

# Phase structure, Thermodynamics and Fluctuations in QCD

**Bernd-Jochen Schaefer**

**FWF**

Der Wissenschaftsfonds.

**Austria**



**Germany**

**HIC** | **FAIR**  
for

Helmholtz International Center

**Germany**

Sept 22<sup>nd</sup> 2014

7th International Conference on the  
*Exact Renormalization Group*  
22-26 September 2014  
*Lefkada, Greece*

---

# Agenda

---

- Phase transitions and QCD
- QCD-like model studies
  - chiral and deconfinement aspects
- Significance of Fluctuations

# Experiments: Heavy-Ion Collision

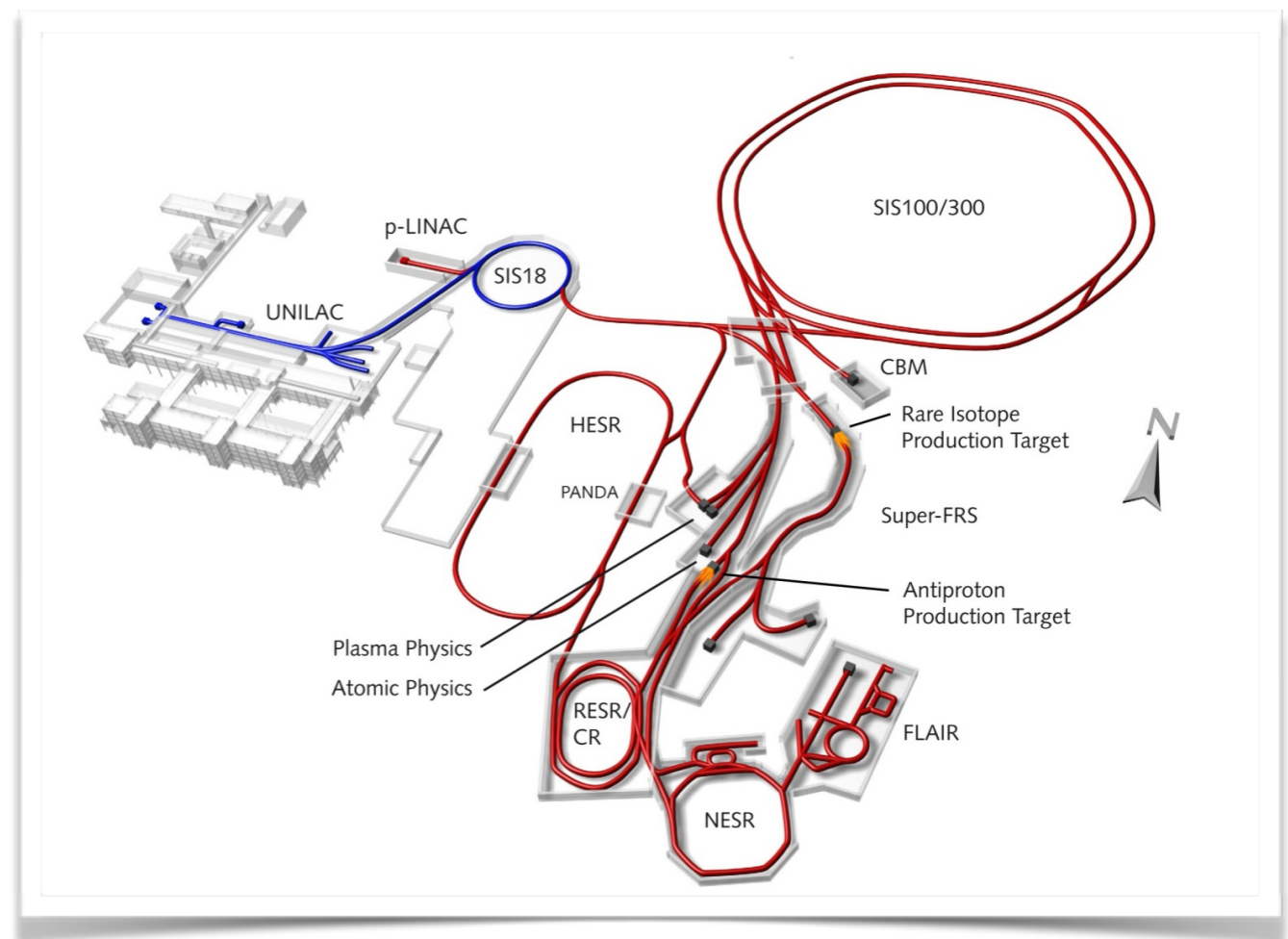
aim: create hot and dense QCD matter → understanding strongly correlated systems

QCD under extreme conditions: very active field → see e.g. FAIR construction (2014)

► Goals of HIC Experiments: learn **QCD matter Equation of State**

## Understanding fundamental phenomena:

- color confinement
- nature of chiral & deconfinement transition
- early Universe history
- nuclear matter
- properties of stars
- ...



# Experiments: Heavy-Ion Collision

aim: create hot and dense QCD matter → understanding strongly correlated systems

QCD under extreme conditions: very active field → see e.g. FAIR construction (2014)

► Goals of HIC Experiments: learn **QCD matter Equation of State**

## Understanding fundamental phenomena:

- color confinement
- nature of chiral & deconfinement transition
- early Universe history
- nuclear matter
- properties of stars
- ...

FAIR construction start 2012

Aug.2014



# Quantum Chromodynamics

Strongly-interacting matter: non-Abelian  $SU(3)_c$  gauge theory

**Lagrangian** (without gauge fixing):

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}(i\not{D}_a T_a - m - \mu_f \gamma_0)\psi - \frac{1}{4} F_a^{\mu\nu} F_{\mu\nu,a}$$

quark masses (input Electroweak)
chemical potentials

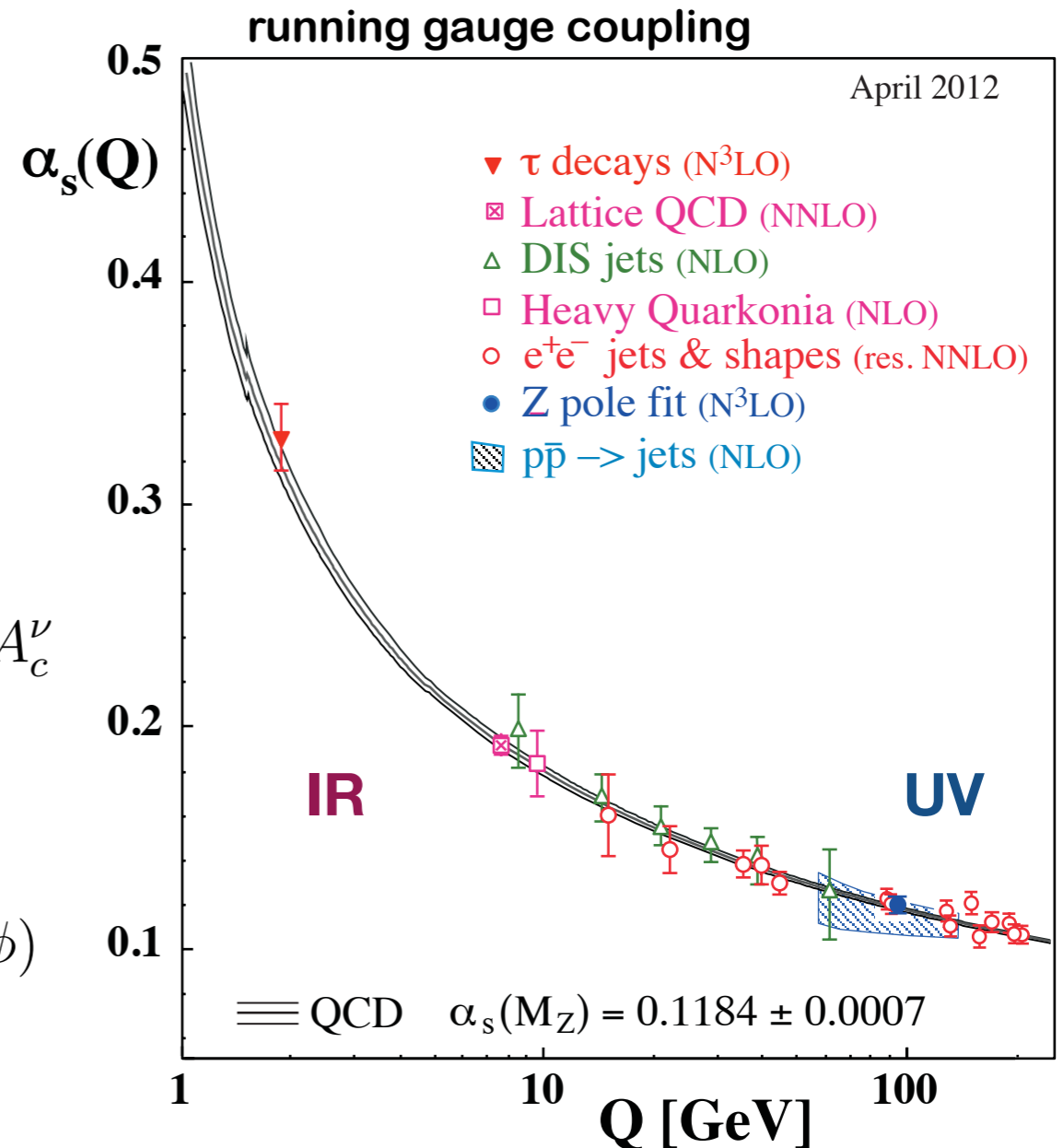
quark fields
gauge fields

covariant derivative:  $D_a^\mu = \partial^\mu + igA_a^\mu$

gauge field tensor:  $F_a^{\mu\nu} = \partial^\mu A_a^\nu - \partial^\nu A_a^\mu - gf_{abc}A_b^\mu A_c^\nu$

**Partition function:**

$$\mathcal{Z}(T, \mu_f) = \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{D}\phi e^{-\int_0^\beta d\tau d^3x \mathcal{L}_{\text{QCD}}(\bar{\psi}, \psi, \phi)}$$



[S. Bethke, 2012]

# Quantum Chromodynamics

## QCD at finite temperatures and densities

→ “transitions” partial deconfinement & partial chiral symmetry restoration

For physical quark masses: smooth phase transitions → deconfinement: analytic change of d.o.f.

→ associated global QCD symmetries only **exact** in two mass limits:

1.) infinite quark masses → center symmetry: Order parameter: VEV of traced Polyakov loop

(alternatives: dual observables, e.g. dressed Polyakov loop)  
[Gattringer et al. 06/07]

$$\Phi = \langle l(\vec{x}) \rangle = \exp(-\beta F_q) \quad ; \quad \bar{\Phi} = \langle l^\dagger(\vec{x}) \rangle = \exp(-\beta F_{\bar{q}})$$

Free energy  $F_q$  of a static quark (anti-quark) in hot gluonic medium

### confined (disordered) phase

- free energy diverges
- Polyakov loop vanishes
- correlations vanishes

### deconfined (ordered) phase

- free energy finite
- Polyakov loop non-vanishing
- correlations finite

# Quantum Chromodynamics

## QCD at finite temperatures and densities

→ “transitions” partial deconfinement & partial chiral symmetry restoration

For physical quark masses: smooth phase transitions → deconfinement: analytic change of d.o.f.

→ associated global QCD symmetries only **exact** in two mass limits:

1.) infinite quark masses → center symmetry: Order parameter: VEV of traced Polyakov loop

2.) massless quarks → chiral symmetry: Order parameter: chiral condensate

$$SU(N_f)_L \times SU(N_f)_R \times U(1)_B \times U(1)_A$$

↓

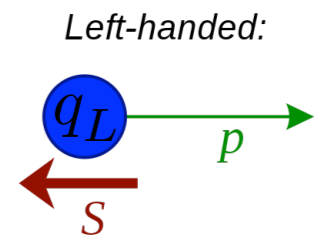
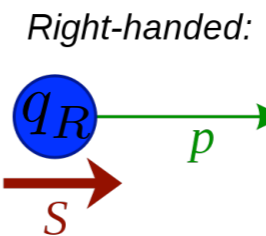
$$SU(N_f)_{L+R \equiv V} \times U(1)_B$$

→  $N_f^2 - 1$  massless Nambu-Goldstone bosons

broken (ordered) phase

■ condensate  $\langle \bar{q}q \rangle \neq 0$

$$\langle \bar{q}q \rangle = \langle \bar{q}_L q_R + \bar{q}_R q_L \rangle$$



broken explicitly to  $Z_{2N_f}$  by quantum effects

symmetric (disordered) phase

■ condensate  $\langle \bar{q}q \rangle = 0$

# Quantum Chromodynamics

## QCD at finite temperatures and densities

→ “transitions” partial deconfinement & partial chiral symmetry restoration

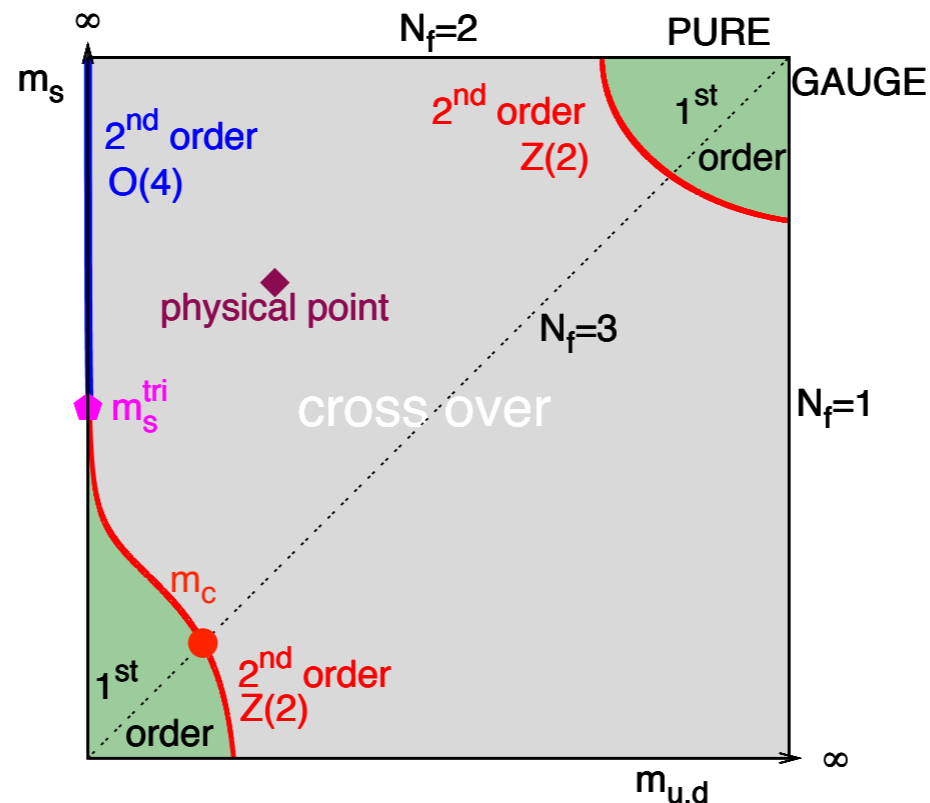
For physical quark masses: smooth phase transitions → deconfinement: analytic change of d.o.f.

→ associated global QCD symmetries only **exact** in two mass limits:

1.) infinite quark masses → center symmetry: Order parameter: VEV of traced Polyakov loop

2.) massless quarks → chiral symmetry: Order parameter: chiral condensate

for finite quark masses:  
both symmetries  
explicitly broken





# Quantum Chromodynamics

## QCD at finite temperatures and densities

→ “transitions” partial deconfinement & partial chiral symmetry restoration

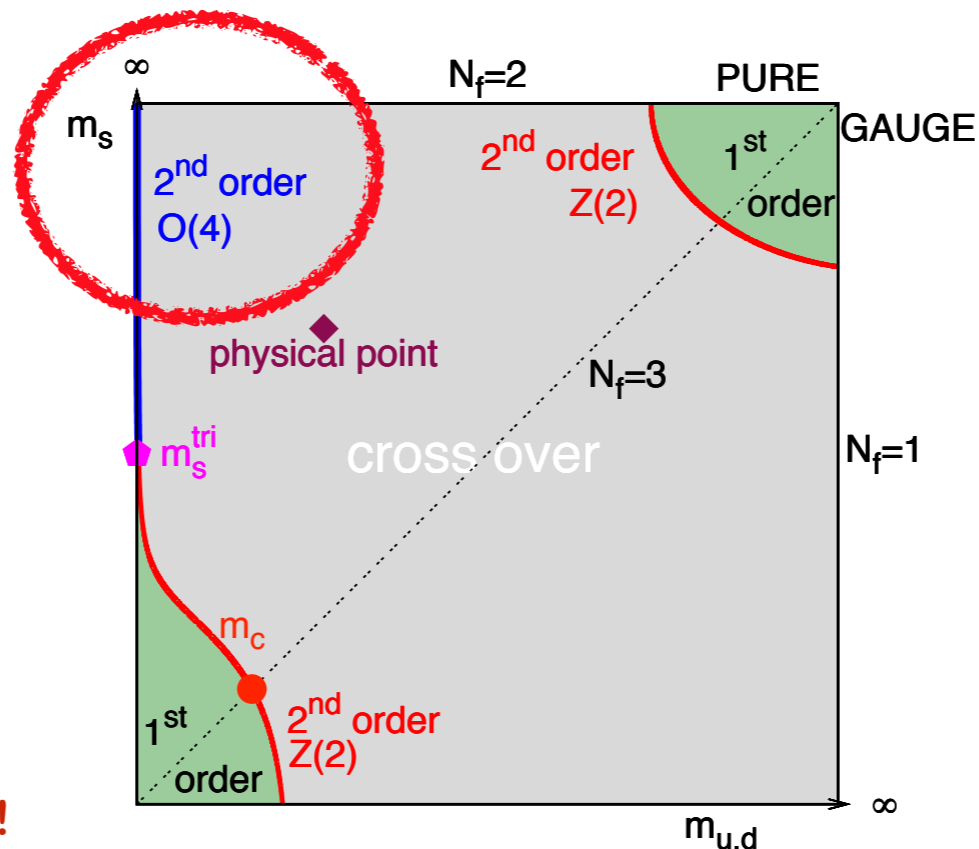
For physical quark masses: smooth phase transitions → deconfinement: analytic change of d.o.f.

