\phi^d$$
- same with exp. regulator
- reappears in higher order truncation (phase space flow)



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# Nonlinear O(N) Models on Lattice

- $n^2 = 1 \Rightarrow$  derivative expansion for effective action

$$S[n] = \sum_{\alpha=0}^3 g_{\alpha} N S_{\alpha}[n] + \mathcal{O}(\partial^6), \quad n^2 = 1$$

- all operators with  $\leq$  four derivatives

$$S_0 = - \int d^d x \, n \cdot \Delta n$$

$$S_1 = \int d^d x \, n \cdot \Delta^2 n$$

$$S_2 = \int d^d x \, (n \cdot \Delta n)^2$$

$$S_3 = \int d^d x \, (n \cdot \partial_{\mu} \partial^{\nu} n)(n \cdot \partial^{\mu} \partial_{\nu} n)$$



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- standard discretization
- **RG transformation**: field  $n$  on fine grid  $(N, a) \implies$  averaged field  $n'$  on coarser grid  $(N' = N/b, a' = ba)$
- physical **IR-cutoff is fixed**, **UV-cutoff lowered**  $\Lambda \rightarrow \Lambda' = \Lambda/b$
- $g_\alpha \rightarrow g'_\alpha$  due to quantum fluctuation with scales in  $[\Lambda', \Lambda]$
- **blockspin transformation**: draw averaged field  $n'$  according to

$$\mathcal{P}(n'_x) \propto \exp \left( C(g_\alpha) n'_x \cdot \sum_{y \in \square_x} n_y \right)$$

- $C(g_\alpha) > 0$  such that **truncation errors in MCRG are minimized**



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# Monte Carlo Renormalization Group (MCRG)

- classical MCRG: define blocked observables
  - ▶ blockspin transformation with blocking kernel . . .
  - ▶ localize fixed point
  - ▶ linearize MCRG-transformation in vicinity of fixed point  
⇒ critical exponents
- want to compute (truncated) effective action
- inverse MC + Schwinger-Dyson eqs. or demon method

M. Creutz, Hasenbusch et al., Jena group



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# Demon method

- efficient method to measure couplings  $\beta_\alpha$
- (truncated) action of **system** ( $\propto$  heat bath)

$$S_{\text{Sys}} = \sum_{\alpha=1}^n \beta_\alpha S_\alpha$$

- add  $n$  auxiliary **demons** (thermometers) with action

$$S_{\text{D}} = \sum_{\alpha} \beta_\alpha E_{\alpha=1}^n, \quad E_\alpha \in [0, E_{\text{max}}]$$

- partition function of **joint systems**

$$Z_{\text{total}} = \int_0^{E_{\text{max}}} \prod_{\alpha} dE_\alpha \int \mathcal{D}\phi e^{-S_{\text{Sys}} - S_{\text{D}}}$$



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- factorization  $\Rightarrow$  averages of “Demon-energies”

$$\begin{aligned}\langle E_\alpha \rangle &= -\frac{d}{d\beta_\alpha} \log \int_0^{E_{\max}} dE e^{-\beta_\alpha E} \\ &= \frac{1}{\beta_\alpha} - \frac{E_{\max}}{e^{\beta_\alpha E_{\max}} - 1} \approx \frac{1}{\beta_\alpha}\end{aligned}$$

- $\langle E_\alpha \rangle$  from simulations  $\Rightarrow \beta_\alpha$



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# implementation of RG transformation

- generate configuration in equilibrium on fine grid for some  $\{\beta_\alpha\}$
- blocking of configuration  $\Rightarrow$  configuration on coarser grid distributed with  $e^{-S_{\text{sys}}}$  for some  $\{\beta'_\alpha\}$
- microcanonical simulation of joint system on coarser grid
  - ▶ **begin** with blocked configuration and demon energies extracted from previous runs
  - ▶ calculate  $\langle E_\alpha \rangle \Rightarrow \beta'_\alpha$
- generate configuration on fine grid according for  $\{\beta'_\alpha\}$
- blocking of this configuration . . .



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# Some interesting algorithmic aspects

- assume linear dependence  $C(g) = \sum c_\alpha g_\alpha$  ( $\sim$  regulator)
- truncation: in general  $\xi' \neq \xi/b$  ( $b$  decimation length)

fine tune  $c_\alpha$  such that  $\xi' \approx \xi/b$

- $c_\alpha$  depend on couplings and  $N$ !
- near **nontrivial fixed point**:  $g_1, g_2, g_3 \ll g_0$   
 $\Rightarrow$  only fine tuning of  $c_0$  necessary
- not so near **Gaussian fixed point**
- optimal choice: difficult in  $d = 2$
- more robust in 3 dimensions

