

Electronic instabilities on the honeycomb lattice with electron-phonon interactions

Laura Classen¹

Carsten Honerkamp², Michael Scherer¹

¹Institute for Theoretical Physics, Heidelberg University

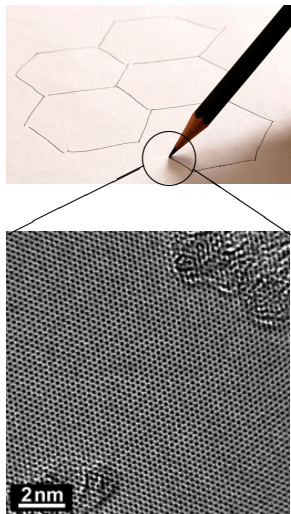
²Institute for Theoretical Solid State Physics, RWTH Aachen University

Lefkada, September 2014

UNIVERSITÄT
HEIDELBERG
Zukunft. Seit 1386.

RWTHAACHEN
UNIVERSITY

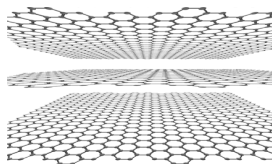
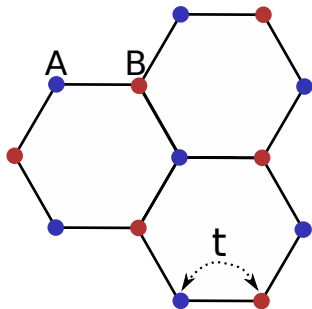
- Ordering tendencies in graphene?
- With phonons:
Superconductivity? Something else?
- Interplay with short-ranged Coulomb repulsions



Graphene

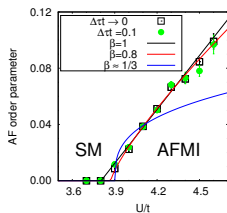
- 1 layer of graphite
- Bipartite lattice
- Hopping of free electrons:

$$H = -t \sum_{\langle i,j \rangle, s} c_{i,A,s}^\dagger c_{j,B,s} + \text{h.c.}$$

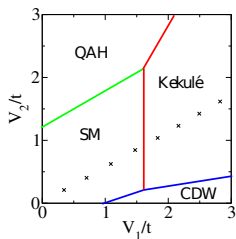


- At zero energy: 2 bands touching at Dirac points
- DOS \propto energy
- Interaction effects suppressed

Interaction Strength



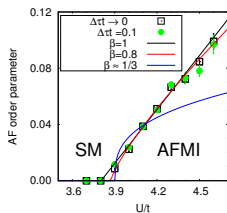
S. Sorella *et al*
arxiv:1207.1783 (2012)



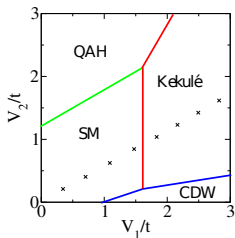
C. Weeks & M. Franz
PRB **81** (2010)

Induced ordering tendencies from $e^- - e^-$ interactions

Interaction Strength

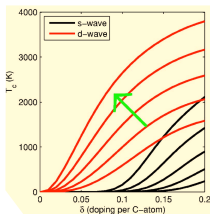


S. Sorella *et al*
arxiv:1207.1783 (2012)



C. Weeks & M. Franz
PRB **81** (2010)

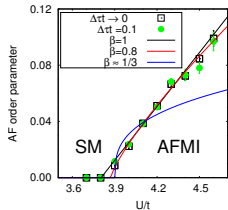
Doping



A.M. Black-Schaffer
et al
PRB **75**(2007)

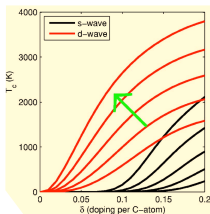
Induced ordering tendencies from $e^- - e^-$ interactions

Interaction Strength



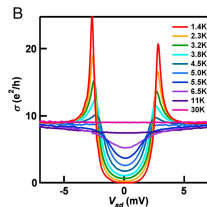
S. Sorella *et al*
arxiv:1207.1783 (2012)

Doping

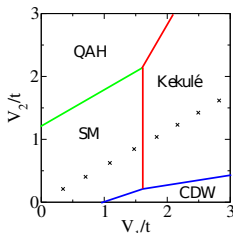


A.M. Black-Schaffer
et al
PRB **75**(2007)