→ associated global QCD symmetries only **exact** in two mass limits:

1.) infinite quark masses → center symmetry: Order parameter: VEV of traced Polyakov loop

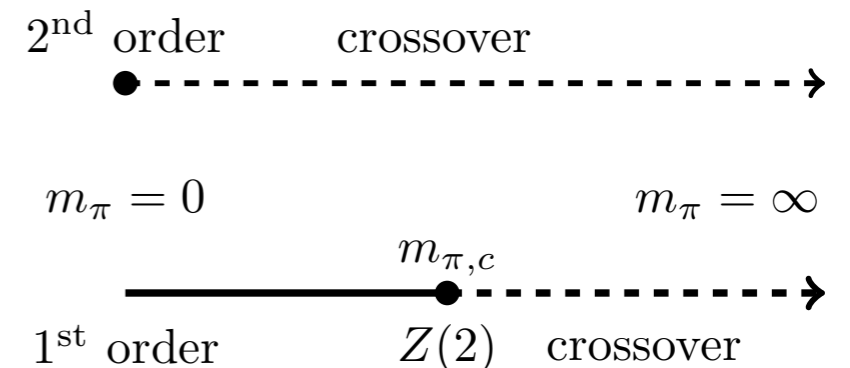
2.) massless quarks → chiral symmetry: Order parameter: chiral condensate

for finite quark masses:  
both symmetries  
explicitly broken



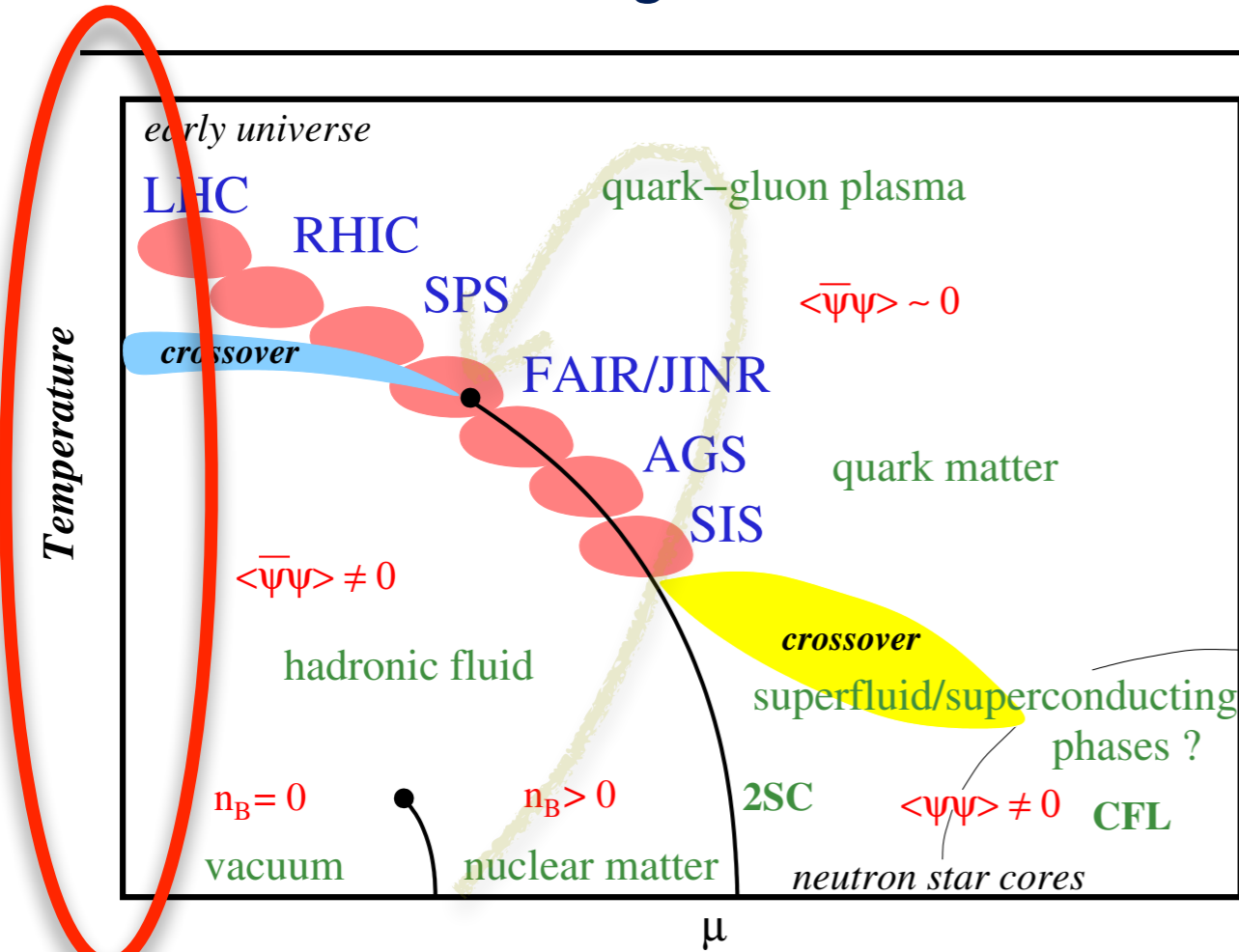
open issue:  $N_f=2$ :  $O(4)$ ?  
 $U(2)_L \times U(2)_R / U(2)_V$ ?  
→ crit. exp. similar  
or even 1<sup>st</sup> order?

dep. on strength of axial anomaly!

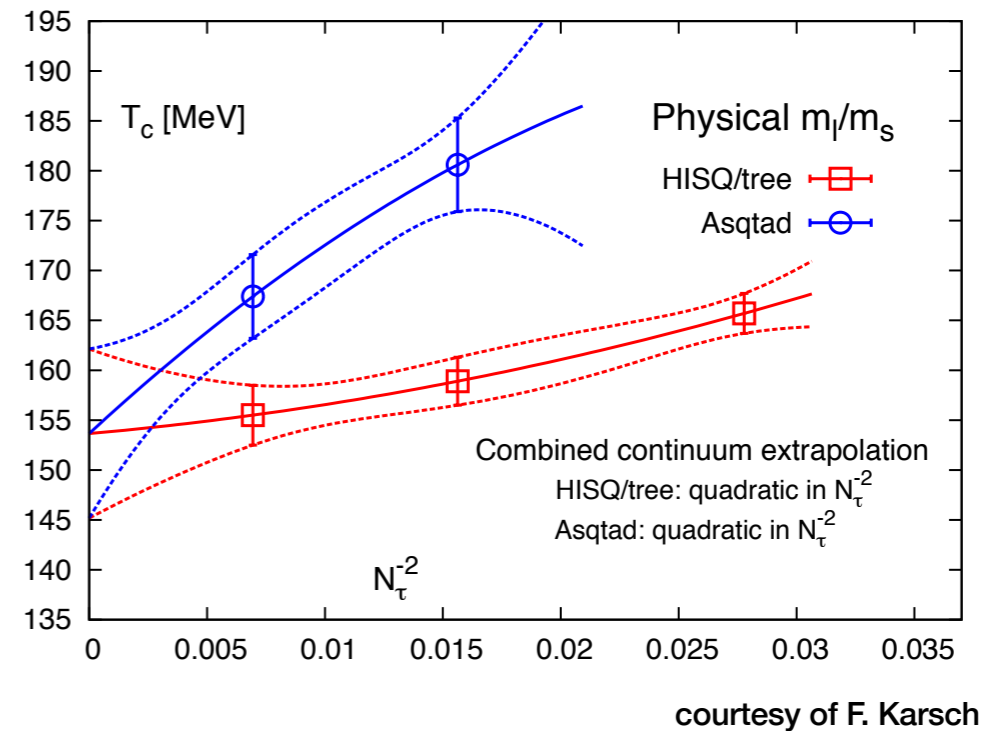


still conflicting lattice results!

# Conjectured QCD phase diagram



QCD lattice simulations: no final answer

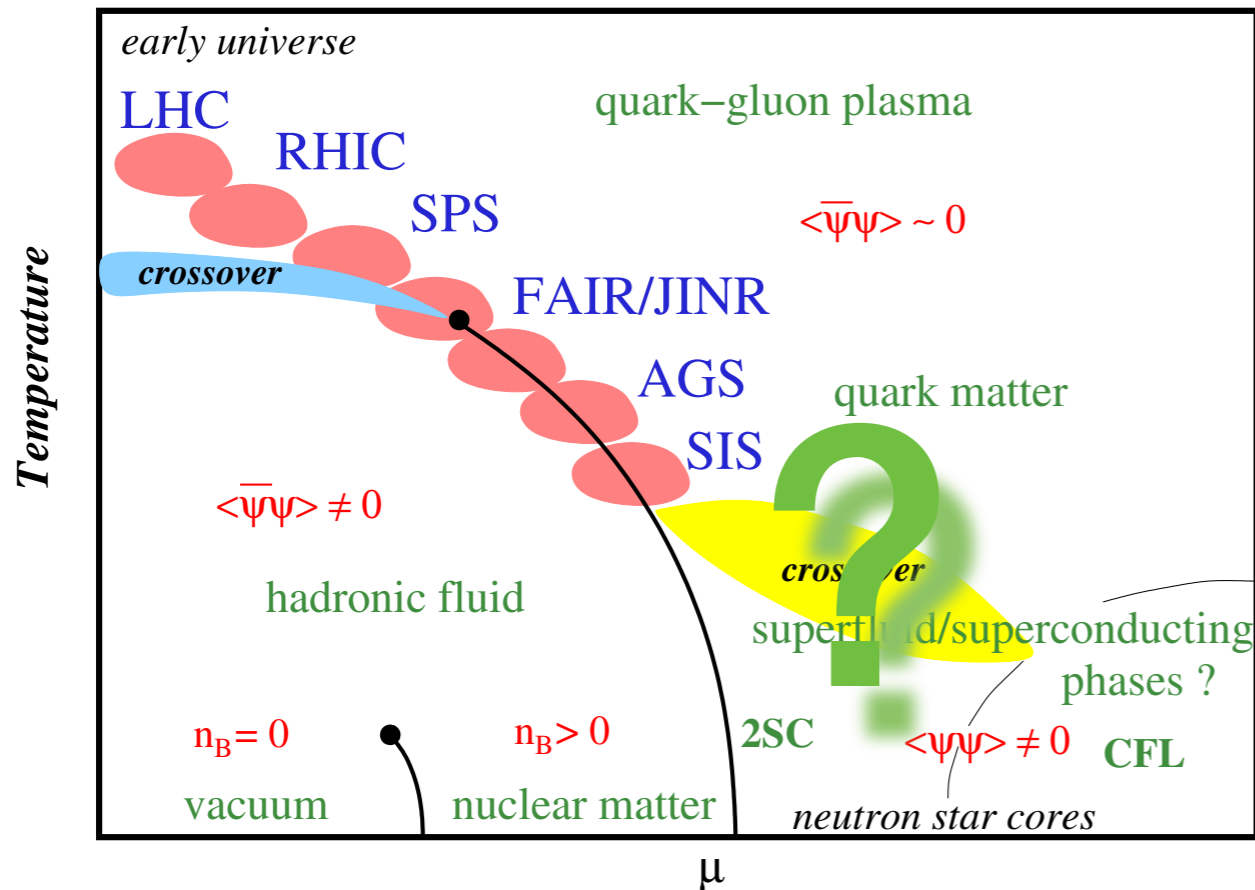


Lattice simulations

→ can one improve the model calculations?

→ remove model ambiguities

# Conjectured QC<sub>3</sub>D phase diagram



## Theoretical questions: chiral & deconfinement transition

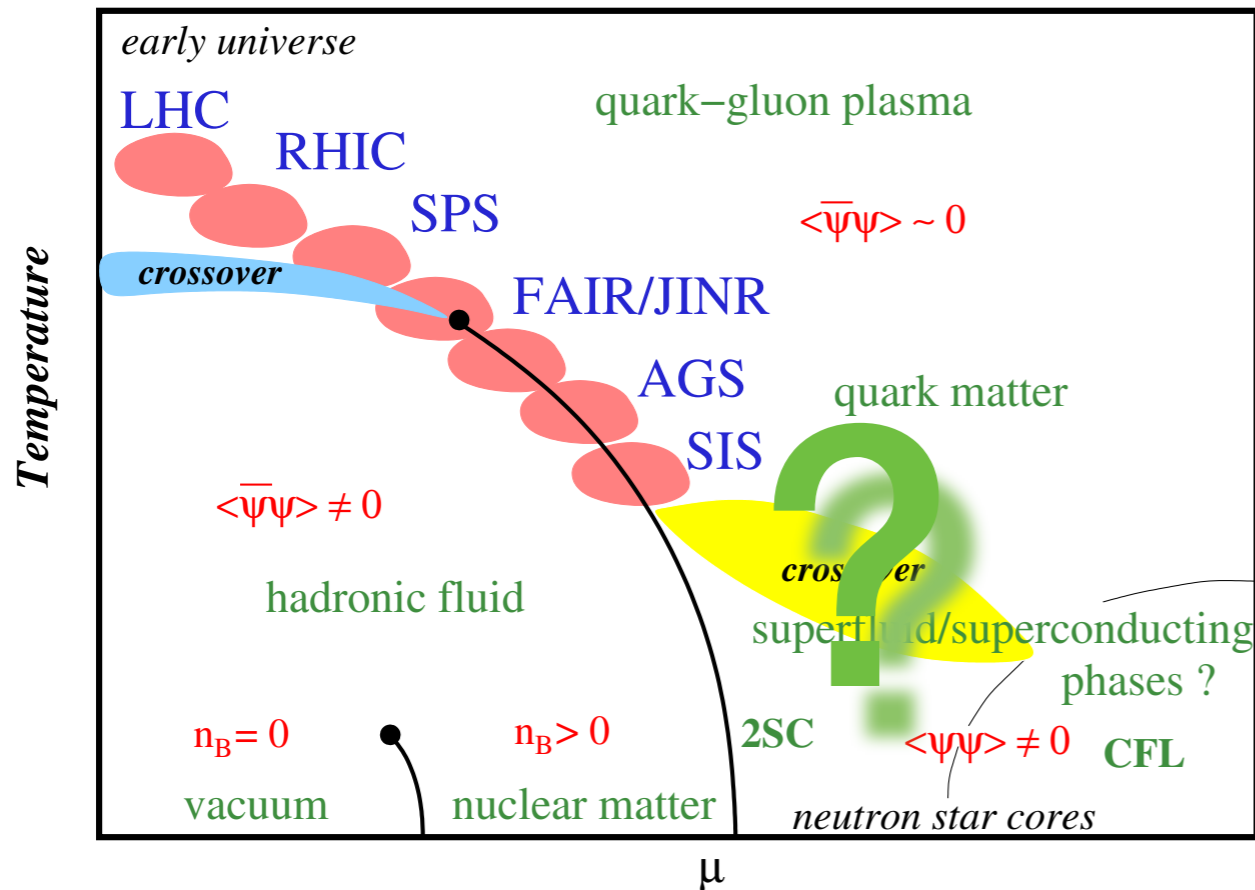
- **CEP:** existence/location/number
- **Quarkyonic phase:** coincidence of both transitions at  $\mu = 0$  &  $\mu > 0$ ?
- relation between chiral & deconfinement?  
**chiral CEP/deconfinement CEP?**  
[Braun, Janot, Herbst 12/14]
- **finite volume effects?** → lattice comparison
- **inhomogeneous phases?** → more favored?
- **role of fluctuations?** so far mostly mean-field results  
→ effects of fluctuations are important  
e.g. size of critical region around CEP
- **axial anomaly restoration** around chiral transition?
- **good experimental signatures?**

→ can one improve the model calculations?

