

# Critical behavior in spherical and hyperbolic spaces

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September 23, 2014

based on [arXiv:1403.6712]

# Motivations

- Quantum field theory on curved background
- Recent surge of interest on IR effects in dS spacetime [see Serreau's talk]
- Background dependence in FRG approach to asymptotically safe gravity:
  - $g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$  and  $\Delta_k S[\bar{g}; h] \Rightarrow \Gamma_k = \Gamma_k[\bar{g}; h]$ , mWI
  - Nonperturbative (in  $\bar{R}$ ) background effects in  $f(R)$  approximation
- Usually we study asymptotic safety in Euclidean signature  
 $\Rightarrow$  Euclidean QFT ( $\equiv$  statistical field theory) on curved background
- In condensed matter the effect of curvature can be of interest for a number of reasons (e.g. for theoretical modeling of 3d frustration in simplified 2d models), and it has been studied in the context of liquids, percolation, Ising model, XY model, self-avoiding walks and more

This talk: How does curvature affect critical behavior in a simple model and how do we see that with the FRGE

# Outline

- Two simple backgrounds:  $d$ -dimensional spheres and hyperboloids
- Effective dimension and general expectations
- FRGE in the presence of background curvature

# The $d$ -dimensional sphere

- Homogeneous space:  $S^d \simeq SO(d+1)/SO(d)$

$$\sum_{A=1}^{d+1} (X^A)^2 = a^2$$

$$ds_{(S^d)}^2 = a^2 d\Omega_d = a^2 d\theta_d^2 + a^2 \sin^2(\theta_d) d\Omega_{d-1}$$

- Maximally symmetric:

$$R_{\mu\nu\rho\sigma} = \frac{R}{d(d-1)} (g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho})$$

with **positive curvature**:

$$R = \frac{d(d-1)}{a^2}$$

- Compact space  $\Rightarrow$  **discrete spectrum**, including a **zero mode**

$$-\nabla^2 \psi_{n,j} = \frac{n(n+d-1)}{a^2} \psi_{n,j}$$

with multiplicity  $D_n = \frac{(n+d-2)!(2n+d-1)}{n!(d-1)!}$ ,  $j = 1, 2, \dots, D_n$ , and  $n = 0, 1, 2, \dots + \infty$

# The $d$ -dimensional hyperboloid

- Homogeneous space:  $H^d \simeq SO(d, 1)/SO(d)$

$$\sum_{A=1}^d (X^A)^2 - (X^{d+1})^2 = -a^2$$

$$ds_{(H^d)}^2 = d\tau^2 + a^2 \sinh^2(\tau/a) d\Omega_{d-1}$$

- Also maximally symmetric, but with **negative curvature**:

$$R = -\frac{d(d-1)}{a^2}$$

- Non-compact space  $\Rightarrow$  **continuous spectrum**

$$-\nabla^2 \phi_{\lambda, l} = \frac{1}{a^2} (\lambda^2 + \rho^2) \phi_{\lambda, l}$$

where  $\rho = (d-1)/2$ ,  $\lambda \in [0, +\infty)$ , and  $l = 0, 1, 2, \dots + \infty$

note: **no zero mode** (not normalizable)

# Effective dimension

Hausdorff dimension:

$$L^{d_H} = \int_L d^d x \sqrt{g}$$

where the integral extends over the set of points for which  $\sigma(x, 0) \leq L$ .

- Sphere:  $d_H \rightarrow 0$  for  $L \rightarrow \infty$  ( $\sim$  it looks like a point from far)
- Hyperboloid:  $d_H \rightarrow \infty$  for  $L \rightarrow \infty$  (due to exponential growth  $e^L$ )

Spectral dimension  $\Rightarrow$  same result

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$\Rightarrow$  We expect mean field behavior on hyperboloid, and no phase transition on sphere

(We reach same expectations by using Ginzburg criterion for scalar field)

# FRGE on curved background – (I)

- LPA

$$\Gamma_k[\phi] = \int d^d x \sqrt{g} \left[ \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + V_k(\phi) \right]$$

- FRGE

$$k \partial_k V_k(\phi) = \frac{1}{2} \text{Tr}_{(\mathcal{M})} \left[ \frac{k \partial_k \mathcal{R}_k(-\nabla^2/k^2)}{-Z_k \nabla^2 + V_k''(\phi) + \mathcal{R}_k(-\nabla^2/k^2)} \right] \Big|_{\phi=\text{const.}}$$