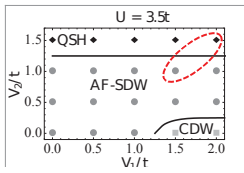
Number of Layers



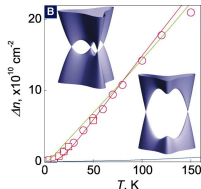
W. Bao *et al*
arxiv:1202.3212 (2012)



C. Weeks & M. Franz
PRB **81** (2010)

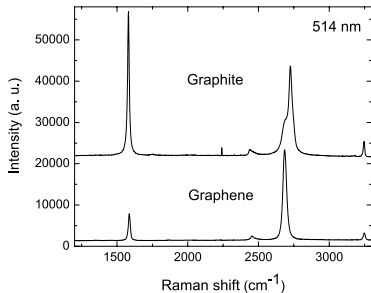


M. Scherer *et al*
PRB **85** (2012)

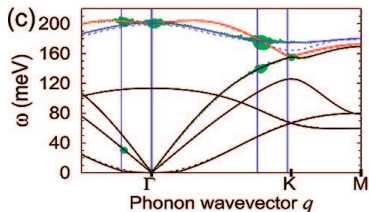


A.S. Mayorov *et al*
Science **333** (2011)

Lattice vibrations



A. C. Ferrari *et al.* PRL **97** (2006)



C. Park *et al.* NL **8** (2008)

PHYSICAL REVIEW B **84**, 214508 (2011)

Possibility of superconductivity due to electron-phonon interaction in graphene

Matthias Einenkel and Konstantin B. Efetov

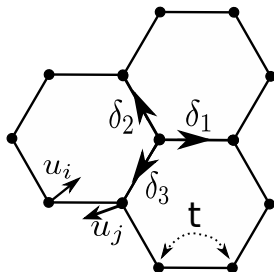
Institut für Theoretische Physik III, Ruhr-Universität Bochum, DE-44780 Bochum, Germany

(Received 20 September 2011; published 6 December 2011)

From lattice displacements to electron-phonon interactions

- Tight-binding Hamiltonian

$$H = -t \sum_{\langle i,j \rangle, \sigma} \left(c_{i,A,\sigma}^\dagger c_{j,B,\sigma} + h.c. \right)$$



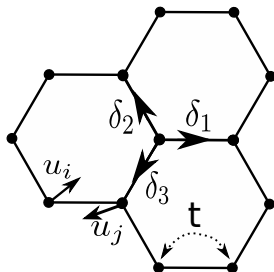
From lattice displacements to electron-phonon interactions

- Tight-binding Hamiltonian

$$H = -t \sum_{\langle i,j \rangle, \sigma} \left(c_{i,A,\sigma}^\dagger c_{j,B,\sigma} + h.c. \right)$$

- Lattice distortion changes hopping parameter

$$t \rightarrow t - \alpha_{\parallel} (\mathbf{u}_i - \mathbf{u}_j) \cdot \hat{\delta}$$



From lattice displacements to electron-phonon interactions

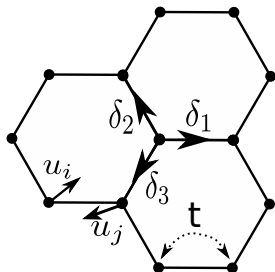
- Tight-binding Hamiltonian

$$H = -t \sum_{\langle i,j \rangle, \sigma} \left(c_{i,A,\sigma}^\dagger c_{j,B,\sigma} + h.c. \right)$$

- Lattice distortion changes hopping parameter

$$t \rightarrow t - \alpha_{\parallel} (\mathbf{u}_i - \mathbf{u}_j) \cdot \hat{\delta}$$

- Quantization of displacement fields $\mathbf{u}_i \rightarrow b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger$



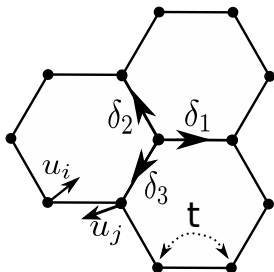
From lattice displacements to electron-phonon interactions

- Tight-binding Hamiltonian

$$H = -t \sum_{\langle i,j \rangle, \sigma} \left(c_{i,A,\sigma}^\dagger c_{j,B,\sigma} + h.c. \right)$$