→ **remove model ambiguities**

→ higher moments more sensitive to criticality  
deviation from HRG model

# Conjectured QC<sub>3</sub>D phase diagram



Theoretical questions: chiral & deconfinement transition

- **CEP:** existence/location/number
- **Quarkyonic phase:** coincidence of both transitions at  $\mu = 0$  &  $\mu > 0$ ?
- relation between chiral & deconfinement?  
**chiral CEP/deconfinement CEP?**  
[Braun, Janot, Herbst 12/14]
- **finite volume effects?** → lattice comparison
- **inhomogeneous phases?** → more favored?
- **role of fluctuations?** so far mostly mean-field results  
→ effects of fluctuations are important  
e.g. size of critical region around CEP
- **axial anomaly restoration** around chiral transition?
- **good experimental signatures?**

→ can one improve the model calculations?

→ **remove model ambiguities**

non-perturbative continuum functional methods (DSE, FRG, nPI)

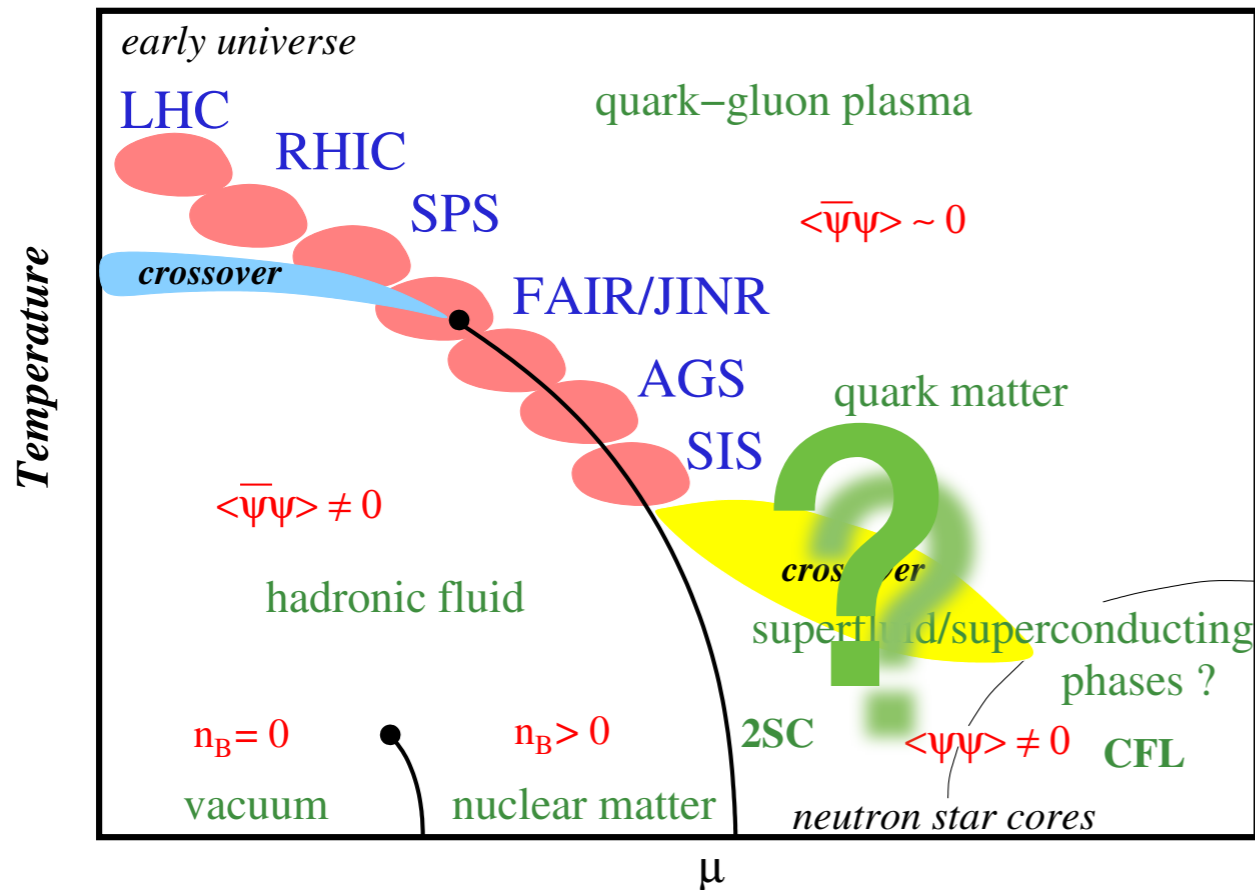
→ complementary to lattice

⇒ no sign problem  $\mu > 0$

⇒ chiral symmetry/fermions/small masses/chiral limit

→ higher moments more sensitive to criticality  
deviation from HRG model

# Conjectured QC<sub>3</sub>D phase diagram



Method of choice:

**Functional Renormalization Group**

e.g. (Polyakov)-quark-meson model truncation

- good description for chiral sector
- implementation of gauge dynamics (deconfinement sector)

**Theoretical questions:** chiral & deconfinement transition

- **CEP:** existence/location/number
- **Quarkyonic phase:** coincidence of both transitions at  $\mu = 0$  &  $\mu > 0$ ?
- relation between chiral & deconfinement?  
**chiral CEP/deconfinement CEP?**  
[Braun, Janot, Herbst 12/14]
- **finite volume effects?** → lattice comparison
- **inhomogeneous phases?** → more favored?
- **role of fluctuations?** so far mostly mean-field results  
→ effects of fluctuations are important  
e.g. size of critical region around CEP
- **axial anomaly restoration** around chiral transition?
- **good experimental signatures?**  
→ higher moments more sensitive to criticality  
deviation from HRG model

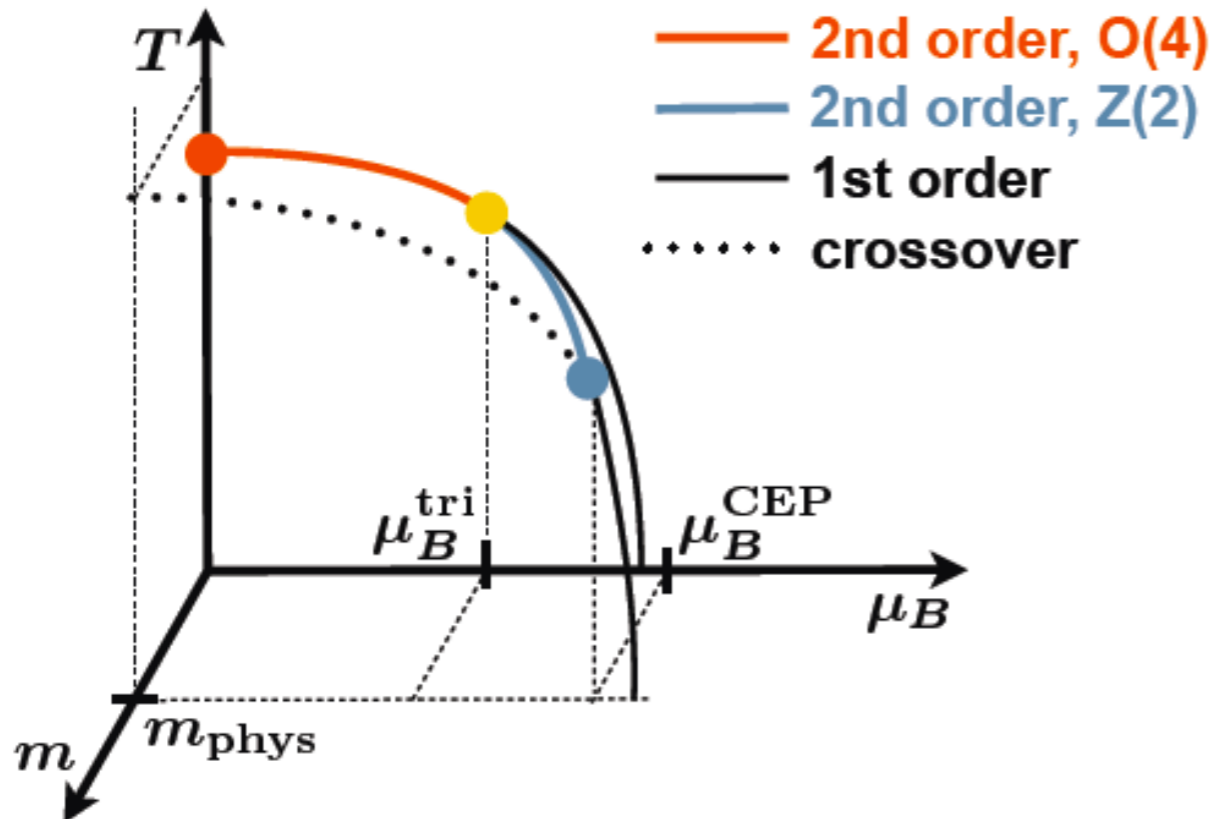
# Chiral transition

Fluctuations of order parameter  $\rightarrow \infty$  at 2<sup>nd</sup> order transition  
 critical fluctuations  $\rightarrow$  phase boundary?

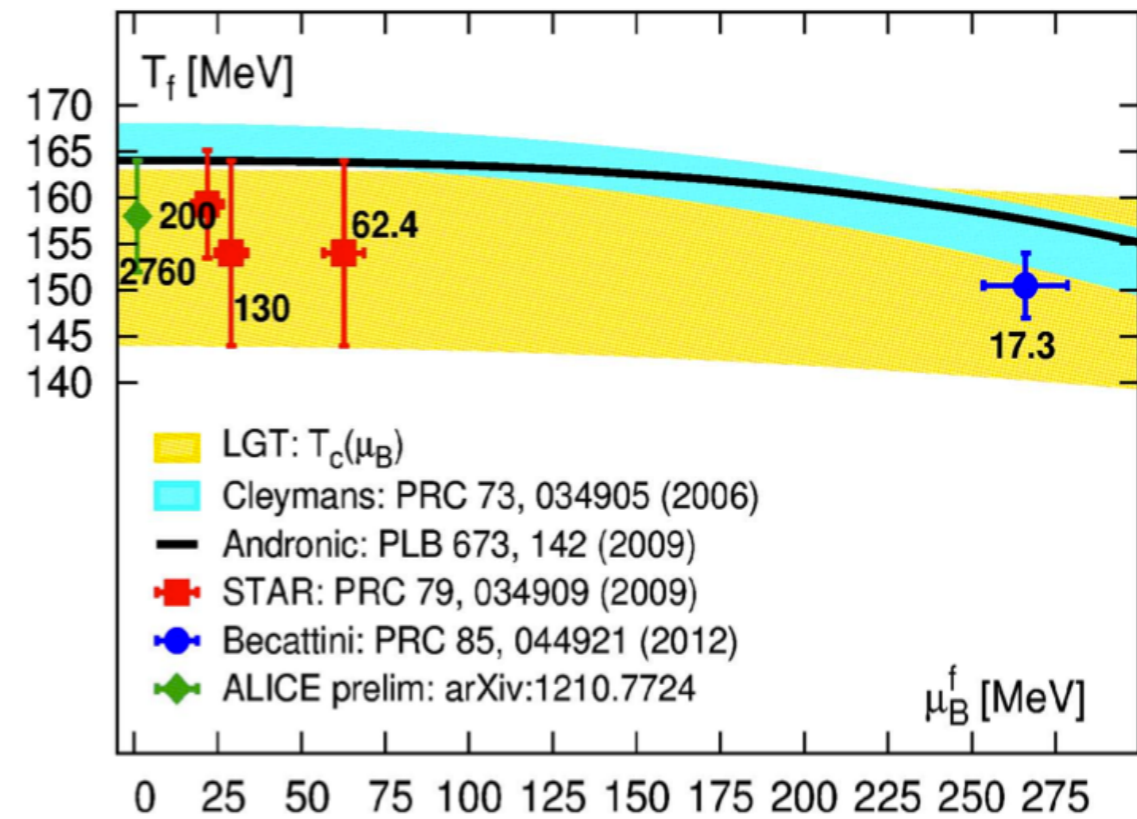
How can we probe a transition?

- singular behaviour in  $\frac{\partial^n p(X)}{\partial X^n}$  with  $X = T, \mu, \dots$
- higher order cumulants  $c_n \equiv \frac{\partial^n p(T, \mu)}{\partial (\mu/T)^n}$

... more sensitive to criticality



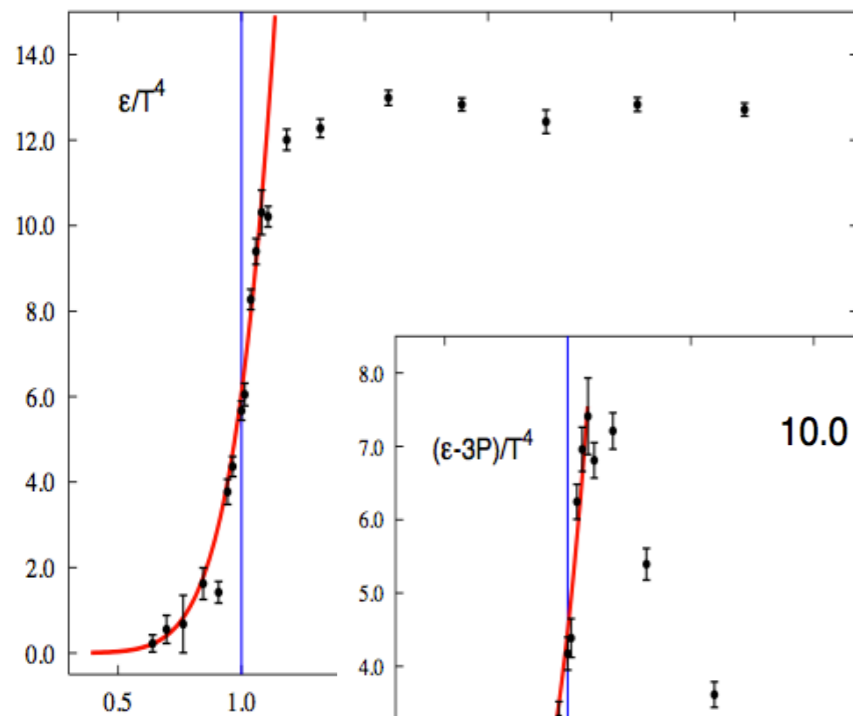
freeze-out close to chiral crossover line



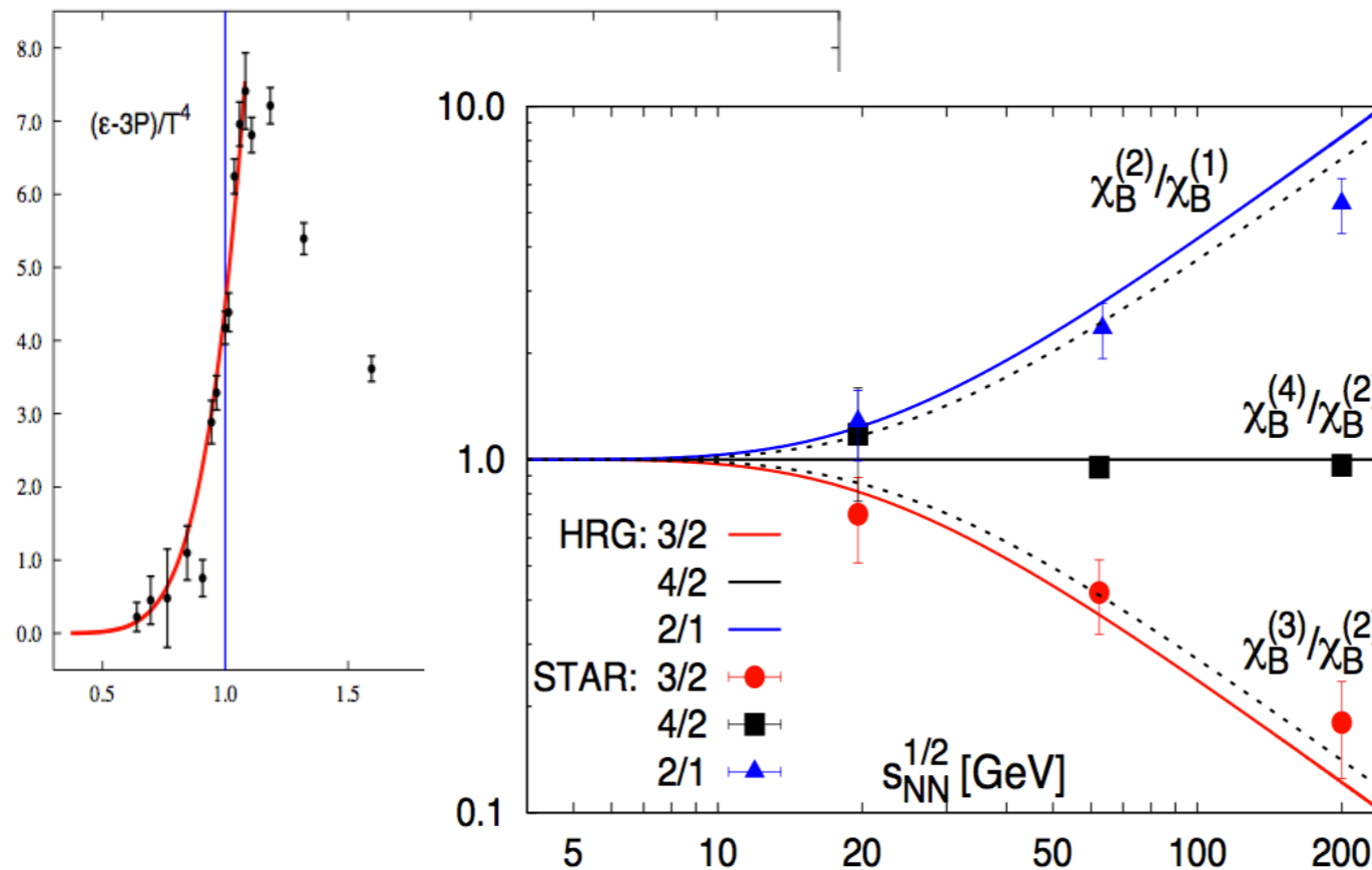
[HotQCD, QM 2012]