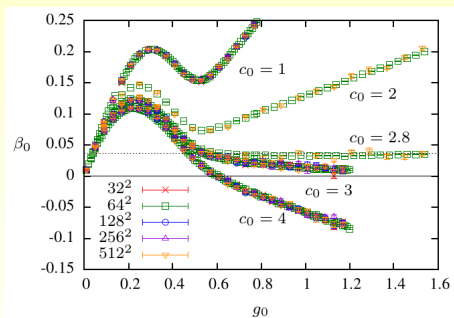
Körner, Wellegehausen, Wipf



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# $\beta$ -function in 2 dimensions

- optimal  $c_0 = 2.8 \Rightarrow$   
 $\beta$ -function tends to large- $N$  result  $\log(2)/(6\pi)$
- $c_0 < 2.8$  : one fixed point at vanishing coupling
- $c_0 > 2.8$  : additional fixed point at finite coupling: truncation artifact



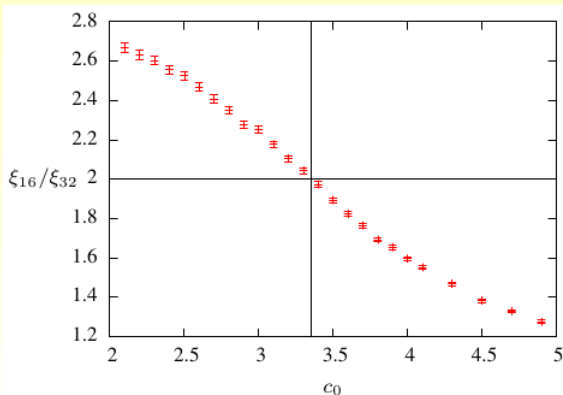
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# O(3) model in 3 dimensions

- simple truncation  $S = (g_0 N) S_0$ ; blocking  $32^3 \rightarrow 16^3$  sufficient
- on fine grid:  $g_0^c = 0.22975(25)$
- thermodynamic limit:  $g_0^c = 0.2287462(7)$

Campostrini et al. 2002)

$N = 3 :$   
 $c_0^{\text{pert}} = 2.30$   
 $c_0^{\text{opt}} = 3.35$



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# Nontrivial FP in simple truncation $Ng_0S_0$

nontrivial fixed  
point “for all”  $c_0$

$$\beta(g_0^*) = 0$$

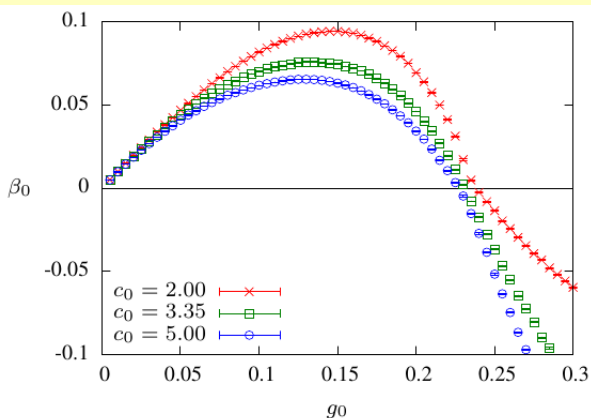
$$g_0^* = 0.2310(5)$$

$$g_0 < g_0^* \rightarrow$$

disordered GFP

$$g_0 > g_0^* \rightarrow$$

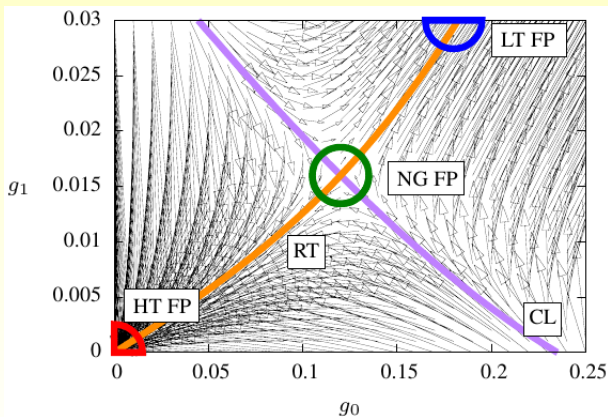
ordered phase



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# Include higher-order derivative terms

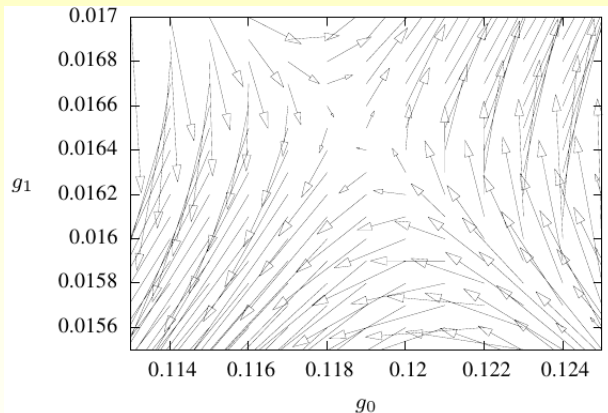
- fixed point stable?
- number of relevant directions?
- $2 \rightarrow 2$  truncation:  $c_0 = 3.1$  and  $c_1 = 2.5$