- Lattice distortion changes hopping parameter

$$t \rightarrow t - \alpha_{\parallel} (\mathbf{u}_i - \mathbf{u}_j) \cdot \hat{\delta}$$



- Quantization of displacement fields $\mathbf{u}_i \rightarrow b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger$
- Electron-phonon coupling

$$\delta H = \sum_{\mathbf{k}, \mathbf{q}, s, \lambda} \left[g_{\mathbf{k}}^\lambda(\mathbf{q}) c_{\mathbf{k},A,s}^\dagger c_{\mathbf{k}-\mathbf{q},B,s} \left(b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger \right) + h.c. \right]$$

$$g_{\mathbf{k}}^\lambda(\mathbf{q}) = \frac{\alpha_{\parallel}}{\sqrt{2MN\Omega_{\lambda,\mathbf{q}}}} \sum_{\delta} \left(e^{i\mathbf{q}\cdot\delta} + 1 \right) e^{-i\mathbf{k}\cdot\delta} \mathbf{e}_{\mathbf{q}}^\lambda \cdot \hat{\delta}$$

From lattice displacements to electron-phonon interactions

- Tight-binding Hamiltonian

$$H = -t \sum_{\langle i,j \rangle, \sigma} \left(c_{i,A,\sigma}^\dagger c_{j,B,\sigma} + h.c. \right)$$

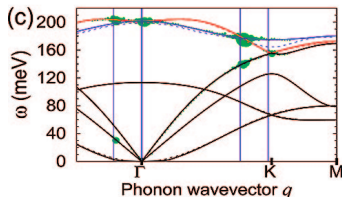
- Lattice distortion changes hopping parameter

$$t \rightarrow t - \alpha_{\parallel} (\mathbf{u}_i - \mathbf{u}_j) \cdot \hat{\delta}$$

- Quantization of displacement fields $\mathbf{u}_i \rightarrow b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger$
- Electron-phonon coupling

$$\delta H = \sum_{\mathbf{k}, \mathbf{q}, s, \lambda} \left[g_{\mathbf{k}}^{\lambda}(\mathbf{q}) c_{\mathbf{k},A,s}^\dagger c_{\mathbf{k}-\mathbf{q},B,s} \left(b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger \right) + h.c. \right]$$

$$g_{\mathbf{k}}^{\lambda}(\mathbf{q}) = \frac{\alpha_{\parallel}}{\sqrt{2MN\Omega_{\lambda,\mathbf{q}}}} \sum_{\delta} \left(e^{i\mathbf{q}\cdot\delta} + 1 \right) e^{-i\mathbf{k}\cdot\delta} \mathbf{e}_{\mathbf{q}}^{\lambda} \cdot \hat{\delta}$$



From lattice displacements to electron-phonon interactions

- Tight-binding Hamiltonian

$$H = -t \sum_{\langle i,j \rangle, \sigma} \left(c_{i,A,\sigma}^\dagger c_{j,B,\sigma} + h.c. \right)$$

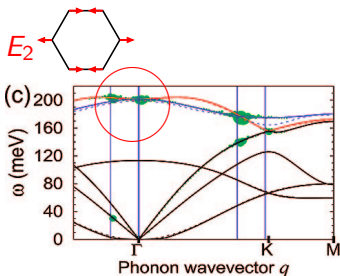
- Lattice distortion changes hopping parameter

$$t \rightarrow t - \alpha_{||} (\mathbf{u}_i - \mathbf{u}_j) \cdot \hat{\delta}$$

- Quantization of displacement fields $\mathbf{u}_i \rightarrow b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger$
- Electron-phonon coupling

$$\delta H = \sum_{\mathbf{k}, \mathbf{q}, s, \lambda} \left[g_{\mathbf{k}}^\lambda(\mathbf{q}) c_{\mathbf{k},A,s}^\dagger c_{\mathbf{k}-\mathbf{q},B,s} \left(b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger \right) + h.c. \right]$$

$$g_{\mathbf{k}}^\lambda(\mathbf{q}) = \frac{\alpha_{||}}{\sqrt{2MN\Omega_{\lambda,\mathbf{q}}}} \sum_{\delta} \left(e^{i\mathbf{q}\cdot\delta} + 1 \right) e^{-i\mathbf{k}\cdot\delta} \mathbf{e}_{\mathbf{q}}^\lambda \cdot \hat{\delta}$$



$$\mathbf{e}_{\mathbf{q}}^\lambda = \mathbf{e}_{E_2}^\lambda$$

From lattice displacements to electron-phonon interactions

- Tight-binding Hamiltonian

$$H = -t \sum_{\langle i,j \rangle, \sigma} \left(c_{i,A,\sigma}^\dagger c_{j,B,\sigma} + h.c. \right)$$