# Hadron Resonance Gas Model

HRG model: good lattice data description

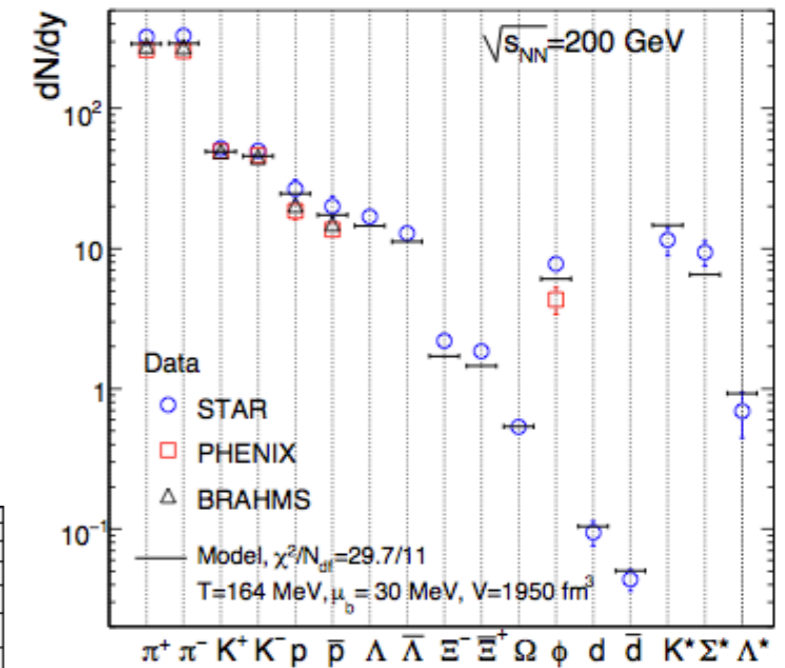


HRG model: no critical fluctuations



HRG model versus experiment

[Andronic et al. 2011]



[Karsch, Redlich 2010]

---

# Agenda

---

- Phase transitions and QCD
- QCD-like model studies
  - chiral and deconfinement aspects
- Significance of Fluctuations



# Vacuum Fluctuations

Partition function:

$$\mathcal{Z} = \int \underbrace{\mathcal{D}\bar{\psi}\mathcal{D}\psi\mathcal{D}\phi}_{\text{replace with (const.) condensate } \sigma} e^{-\int d^4x \mathcal{L}(\bar{\psi}, \psi, \phi)}$$

Grand potential in Mean-field approximation

$$\Omega(T, \mu; \sigma) = \Omega_{\text{vac}} + \Omega_T + V_{\text{MF}}(\sigma) \quad (+\mathcal{U}_{\text{Poly}}(\Phi))$$

vacuum term: regularize e.g. with sharp three-momentum cutoff

$$\Omega_{\text{vac}}(\Lambda) = -4 \int^{\Lambda} \frac{d^3p}{(2\pi)^3} \sqrt{\vec{p}^2 + m_q^2}$$

for each cutoff: adjust model parameters like  $f_{\pi}, m_{\sigma}, m_{\pi}$

standard MFA:  $\Lambda = 0$

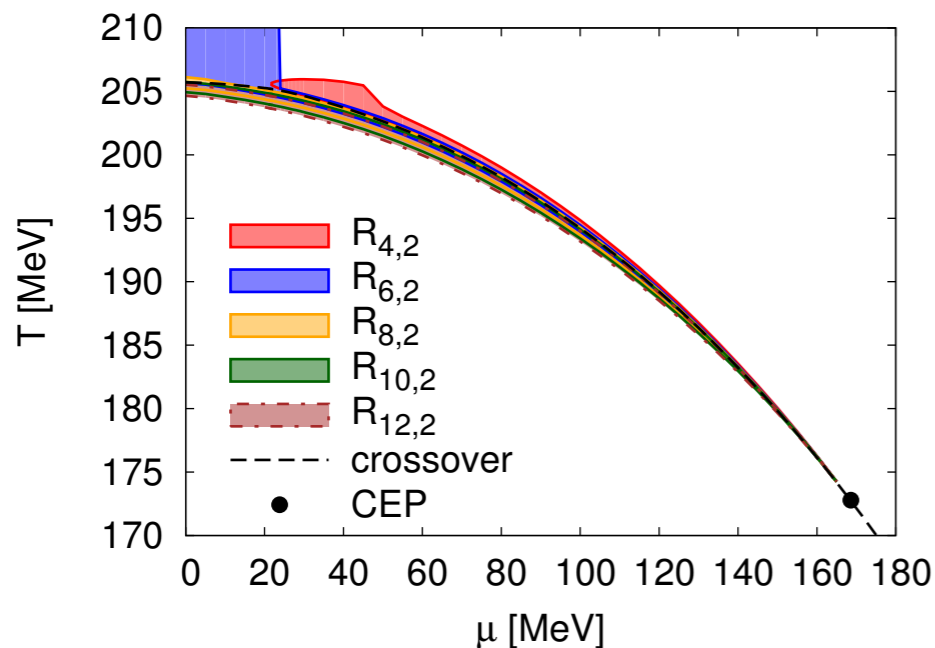
# Role of fluctuations in (P)QM models

Fluctuations of higher moments exhibit **strong variation from HRG model**

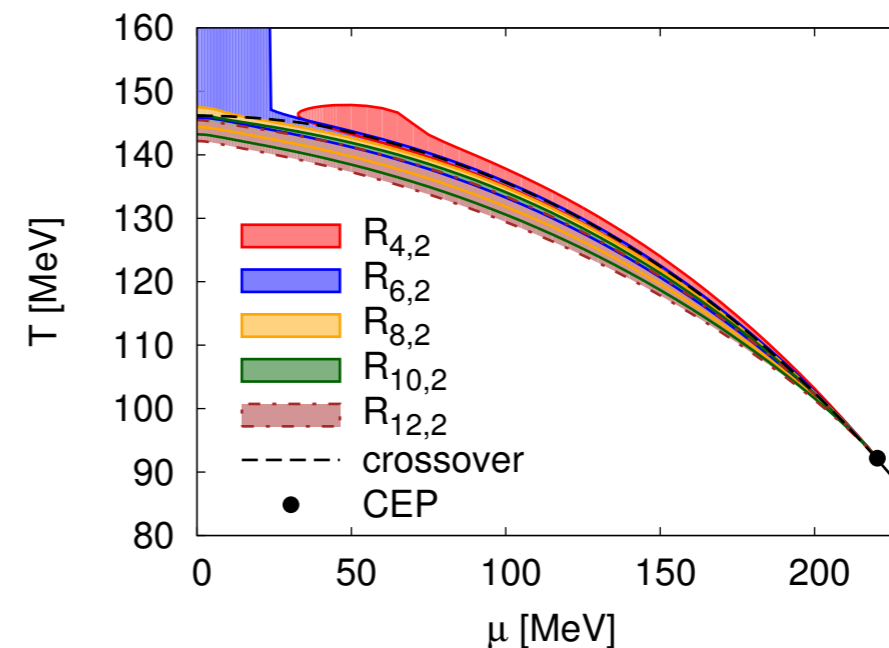
[Karsch, Redlich, Friman, Koch et al. 2011]

- → turn negative
- higher moments:  $R_{n,m}^q = c_n/c_m$        $c_n$ : Taylor expansion coefficients of pressure
- regions where  $R_{n,2} < 0$  along crossover in the phase diagram

unquenched PQM MFA



QM MFA w/o vacuum



role of vacuum term in (P)QM models see

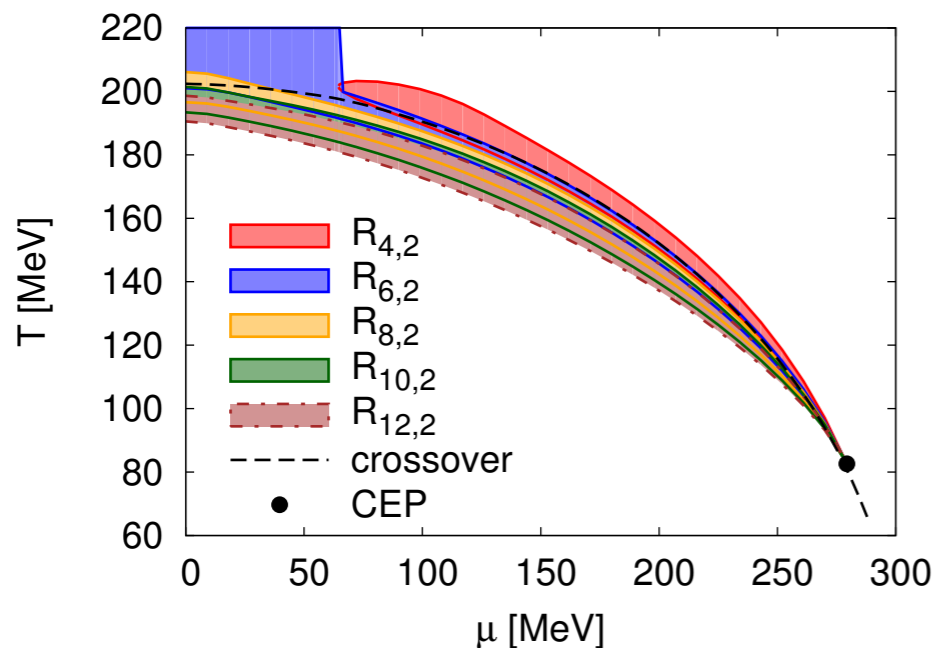
# Role of fluctuations in (P)QM models

Fluctuations of higher moments exhibit **strong variation from HRG model**

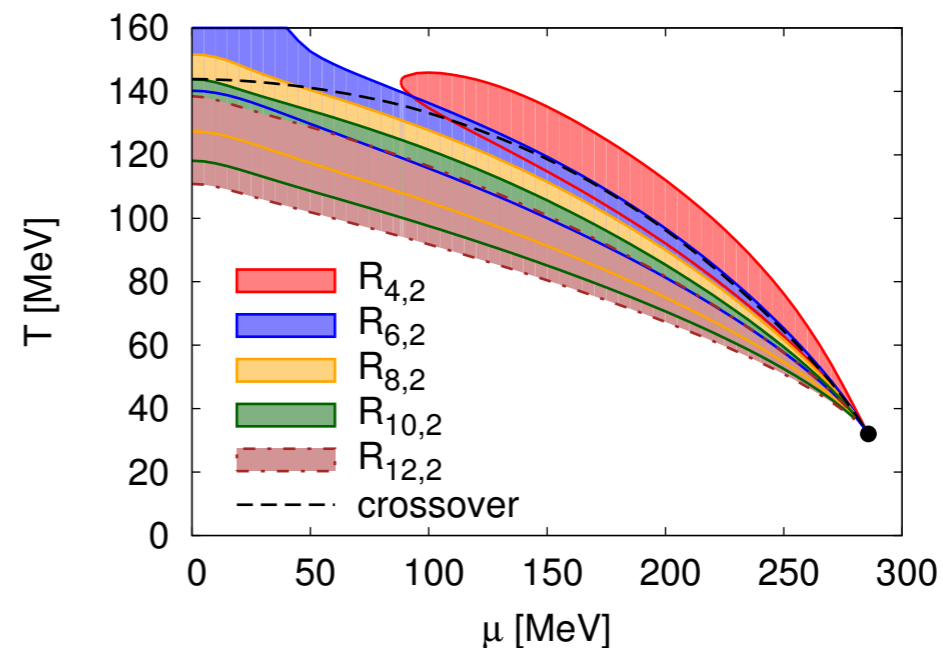
[Karsch, Redlich, Friman, Koch et al. 2011]

- → turn negative
- higher moments:  $R_{n,m}^q = c_n/c_m$        $c_n$ : Taylor expansion coefficients of pressure
- regions where  $R_{n,2} < 0$  along crossover in the phase diagram

unquenched PQM MFA renormalized



QM MFA renormalized



role of vacuum term in (P)QM models see: [BJS, Wagner 2011/12]

# Mean-Field PQM

# $N_f=2+1$

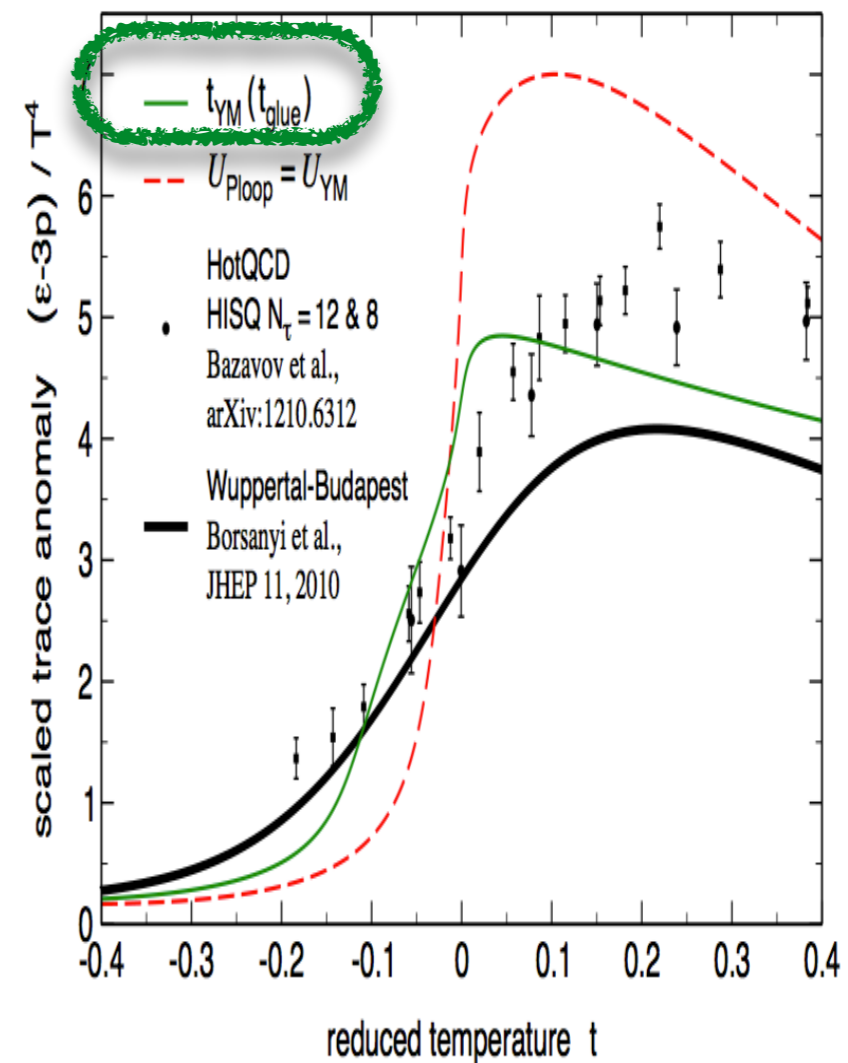
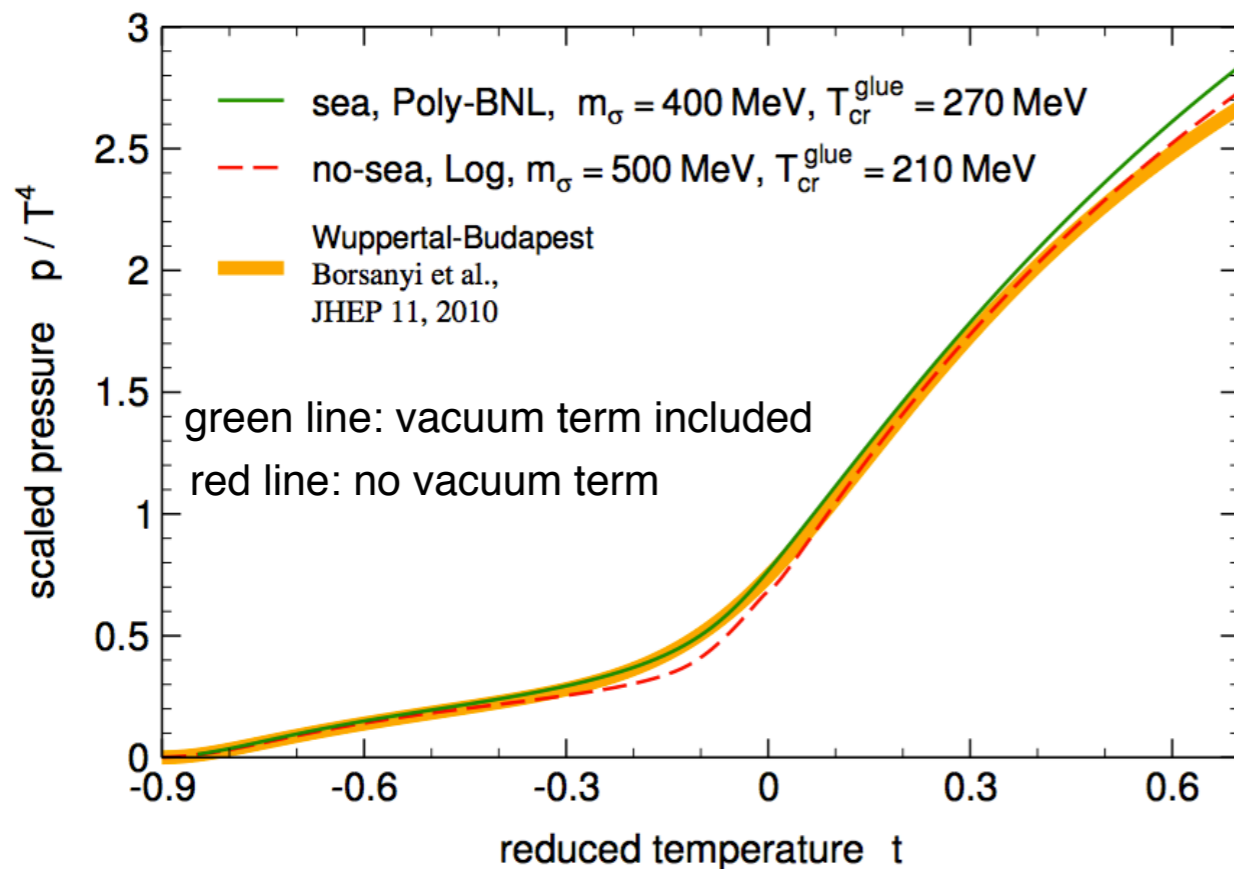
improvement of pure (YM) Polyakov-loop potential:  
matter back-coupling on gluodynamics