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# Vicinity of UV fixed point

- $2 \rightarrow 2$  truncation:  $g_0^* = 0.119(1)$  and  $g_1^* = 0.0164(2)$
- position of fixed points almost volume-independent

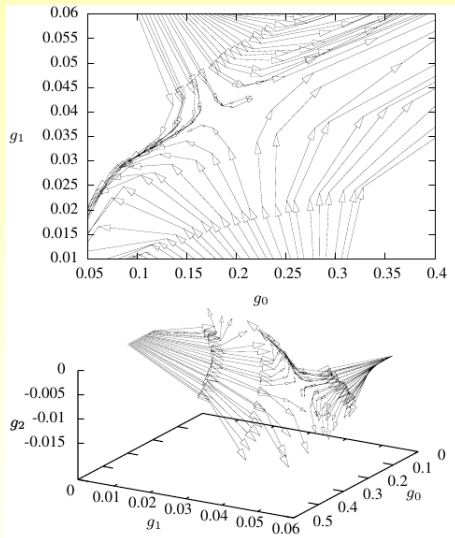


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truncation with  $S_0, S_1, S_2$

asymptotic safety:  
UV-attractive  
(IR-relevant)  
directions  
exhausted



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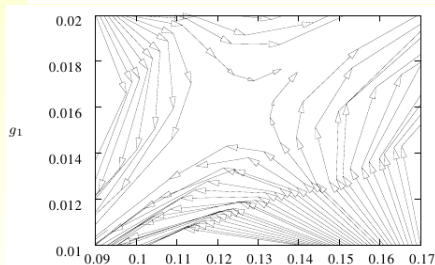
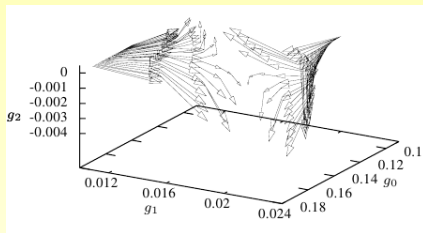
## detailed picture of $S_0, S_1, S_2$ truncation

one UV-attractive  
direction

$$g_0^* = 0.13(1)$$

$$g_1^* = 0.016(1)(1)$$

$$g_2^* = -0.0015(5)$$



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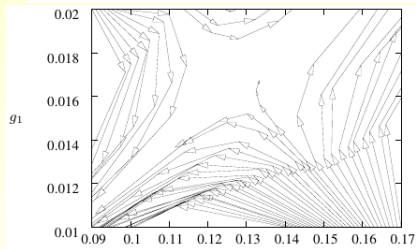
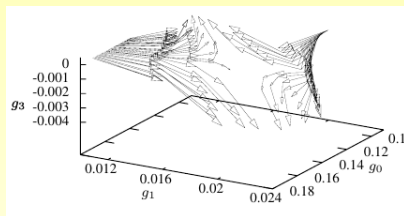
## detailed picture of $S_0, S_1, S_3$ truncation

one UV-attractive direction

$$g_0^* = 0.13(1)$$

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## detailed picture of $S_0, S_1, S_2, S_3$ truncation

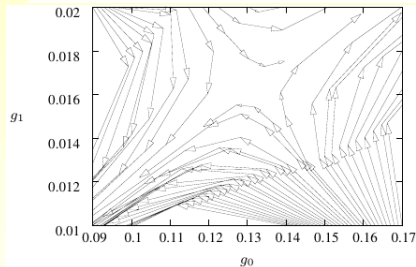
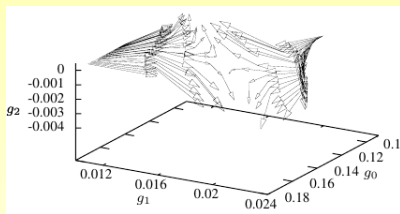
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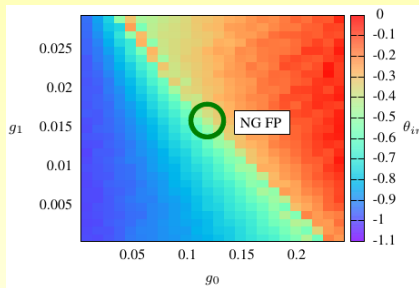
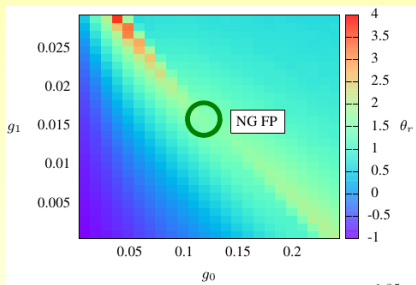


No instabilities as in FRG-approach seen!