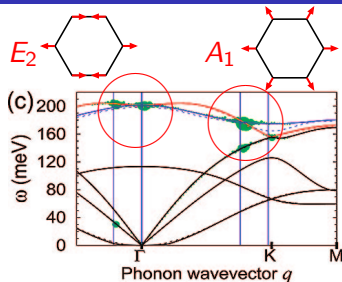
- Lattice distortion changes hopping parameter

$$t \rightarrow t - \alpha_{||} (\mathbf{u}_i - \mathbf{u}_j) \cdot \hat{\delta}$$

- Quantization of displacement fields $\mathbf{u}_i \rightarrow b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger$
- Electron-phonon coupling

$$\delta H = \sum_{\mathbf{k}, \mathbf{q}, s, \lambda} \left[g_{\mathbf{k}}^\lambda(\mathbf{q}) c_{\mathbf{k},A,s}^\dagger c_{\mathbf{k}-\mathbf{q},B,s} \left(b_{\lambda,\mathbf{q}} + b_{\lambda,-\mathbf{q}}^\dagger \right) + h.c. \right]$$

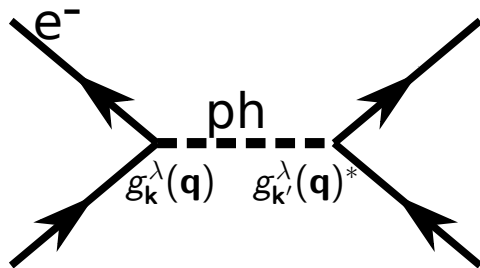
$$g_{\mathbf{k}}^\lambda(\mathbf{q}) = \frac{\alpha_{||}}{\sqrt{2MN\Omega_{\lambda,\mathbf{q}}}} \sum_{\delta} \left(e^{i\mathbf{q}\cdot\delta} + 1 \right) e^{-i\mathbf{k}\cdot\delta} \mathbf{e}_{\mathbf{q}}^\lambda \cdot \hat{\delta}$$



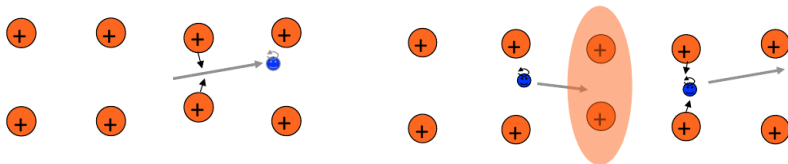
$$\mathbf{e}_{\mathbf{q}}^\lambda = \mathbf{e}_{E_2}^\lambda \quad \text{or} \quad \mathbf{e}_{\mathbf{q}}^\lambda = \mathbf{e}_{A_1}^\lambda$$

Phonon-mediated electronic interaction

- Integrate phonons out



- Physical picture



Functional renormalization group

- Flow equations for 1PI vertices

$$\frac{\partial}{\partial\Lambda} \text{---}\bigcirc\text{---} = \text{---}\bigcirc\text{---} \overset{S^\Lambda}{\curvearrowright}$$

$$\frac{\partial}{\partial\Lambda} \text{---}\bigcirc\text{---} = \text{---}\bigcirc\text{---} \overset{S^\Lambda}{\curvearrowright} + \text{---}\bigcirc\text{---} \overset{S^\Lambda}{\curvearrowright} \overset{G^\Lambda}{\curvearrowright} \text{---}\bigcirc\text{---}$$

...