an effective unquenching

$$\mathcal{U}_{glue}(t_{glue}) = \mathcal{U}_{YM}(t_{YM})$$

$$\text{with } t_{YM}(t_{glue}) = 0.57 t_{glue}$$

[Herbst, Mitter, Stiele, Pawlowski, BJS 2014]



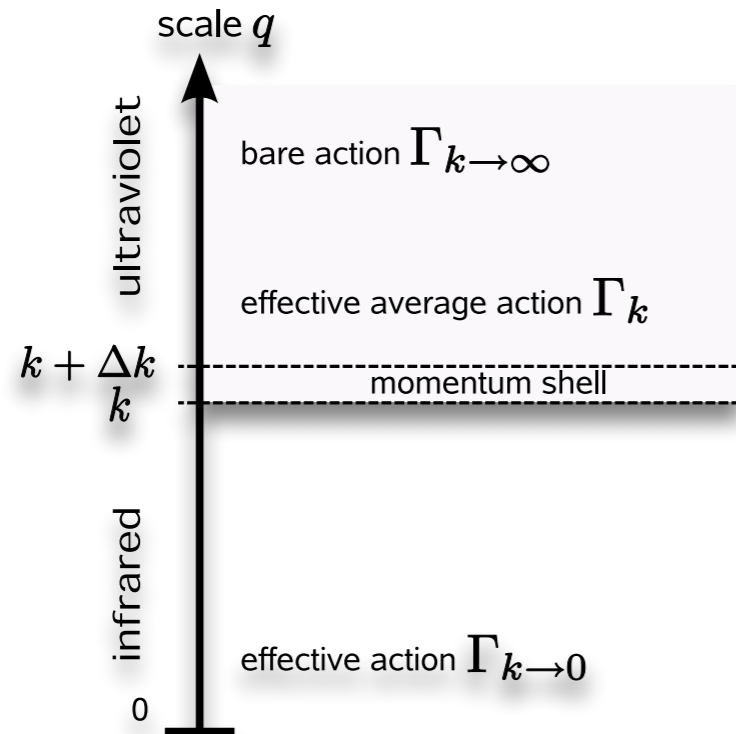
# Functional Renormalization Group

■  $\Gamma_k[\phi]$  scale dependent effective action

$$t = \ln(k/\Lambda)$$

$R_k$  regulators

$$\Gamma_k^{(2)} = \frac{\delta^2 \Gamma_k}{\delta \phi \delta \phi}$$



## FRG (average effective action)

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \partial_t R_k \left( \frac{1}{\Gamma_k^{(2)} + R_k} \right)$$

$$k \partial_k \Gamma_k[\phi] \sim \frac{1}{2} \text{Regulator}$$

[Wetterich 1993]

■ Ansatz for  $\Gamma_k$ : Leading order derivative expansion

$$\Gamma_k = \int d^4x \bar{q} [i\gamma_\mu \partial^\mu - g(\sigma + i\vec{\tau}\vec{\pi}\gamma_5)] q + \frac{1}{2} (\partial_\mu \sigma)^2 + \frac{1}{2} (\partial_\mu \vec{\pi})^2 + V_k(\phi^2)$$

arbitrary potential

$$V_{k=\Lambda}(\phi^2) = \frac{\lambda}{4} (\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

solutions with grid/polynomial techniques

# FRG and QCD

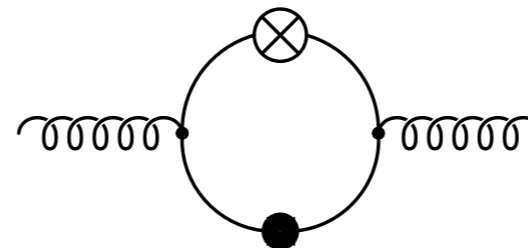
■ full dynamical QCD FRG flow:

[Braun, Haas, Pawłowski 2009/12]

fluctuations of **gluon**, **ghost**, **quark** and (via hadronization) **meson**

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \left[ \text{Gluon Loop} - \text{Ghost Loop} \right] - \left[ \text{Quark Loop} + \frac{1}{2} \text{Meson Loop} \right]$$

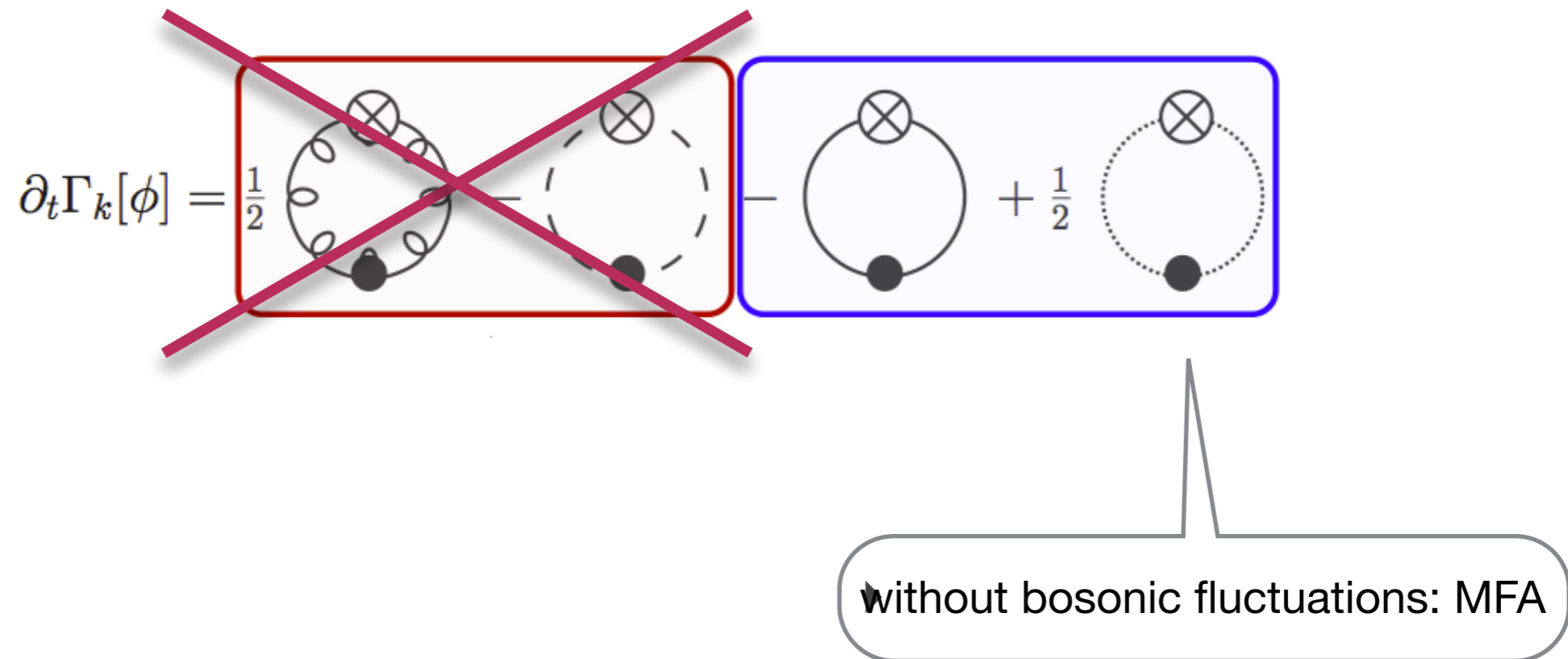
in presence of **dynamical quarks**:  
**gluon propagator** is modified



pure Yang Mills flow + matter back-coupling

# FRG: quark-meson truncation

First step: flow for **quark-meson** model truncation: neglect **YM contributions**