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# Critical exponents

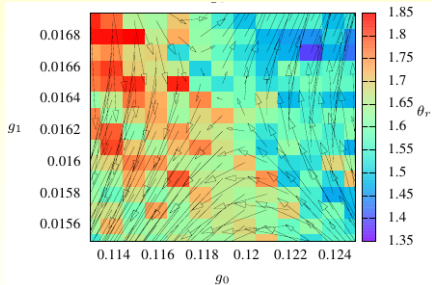


upper left  $\theta_{\text{rel}} = 1.61(4)$

$\nu = 0.62(3)$

$\nu_{\text{true}} = 0.7112(5)$

upper right  $\theta_{\text{irr}} = -0.44$



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# Results for critical exponent $\nu$

Method	$\nu$	$\nu/\nu_{\text{MCHT}}$
1 $\rightarrow$ 1 trunc. ( $c_0 = 3.35$ )	0.51(1)	$\sim 0.72$
1 $\rightarrow$ 2 trunc. ( $c_0 = 3.35$ )	0.55(2)	$\sim 0.77$
2 $\rightarrow$ 2 trunc. ( $c_0 = 3.1, c_1 = 2.5$ )	0.62(3)	$\sim 0.87$
2 $\rightarrow$ 2 trunc. ( $c_0 = 3.4, c_1 = 1.0$ )	0.66(4)	$\sim 0.93$
3 $\rightarrow$ 3 trunc. ( $c_0 = 3.1, c_1 = 2.5, c_2 = 0$ )	0.64(3)	$\sim 0.90$
FRG	0.704	$\sim 0.99$
MCHT	0.7112(5)	1
MC	0.7116(10)	$\sim 1$
RG	0.706	$\sim 0.99$
HT	0.715(3)	$\sim 1$



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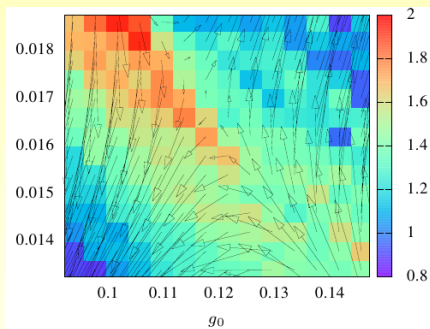
# Dependence on $N$

- optimal  $c_\alpha$  in blocking kernel depends on  $N$
- qualitatively similar flow diagrams for  $N = 3, 4, 5, \dots$
- position of UV-FP varies with  $N$
- critical exponents: comparison with large- $N$  expansions  
comparison with RG-approach

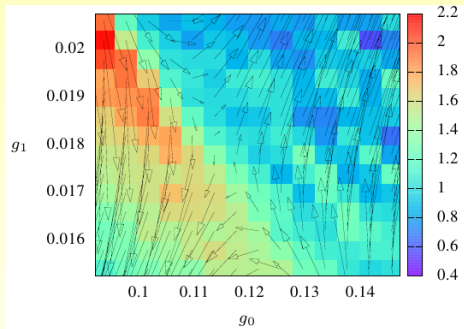


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# Flow diagrams and critical exponents for $N > 3$



O(4)-model



O(6)-model



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# Critical exponent $\nu$

N	2	3	4	5	6
1 $\rightarrow$ 1 truncation	0.42	0.51	0.57	0.63	0.65
2 $\rightarrow$ 2 truncation	0.64(4)	0.66(4)	0.71(5)	0.78(6)	0.81(6)
FRG	-	0.704	0.833	-	0.895
HT exp.	0.677(3)	0.715(3)	0.750(3)	-	0.804(3)
RG exp.	0.607	0.706	0.738	0.766	0.790

N	7	8	9	10
1 $\rightarrow$ 1 truncation	0.68	0.65	0.62	0.58
2 $\rightarrow$ 2 truncation	0.86(7)	0.84(7)	0.89(8)	
FRG	-	0.912	-	0.920
HT exp.	-	0.840(3)	-	0.867(4)
RG exp.	0.811	0.830	0.845	0.859



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# Conclusion

- FRG detects nontrivial UV fixed point for every  $N$
- stability problem of FRG with one 4<sup>th</sup>-order operator (background-field method? spectral adjustment?)
- fixed point reappears with inclusions of higher derivatives
- in MCRG approach no stability problems (different truncation)
- FRG and MCRG in qualitative agreement
- results sufficient for global picture on flow diagram

existence UV-fixed point for  $3 \leq N \leq 15$  firmly established by FRG and stable lattice results

thanks, also to Nikos



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