W. Metzner *et al*, RMP **84**,(2012)

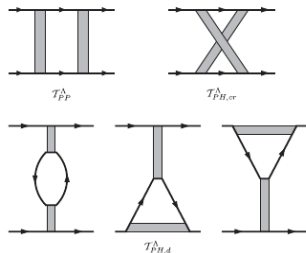
Functional renormalization group

- Flow equations for 1PI vertices

$$\frac{\partial}{\partial \Lambda} \text{circle} = \text{circle with } S^\Lambda \text{ loop}$$

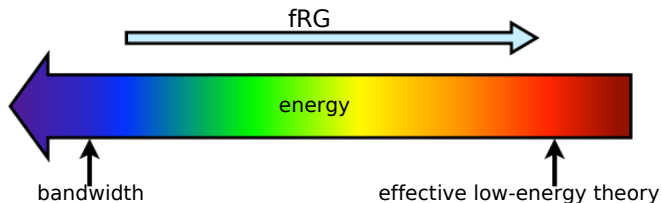
$$\frac{\partial}{\partial \Lambda} \text{4-point vertex} = \text{4-point vertex with } S^\Lambda \text{ loop} + \text{4-point vertex with } S^\Lambda \text{ and } G^\Lambda \text{ loop} + \dots$$

- Focus on 4-point vertex to find leading correlations



W. Metzner *et al*, RMP **84**, (2012)

From bare to effective interactions



$$H_{bare} = U \sum_i n_{i,\uparrow} n_{i,\downarrow} + \dots \longrightarrow H_{eff} = \sum_{\substack{\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3 \\ s, s'}} V(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) c_{\mathbf{k}_3, s}^\dagger c_{\mathbf{k}_4, s'}^\dagger c_{\mathbf{k}_2, s'} c_{\mathbf{k}_1, s}$$

- PP- and PH-channels on equal footing
- Unbiased
- Provides momentum structure at low energy $V(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$
→ Extract ordering tendency
- Provides pseudocritical energy scales

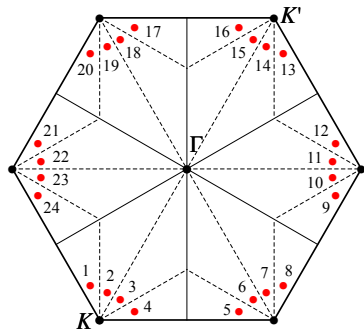
In practice: patching

- Two-particle interaction vertex

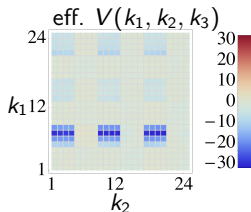
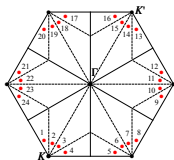
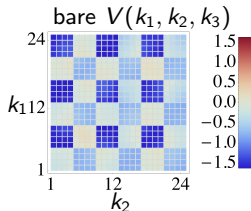
$$\frac{\partial}{\partial \Lambda} \text{[Diagram of a shaded circle with four external lines]} = \text{[Diagram of two shaded circles connected by two arcs labeled } S^\Lambda \text{ and } G^\Lambda \text{, with four external lines]}$$

- Solve numerically: discretization through patching

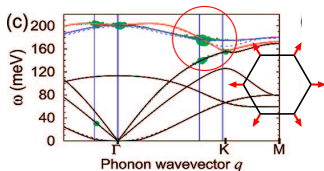
- Representative momenta lie close to Fermi surface
- Interaction constant in one patch



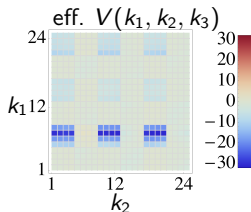
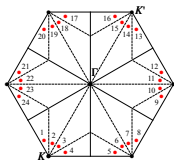
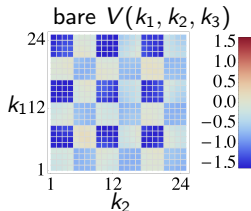
Flow to strong coupling: ph-med interaction only



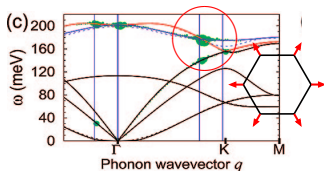
- At low energy: only momentum transfer \mathbf{K}



Flow to strong coupling: ph-med interaction only

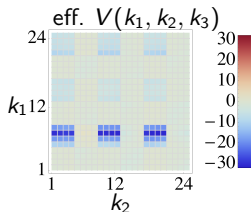
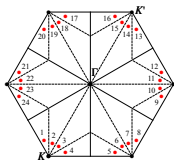
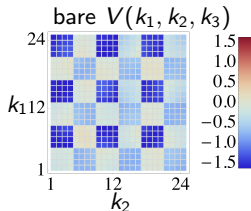