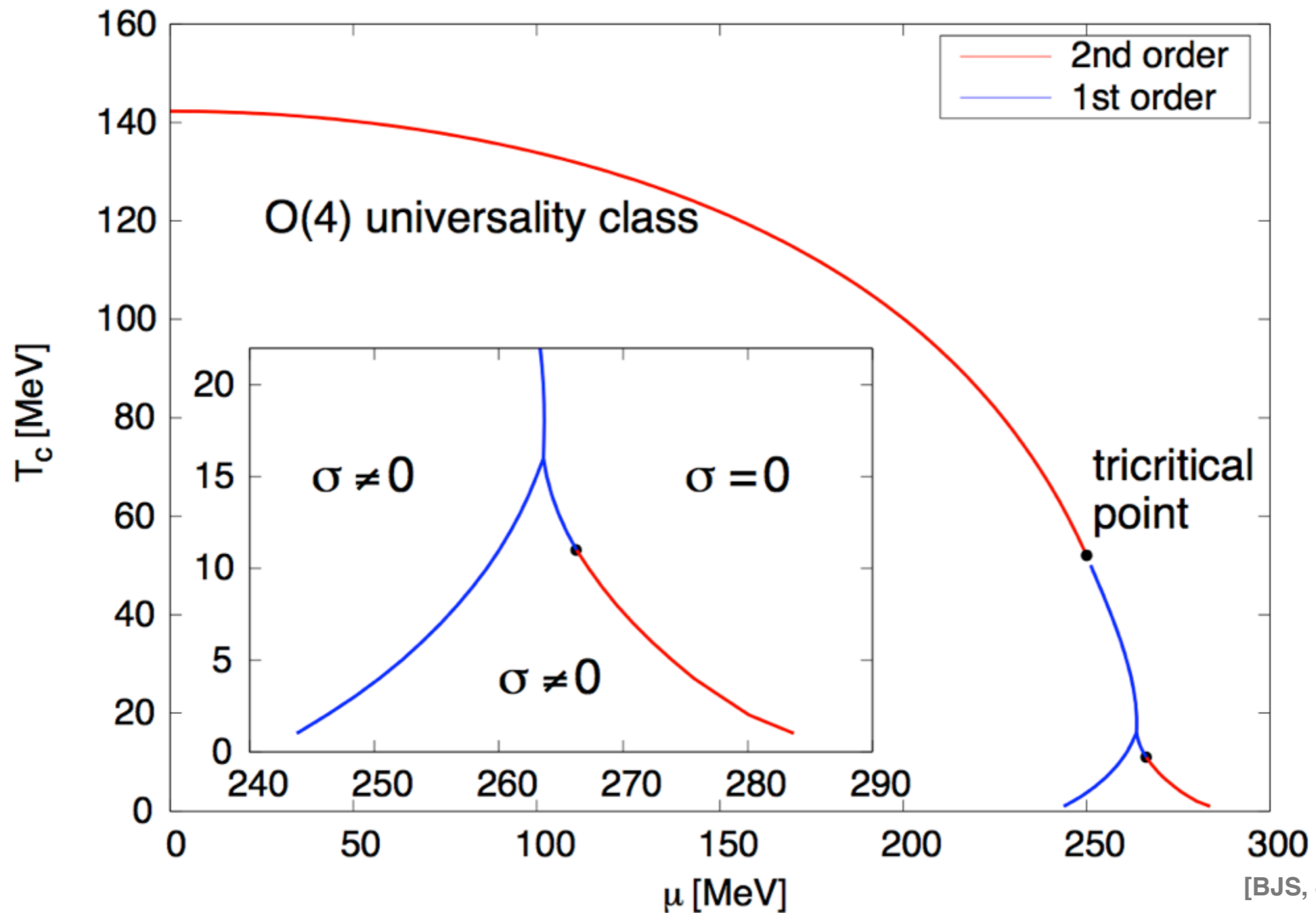


# Phase diagram $N_f=2$ QM

$O(4) \sim SU(2) \times SU(2)$

chiral limit

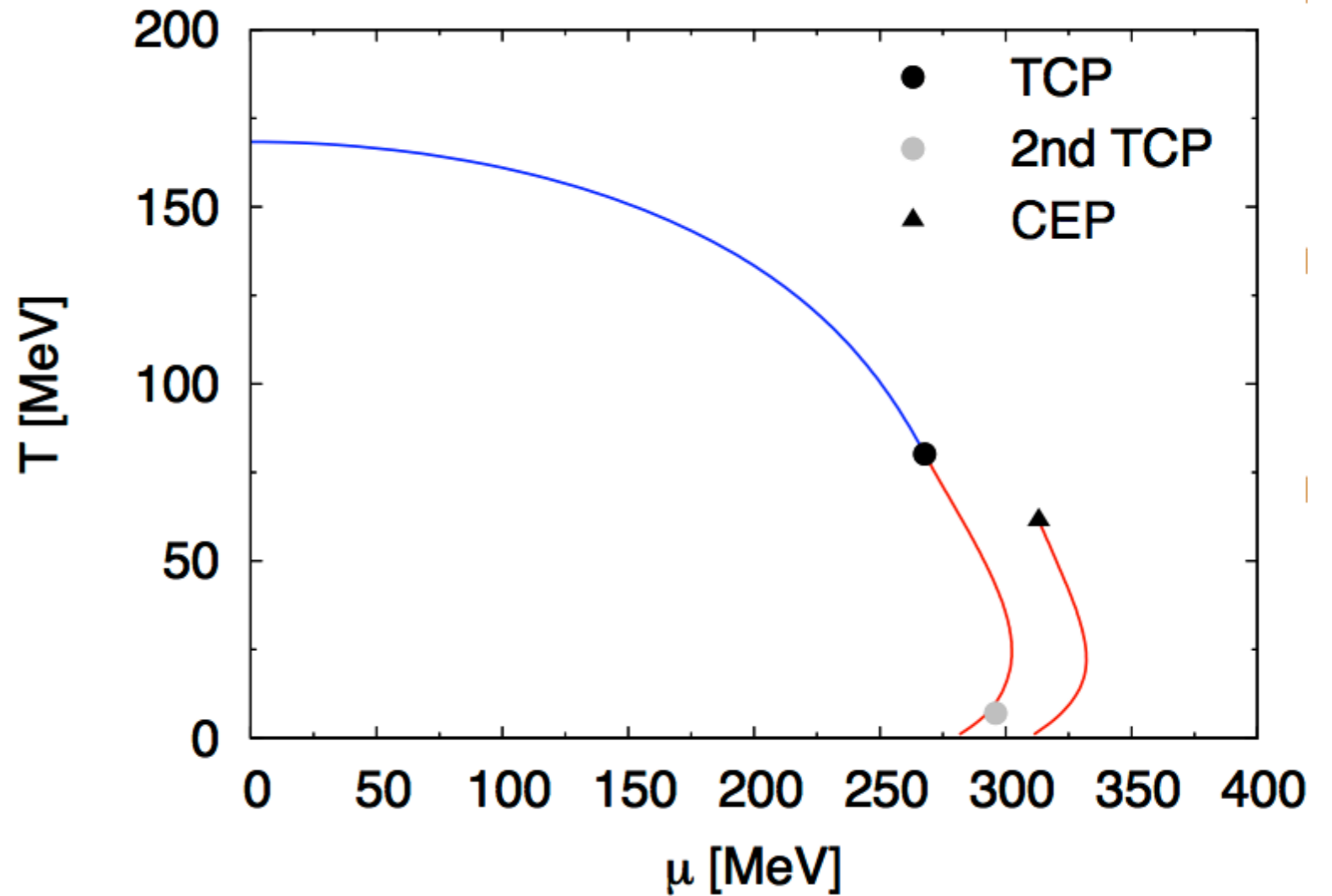
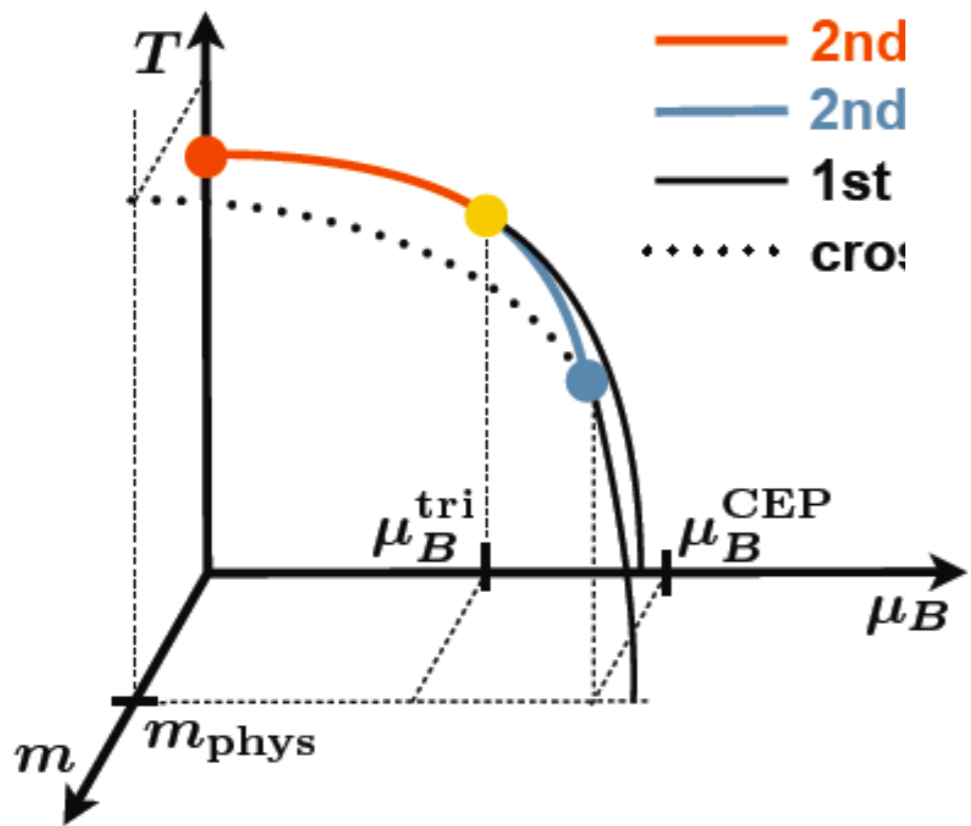
no spinodal lines!



[BJS, J Wambach 2005]



# Phase diagram $N_f=2$ QM

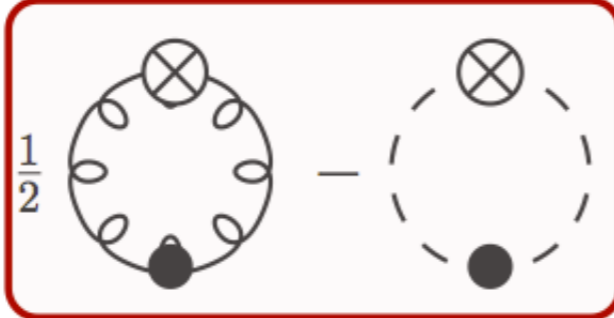


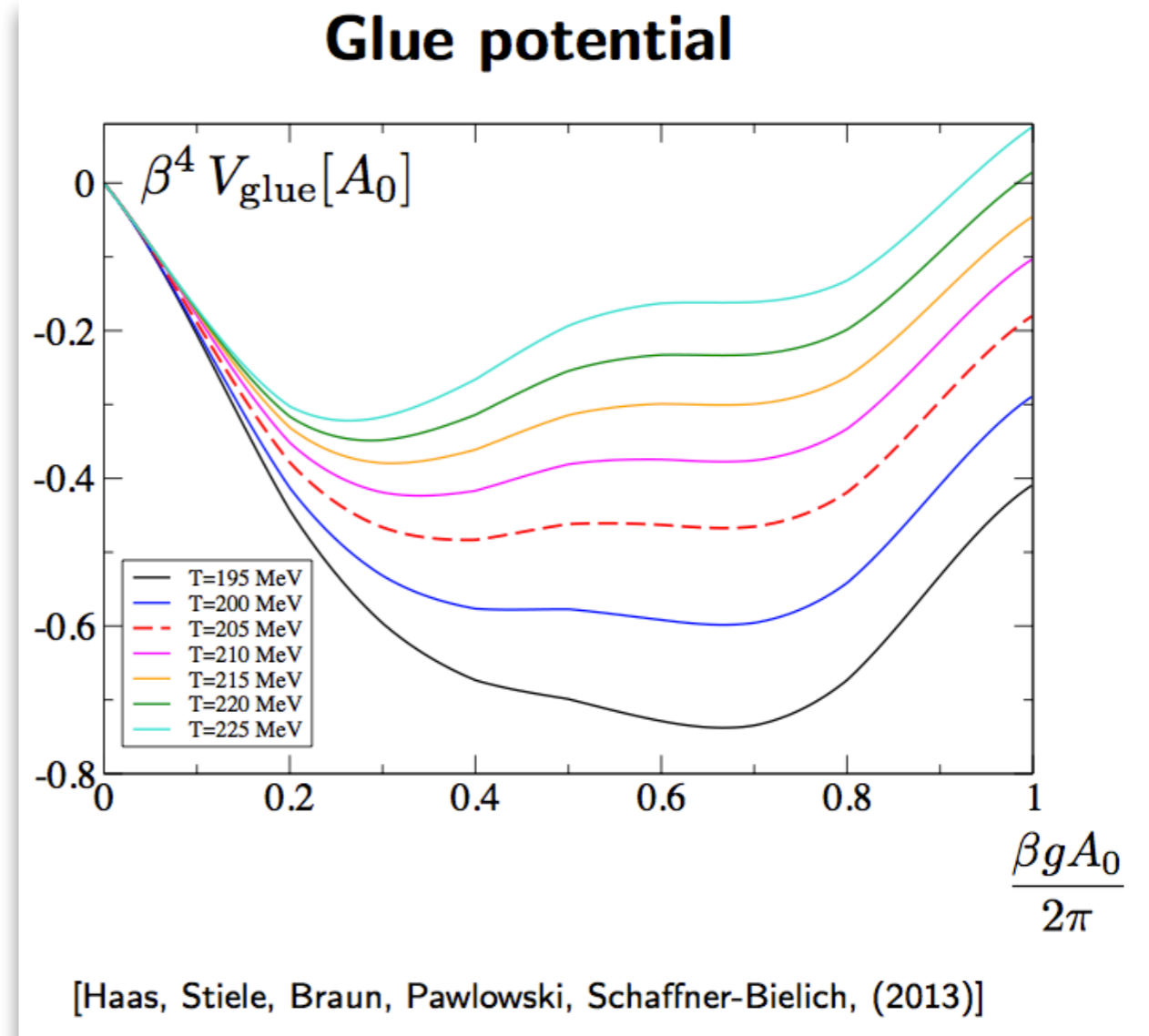
[BJS, J Wambach 2005]

# FRG and QCD

## ■ pure Yang Mills flow:

fluctuations of **gluon, ghost**

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \left( \text{Diagram 1} - \text{Diagram 2} \right)$$


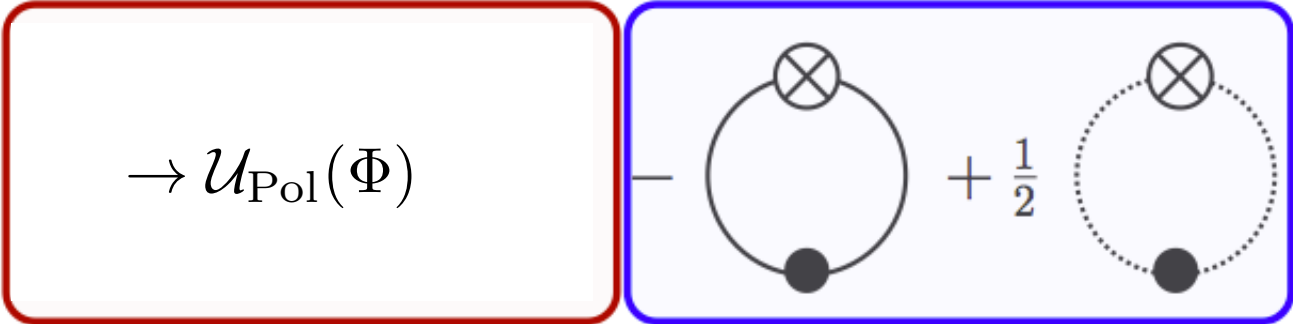


# FRG and QCD

■ **Polyakov-loop improved quark-meson flow:**

[Herbst, Pawłowski, BJS 2007 2013]

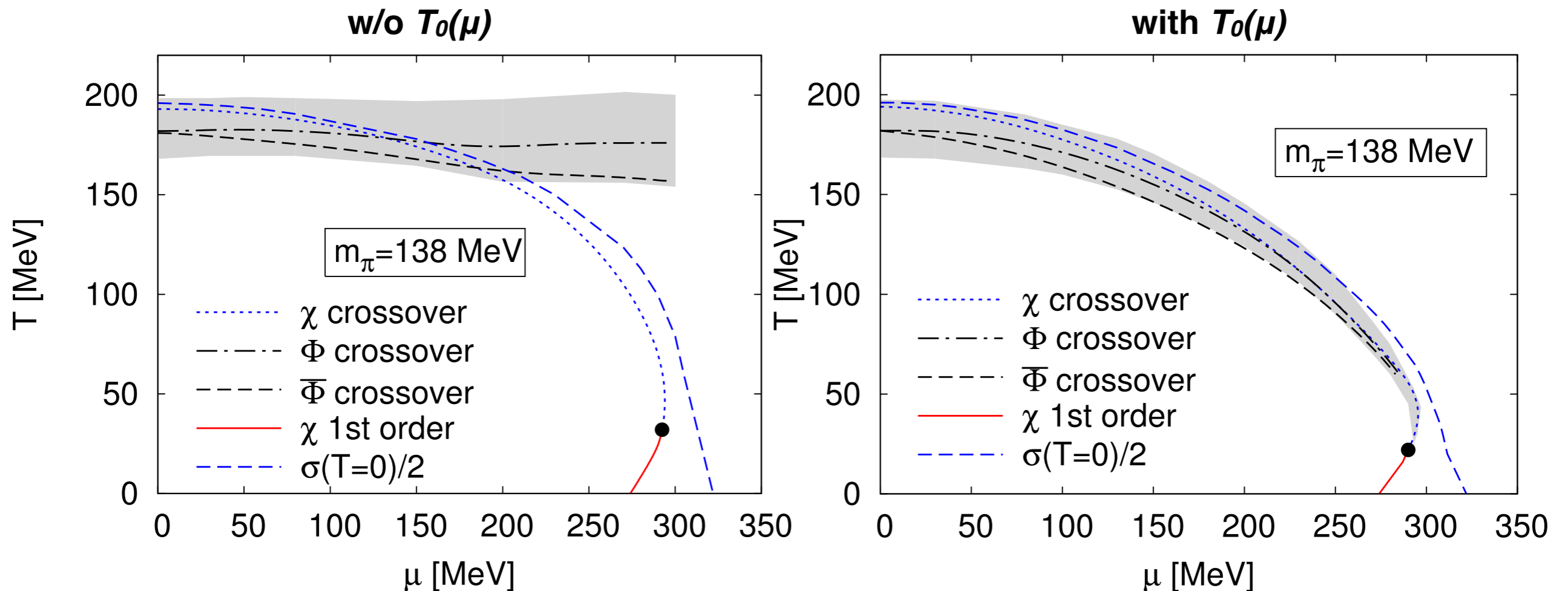
fluctuations of **Polyakov-loop**, **quark** and **meson**

$$\partial_t \Gamma_k[\phi] = \rightarrow \mathcal{U}_{\text{Pol}}(\Phi)$$


**Yang-Mills flow** replaced by  $\rightarrow \mathcal{U}_{\text{Pol}}(\Phi)$   
**→ effective Polyakov-loop potential**

**fitted to lattice Yang-Mills thermodynamics**

# FRG: Quark-Meson with Polyakov



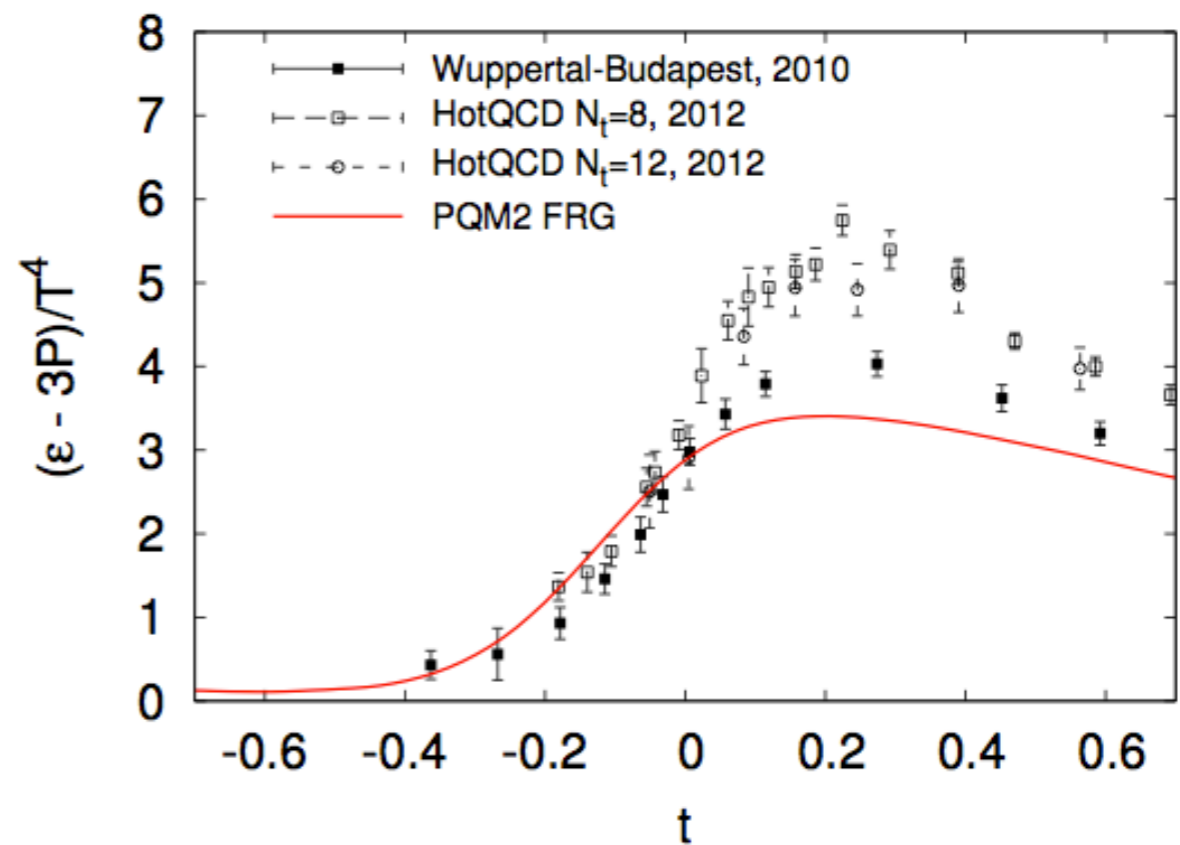
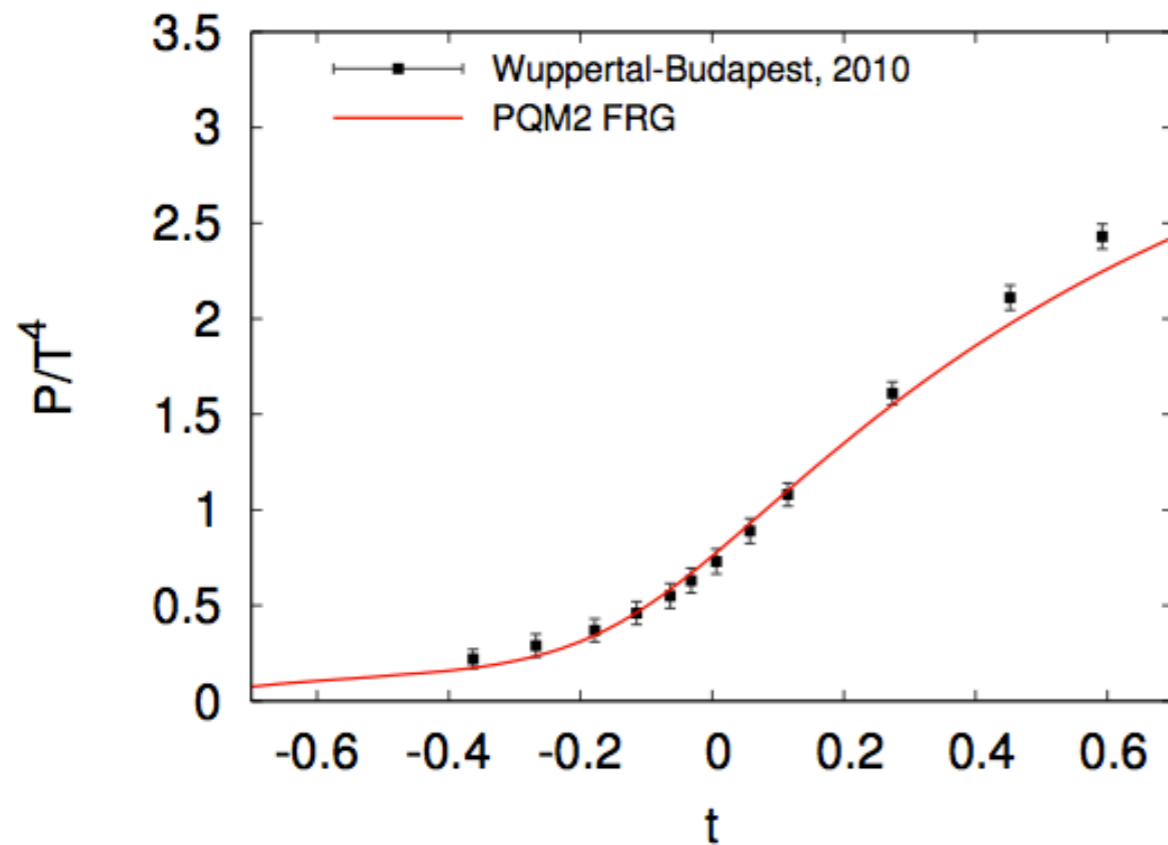
[Herbst, Pawłowski, BJS 2010,2013]