- Using the optimized cutoff and dimensionless variables

$$k \partial_k \tilde{V}_k(\tilde{\phi}) + d \tilde{V}_k(\tilde{\phi}) - \frac{d-2}{2} \tilde{\phi} \tilde{V}_k'(\tilde{\phi}) = \frac{1}{1 + \tilde{V}_k''(\tilde{\phi})} F_{(\mathcal{M})}(\tilde{a})$$

$$\text{where } \boxed{F_{(\mathcal{M})}(\tilde{a}) = \widetilde{\text{Tr}}_{(\mathcal{M})}[\theta(1 - \tilde{\Delta})]}, \quad \text{and } \tilde{a} = ak$$

⇒ All the background dependence is in the **spectral counting function**  $F_{(\mathcal{M})}(\tilde{a})$ .



## FRGE on curved background – (II)

- In flat space, by Fourier transform:

$$F_{(E^3)}(\infty) = \frac{\Omega_{d-1}}{d(2\pi)^d} \xrightarrow{d=3} \frac{1}{6\pi^2}$$

- Hyperboloid ( $d = 3$ ):

$$F_{(H^3)}(ak) = \frac{1}{6\pi^2} \left(1 - \frac{1}{a^2 k^2}\right)^{\frac{3}{2}} \theta\left(1 - \frac{1}{a^2 k^2}\right)$$

- Sphere ( $d = 3$ ):

$$F_{(S^3)}(ak) = \frac{1}{2\pi^2 a^3 k^3} \mathcal{P}(\lfloor N_3 \rfloor)$$

where  $\lfloor x \rfloor$  is the floor function,

$$\mathcal{P}(N) = \sum_{n=0}^N D_n = \frac{1}{6}(1+N)(2+N)(3+2N)$$

$$N_3 = -1 + \sqrt{1 + a^2 k^2}$$

The spherical case gives rise to a staircase function, as a combined effect of the discrete spectrum and the use of a step function in the cutoff

# Non-autonomous system

$$F_{(\mathcal{M})}(\tilde{a}) = \widetilde{\text{Tr}}_{(\mathcal{M})}[\theta(1 - \tilde{\Delta})]$$

↓

- Non-autonomous equation: explicit dependence on  $k$  via  $\tilde{a} = ak$

No rescaling of variables can turn the equation into an autonomous one

⇒ Non-trivial fixed points are unlikely ( $k$ -dependence should factorize in  $\beta$ 's)

- Non-autonomous equations found also in:

quantum field theory at finite temperature [Tetradis, Wetterich - '93],

non-commutative spacetime [Gurau, Rosten - '09],

RG for matrix/tensor models [DB, BenGeloun, Oriti - to appear],

gravity, if we eliminate  $G$  (treating it as inessential parameter) [Percacci, Perini - '04]

# Scaling dimensions in the deep IR

Deep IR,  $k \rightarrow 0$ :

- **Hyperboloid:**  $F_{(H^d)}(\tilde{a}) \rightarrow 0$  (due to mass gap)

For  $ak < (d-1)/2$  (due to optimized cutoff)

$$k\partial_k \tilde{V}_k(\tilde{\phi}) + d\tilde{V}_k(\tilde{\phi}) - \frac{d-2}{2}\tilde{\phi}\tilde{V}'_k(\tilde{\phi}) = 0$$

≡ **classical scaling equation**

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- **Sphere**:  $F_{(S^d)}(\tilde{a}) \rightarrow \infty$  (due to zero mode, and compactness)

In order to absorb divergence of FRGE:

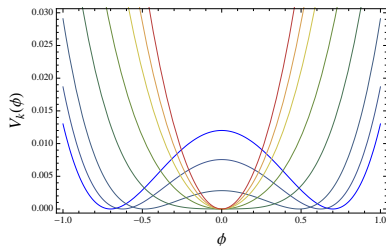
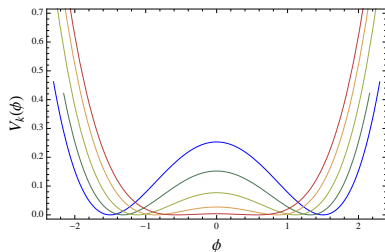
$$\bar{\phi} = a^{d/2}k\phi, \quad \bar{V}(\bar{\phi}) = a^d V(a^{-d/2}k^{-1}\bar{\phi})$$