- At low energy: only momentum transfer \mathbf{K}

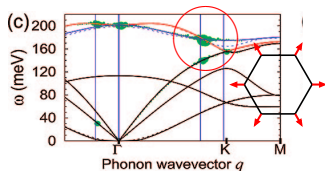


- Static: $\Omega \rightarrow 0$ to get diverging interaction ($V_{phmed} \propto \frac{1}{\Omega}$)

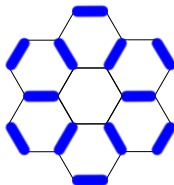
Flow to strong coupling: ph-med interaction only



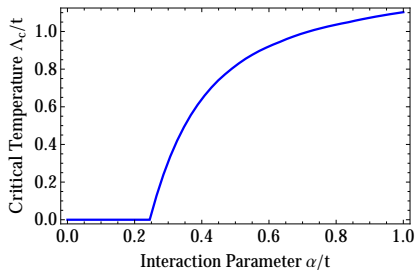
- At low energy: only momentum transfer \mathbf{K}



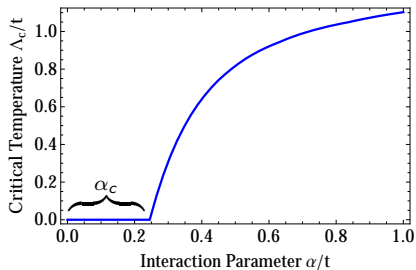
- Static: $\Omega \rightarrow 0$ to get diverging interaction ($V_{phmed} \propto \frac{1}{\Omega}$)
- Mean field decoupling: Kekulé distortion opens a gap



As function of the interaction strength

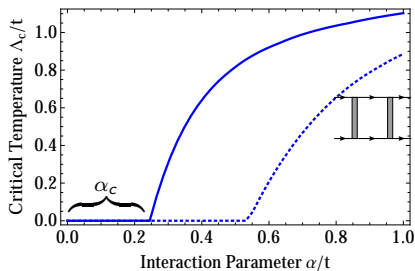


As function of the interaction strength



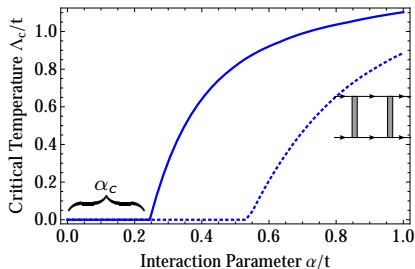
- Critical interaction needed (due to vanishing DOS)

As function of the interaction strength



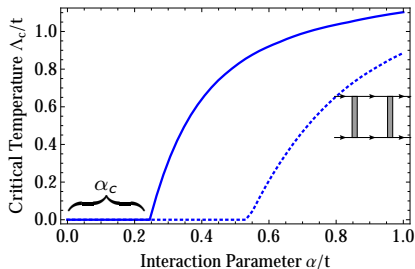
- Critical interaction needed (due to vanishing DOS)
- SC in pp channel

As function of the interaction strength

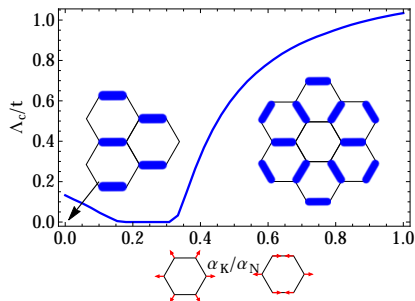


- Critical interaction needed (due to vanishing DOS)
- SC in pp channel
- Bond order in ph-direct favored instability

As function of the interaction strength

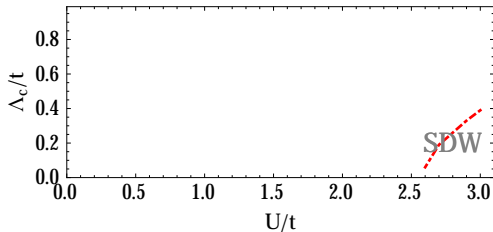
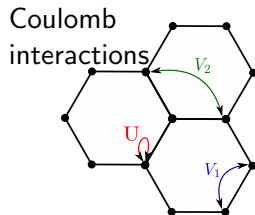


- Critical interaction needed (due to vanishing DOS)
- SC in pp channel
- Bond order in ph-direct favored instability