# FRG: Quark-Meson with Polyakov

Pressure and interaction measure in comparison with lattice data (polynomial Polyakov-loop potential)

$$N_f = 2$$

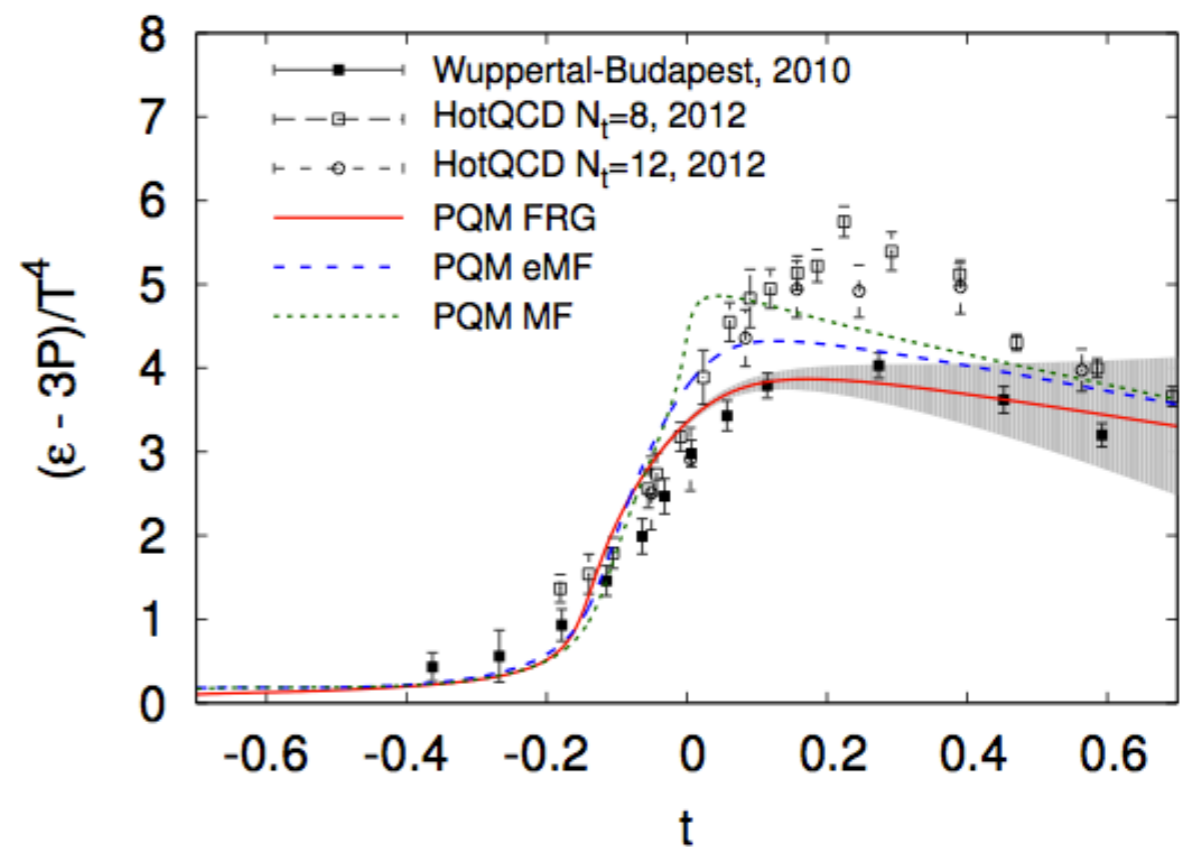
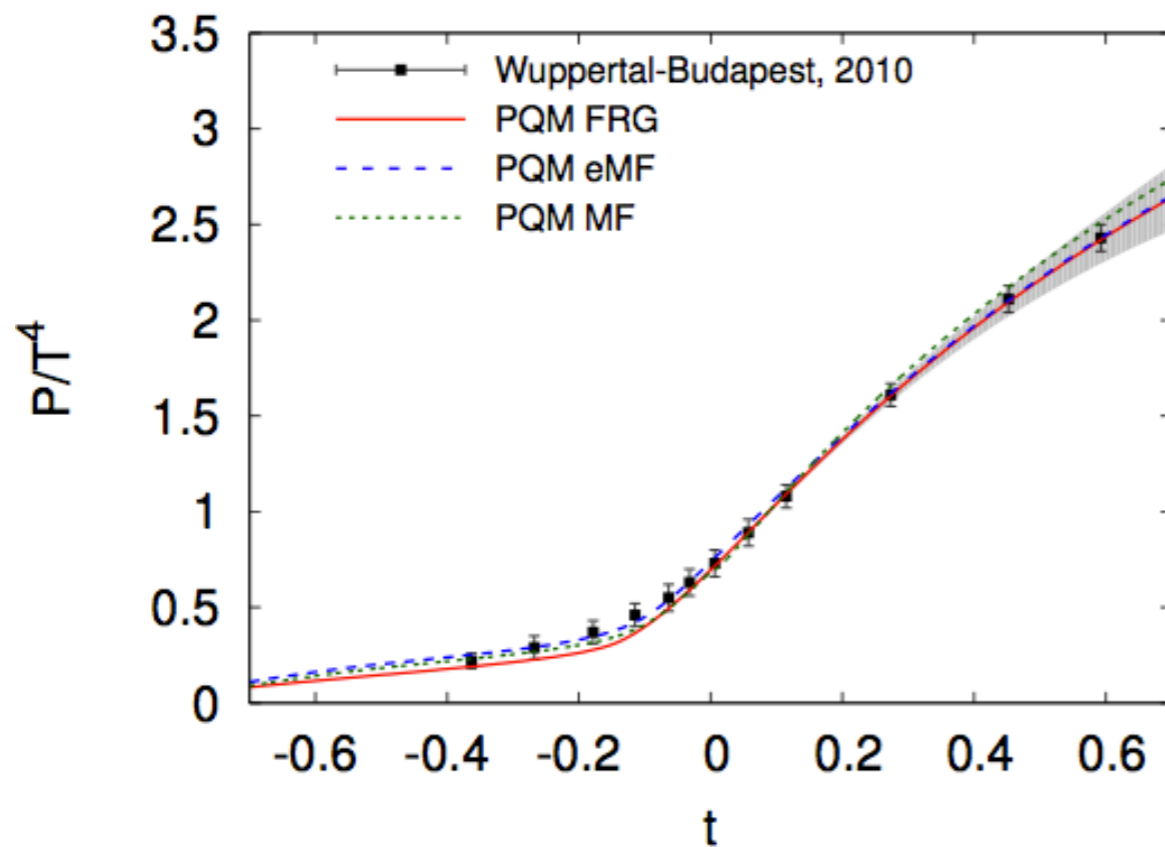
[Herbst, Mitter, Stiele, Pawlowski, BJS 2014]



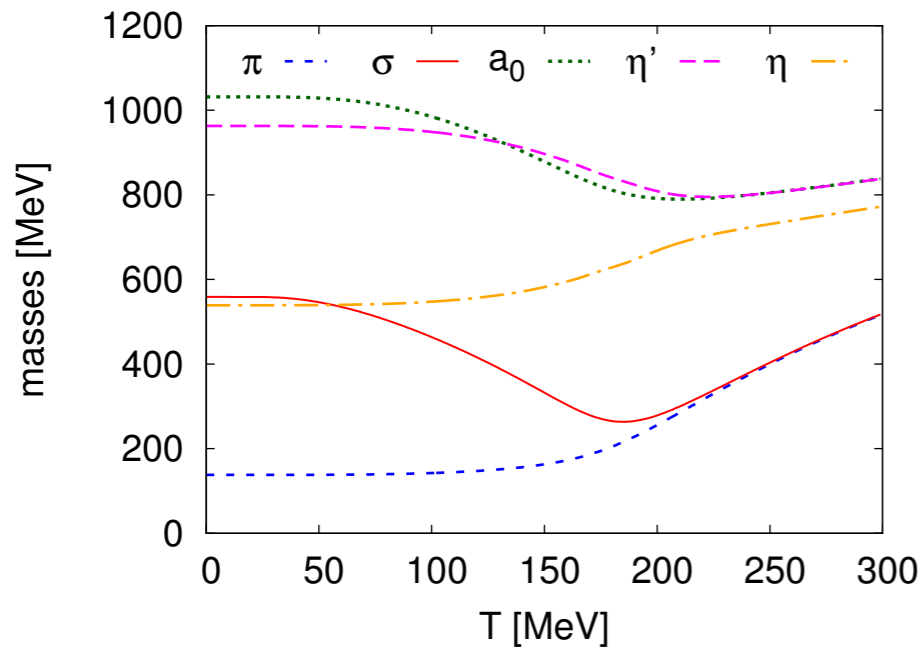
# FRG: Quark-Meson with Polyakov

$$N_f = 2+1$$

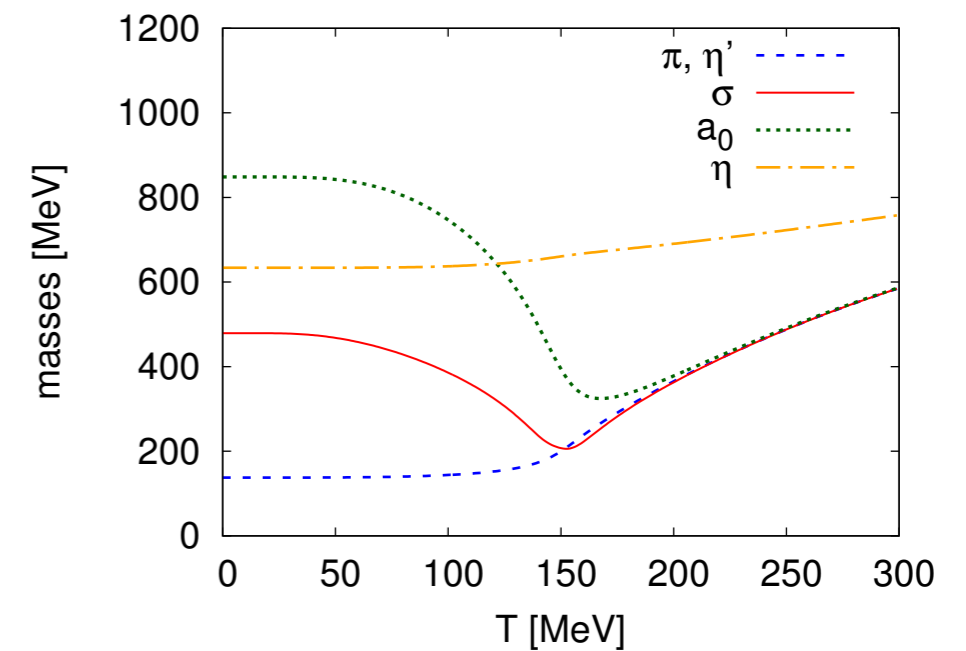
[Herbst, Mitter, Stiele, Pawlowski, BJS 2014]