The resulting equation for  $k^2 < d/a^2$  is

$$k\partial_k \bar{V}_k(\bar{\phi}) + \bar{\phi}\bar{V}'_k(\bar{\phi}) = \frac{1}{\Omega_d} \frac{1}{1 + \bar{V}''_k(\bar{\phi})}$$

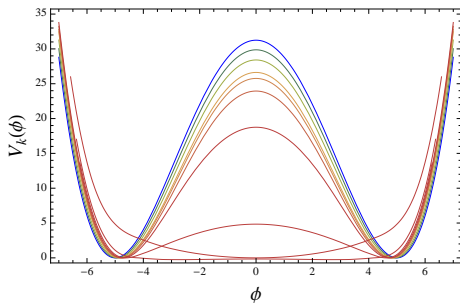
$\equiv$  flat FRG equation for  $d=0$

# Numerical integration – Flat space



- Solve numerically the flow equation, and integrating towards  $k = 0$  observe different behavior as function of initial condition
- Blue curve: initial condition  $V_\Lambda(\phi) = \lambda_\Lambda(\phi^2 - \rho_\Lambda)^2$

## Numerical integration – Sphere

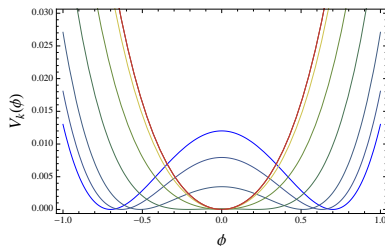
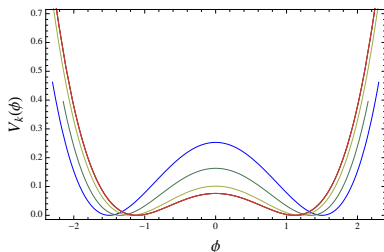


Despite the large value of the initial symmetry breaking parameter (here  $\rho_\Lambda = 25$ ), symmetry restoration still takes place.

No true phase transition!

# Numerical integration – Hyperboloid

Phase transition is there:



Note: no zero mode  $\Rightarrow$  convexity of  $\Gamma$  does not imply convexity of the potential

Convexity of the effective action: all the eigenvalues of  $\Gamma^{(2)}[\bar{\phi}]$  are non-negative

If  $p^2 = 0$  is in the spectrum  $\Rightarrow V''(\bar{\phi}) \geq 0$  (because  $\Gamma^{(2)}[\bar{\phi}] = V''(\bar{\phi})$  at  $p^2 = 0$ )

In hyperbolic space the smallest eigenvalue of the Laplacian is  $\nu_0 = \rho^2/a^2 > 0$  (with eigenfunction  $\varphi_{0,l}$ )  $\Rightarrow \Gamma^{(2)}[\bar{\phi}] \cdot \varphi_{0,l} \neq V''(\bar{\phi}) \cdot \varphi_{0,l}$

In agreement with the mean field approximation, in which the potential in the broken phase is not convex

# No nontrivial fixed points

A simple truncation

$$\tilde{V}_k(\tilde{\phi}) = v_0(k) + v_2(k) \tilde{\phi}^2 + v_4(k) \tilde{\phi}^4$$

$\Downarrow$

$$k\partial_k v_2 = -2v_2 - 12v_4 \frac{F_{(\mathcal{M})}(\tilde{a})}{(1+2v_2)^2}$$

$$k\partial_k v_4 = (d-4)v_4 + 144v_4^2 \frac{F_{(\mathcal{M})}(\tilde{a})}{(1+2v_2)^3}$$

$\Downarrow$

$$k\partial_k v_2^* = k\partial_k v_4^* = 0 \quad \Rightarrow \quad v_2^* = \frac{4-d}{2d-32}, \quad v_4^* = \frac{12(d-4)}{(d-16)^3 F_{(\mathcal{M})}(\tilde{a})}$$

Hyperboloid:  $v_4^* \rightarrow \infty$  for  $k \rightarrow 0$

$\Rightarrow$  only Gaussian fixed point  $\Rightarrow$  mean field exponents



# Conclusions

- Strong IR effects produce very different physics on spheres and hyperboloids
- We have in these cases some general arguments (effective dimensionality, Ginzburg criterion) to give us some indications on what to expect
- FRGE can be used to nicely derive such properties
- Many possible calculations and extensions possible ( $\eta$ , large- $N$ , other spaces...)
- Open question: can critical behavior be modified in a less trivial way by the background? (i.e. not explainable in terms of effective dimension)