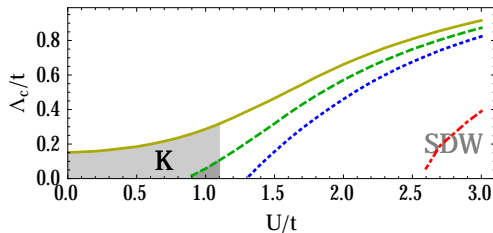
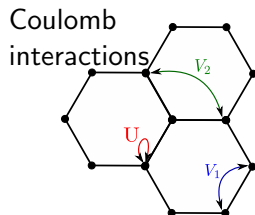
- For strong zone center modes: nematic bond order
- Weakened by "Kekulé" phonons
- N-patch fRG detects these two ordering tendencies

Include short-ranged Coulomb interactions



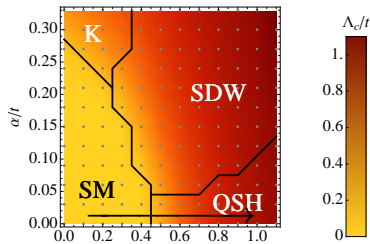
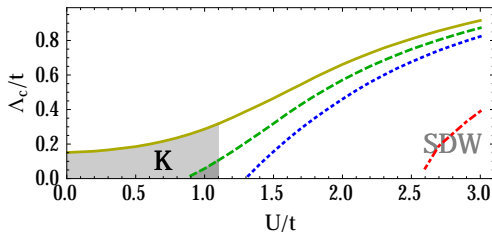
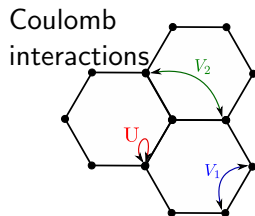
- On-site U induces SDW

Include short-ranged Coulomb interactions



- On-site U induces SDW
- SDW benefits from inclusion of phonons

Include short-ranged Coulomb interactions

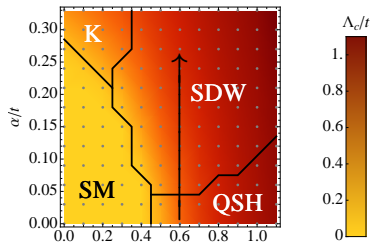
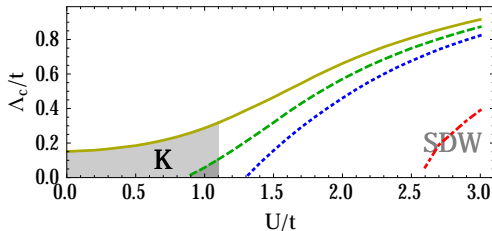
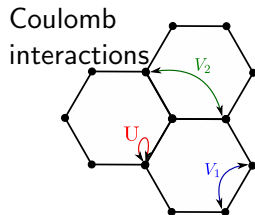


$$\{U/t, V_1/t, V_2/t\} \approx \{3.3, 2.0, 1.5\}$$

$$\rightarrow c \{U/t, V_1/t, V_2/t\}$$

- On-site U induces SDW
- SDW benefits from inclusion of phonons
- With U, V_1 & V_2 : QSH

Include short-ranged Coulomb interactions

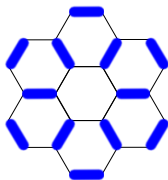


$$\{U/t, V_1/t, V_2/t\} \approx \{3.3, 2.0, 1.5\}$$

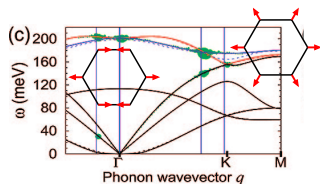
$$\rightarrow c \{U/t, V_1/t, V_2/t\}$$

- On-site U induces SDW
- SDW benefits from inclusion of phonons
- With U, V_1 & V_2 : QSH
- QSH suppressed by phonons

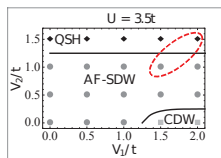
- Analytic e-ph coupling
- Effect of in-plane phonons on ordering tendencies



- With Coulomb: AF-SDW supported
- Extension to bilayer?



- Dominant instability in ph-channel
- Kekulé bond order favored over SC
- Small- q phonons drive nematic state



Thank you for your attention!