# Influence axial anomaly



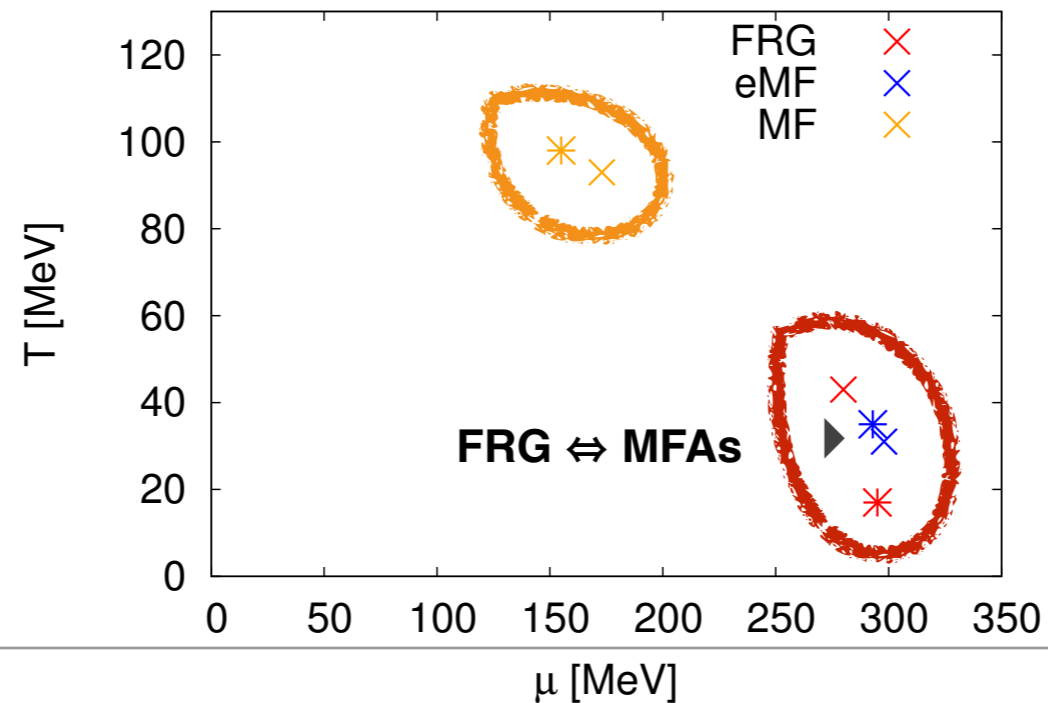
with  $U_A(1)$  breaking term



without  $U_A(1)$  breaking term

location of CEP

fluctuations push CEP down

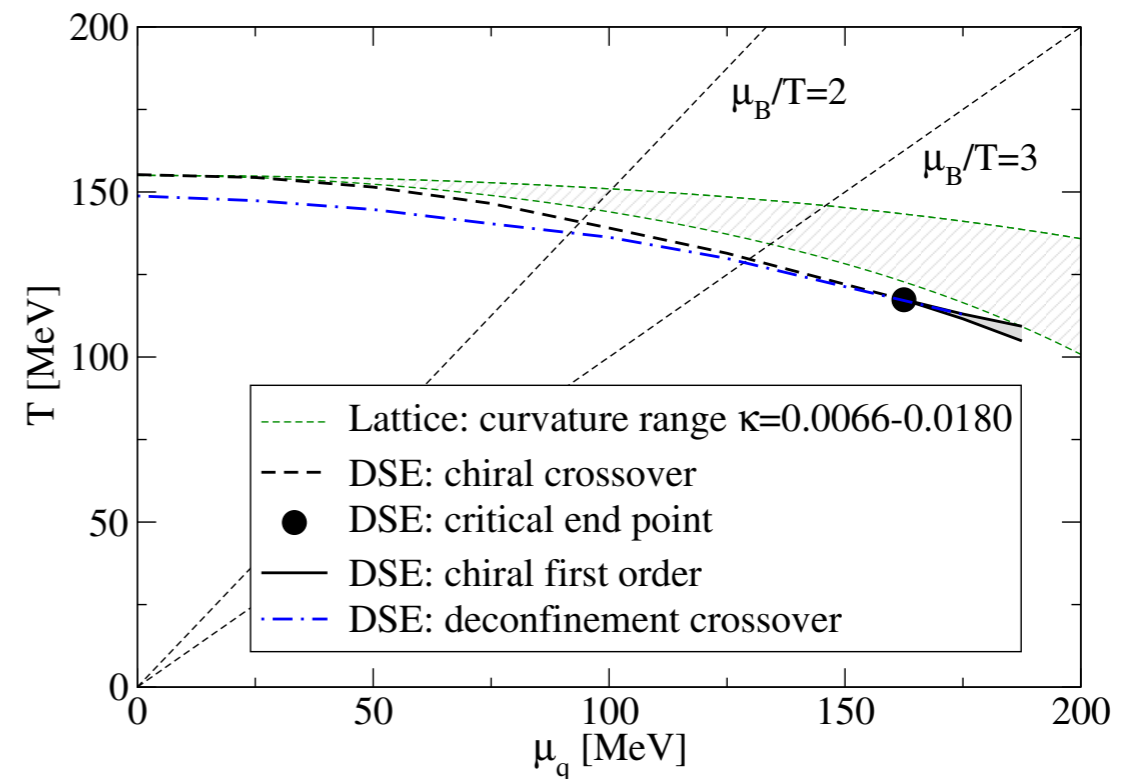
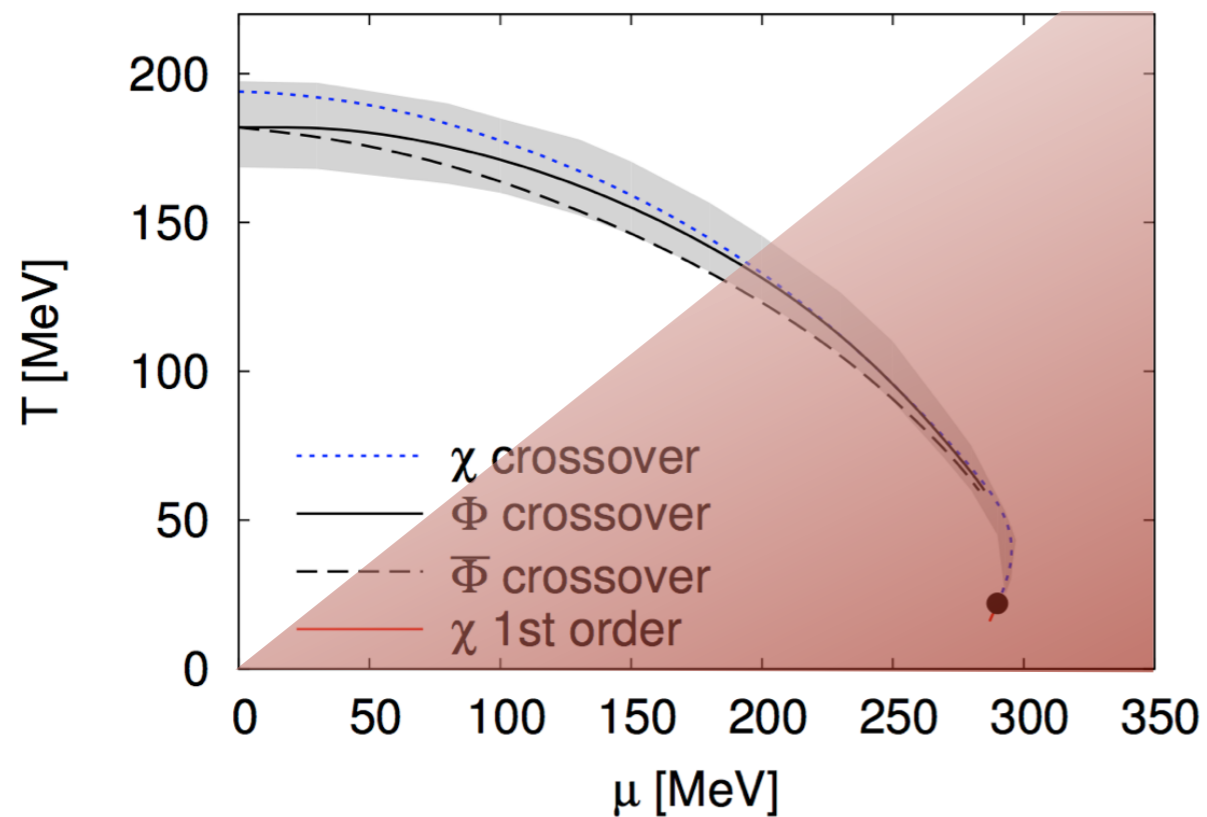


star: with  $U_A(1)$  breaking term  
cross: w/o  $U_A(1)$  breaking term

[M. Mitter, BJS 2014]

# Critical Endpoint

Location of CEP **not** accessible with lattice, FRG & DSE



so far:

we can exclude CEP for small densities

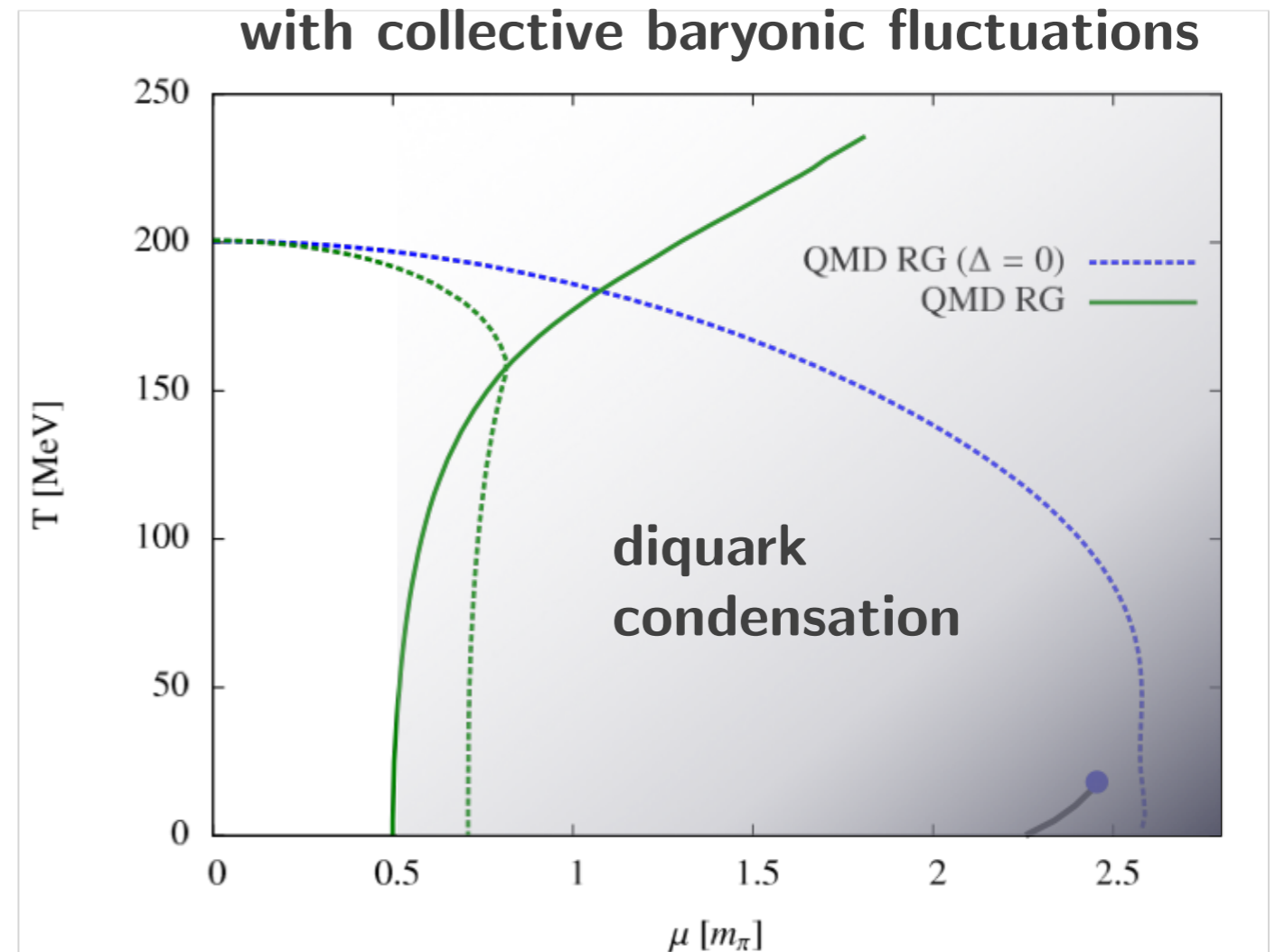
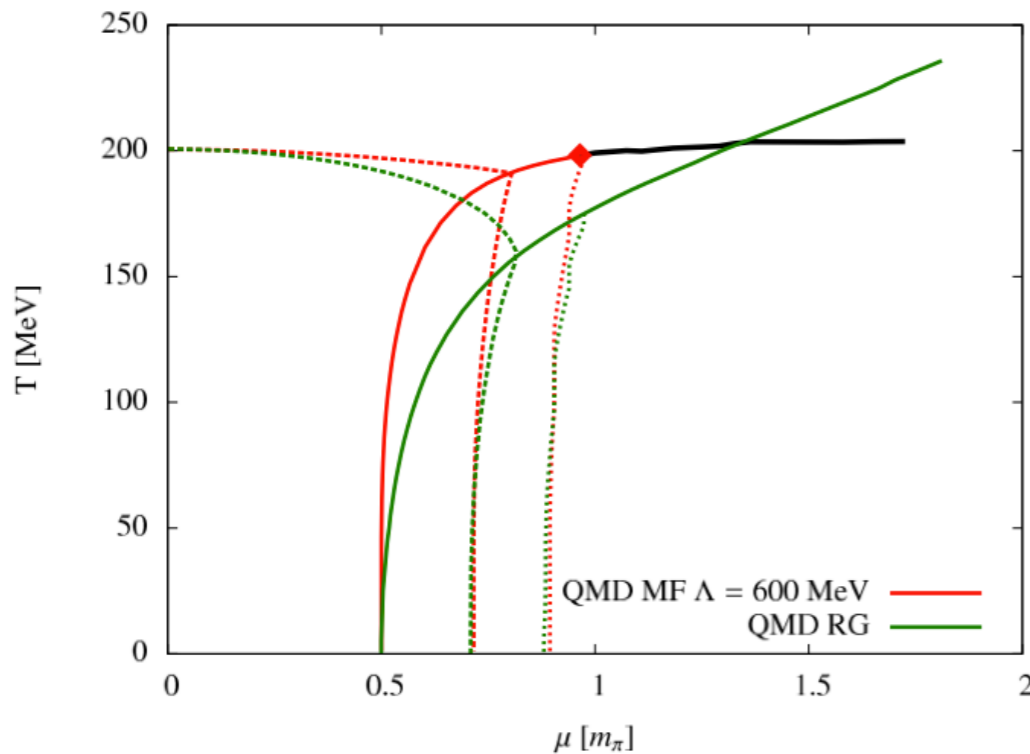
**but no baryons!**

[C. Fischer, J. Lücker, C. Welzbacher 2014]



# $N_c=2$ : diquark condensation

[N. Strodthoff, BJS, L. von Smekal 12]



- no low- $T$  1<sup>st</sup> order transition,  
no CEP at  $\mu \sim 2.5 m_\pi$  !

# Outlook: Inhomogeneities

## inhomogeneous chiral symmetry breaking:

phases characterized by spatially varying chiral condensate  $\sigma(x)$  which breaks translational variance

allowing for inhomogeneous phases  $\rightarrow$  cooper pairs with non-vanishing total momentum near Fermi surface

only one- and two-dimensional condensates (here, in this context, first work beyond mean-field approximation)

quark-meson model (renormalizable):

include vacuum term

in grand potential

(Dirac-sea contribution)

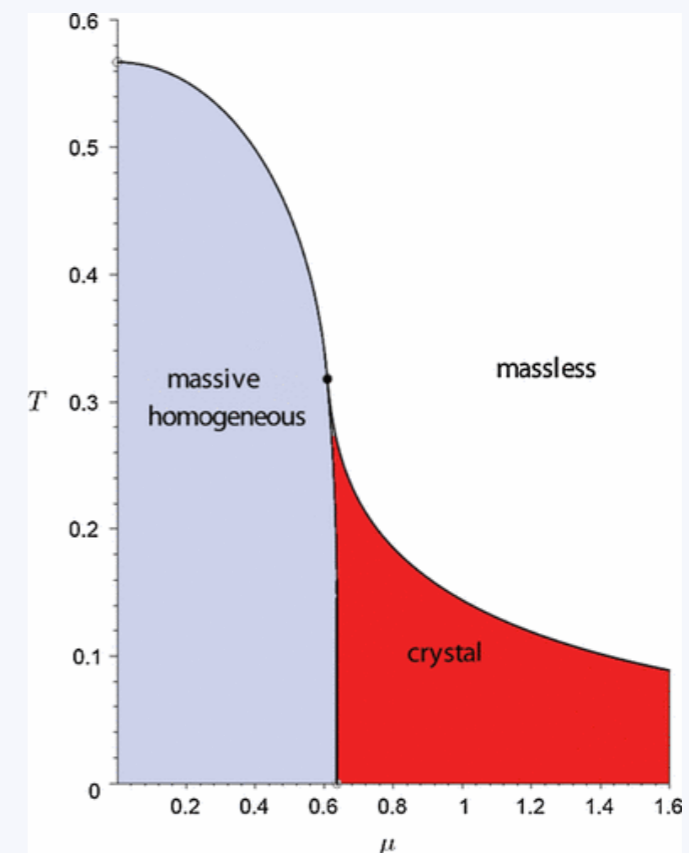
example:

Gross-Neveu 1+1

$\rightarrow$  chiral spirals

avored solution

for  $\mu > 0$

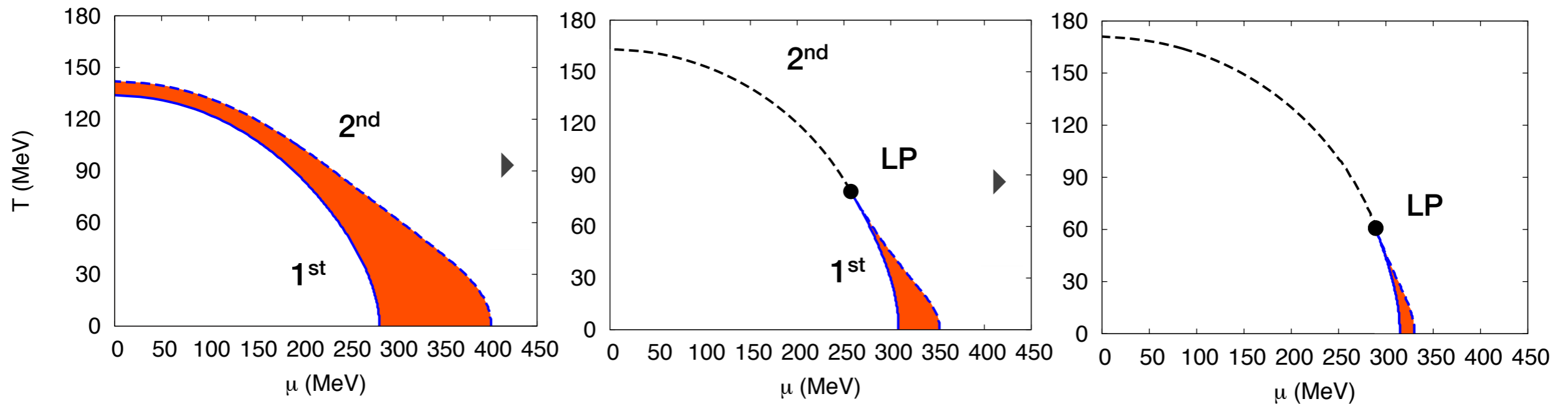


# Outlook: Inhomogeneities

QM model: Phase diagram (two flavor, extended MFA)

Influence Dirac sea (left:  $\Lambda=0$  middle:  $\Lambda=600$  MeV right:  $\Lambda=5$  GeV)

[S. Carignano, M. Buballa, BJS 2014]



**LP: Lifshitz point** (two homogeneous phases meet one inhomogeneous phase)

**CP: Critical point** (endpoint of 1<sup>st</sup> order transition)

For  $m_\sigma = 2M_q$  LP=CP

outlook: full FRG treatment....

# Summary & Conclusions

- **QCD-like model studies for two and three flavors**
- **effects of quantum and thermal fluctuations on QCD phase structure**
- **existence of critical points in phase diagram**

▶ **functional approaches (e.g. FRG) are suitable and controllable tools to investigate the QCD phase diagram and its boundaries**